31 March 2017

National Disability Insurance Scheme

COAG Disability Reform Council
Quarterly Report

ndis

ndis.gov.au

Executive Summary

Introduction

This report is provided in accordance with Section 174 of the *National Disability Insurance Scheme Act 2013*, which requires that:

The Board members must prepare a report on operations of the Agency for each period of 3 months starting on 1 July, 1 October, 1 January or 1 April; and give the report to the Ministerial Council within one month after the end of the period to which the report relates.

National statistics and findings are presented in the body of this report with separate appendices for each State/Territory. Findings are presented for:

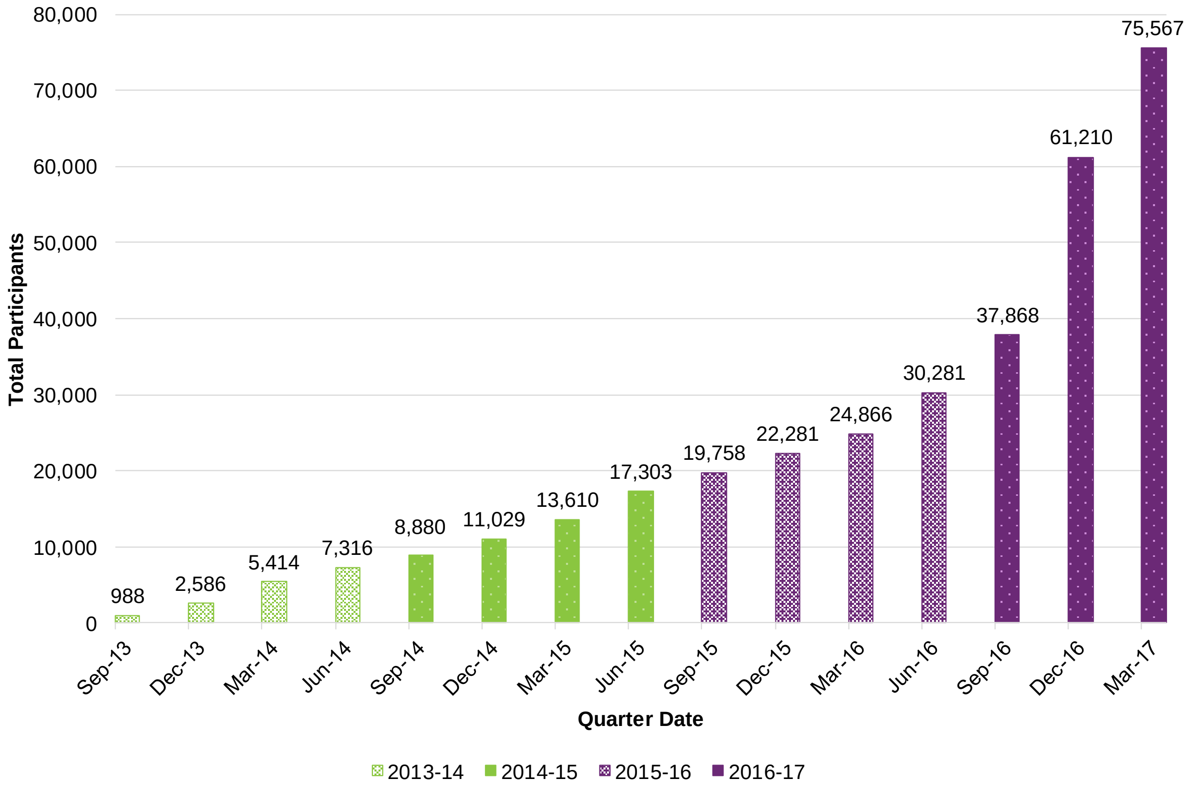
- Participants and plans
- Committed support and payments
- Financial sustainability
- Providers and markets
- Information, linkages and capacity building
- Mainstream interface.

This report is the third quarterly report during the NDIS Transition period, which commenced on 1 July 2016. The executive summary focuses on the growth in the NDIS, as well as its financial sustainability.

NDIS continues to grow

Overall, the report shows that the number of participants in the Scheme continues to grow with 75,567 participants with an approved plan at 31 March 2017.

Figure 1 Number of participants with approved plans by quarter[1]



Growth in terms of the number of participants and the number of providers, was experienced over the past quarter.

Participants

- A total of 14,357 plans were approved this quarter, in line with the average number of plans in the previous two quarters. Note that a high number of participants received plans in Quarter 2 of 2016-17 to compensate for the delay in Quarter 1 of 2016-17.
- During the transition period, the total number of additional participants receiving support is 47,725, comprising 45,286 participants with an approved plan, and 2,439 children having a confirmed referral to the ECEI gateway. This represented 82 per cent of the cumulative bilateral estimate to 31 March 2017. The NDIS continues to work with State/Territory and Commonwealth governments to obtain data on participants transitioning into the Scheme. The NDIA is also working to bring participants into the Scheme who did not previously receive support.
- Table 1 outlines the number of participant plan approval numbers as at the end of March 2017, including plan approvals by State/ Territory.

[1] In addition to the 75,567 participants with approved plans at 31 March 2017, a further 2,439 children are supported in the ECEI gateway. Small adjustments to the number of participants as at 30 September 2016 and 31 December 2016 have been made to reflect more up-to-date data.

Table 1 Plan approvals compared to estimates

	Prior Quarters	2016-17 Q3	Total excluding ECEI	Total including ECEI	Bilateral estimates
NSW	28,774	7,261	36,035	38,163	45,937
VIC	9,369	2,454	11,823	11,980	13,973
QLD	2,572	2,481	5,053	5,177	10,296
WA	2,951	489	3,440	3,440	6,049
SA	10,198	854	11,052	11,081	11,467
TAS	1,645	232	1,877	1,877	1,938
ACT	5,540	448	5,988	5,989	5,075
NT	161	138	299	299	413
Total	**61,210**	**14,357**	**75,567**	**78,006**	**95,148**

- The satisfaction rating remained high with 88 per cent of participants surveyed in the quarter rating their satisfaction with the Agency either good or very good. The overall average satisfaction rating since the Scheme began is 93 per cent.

Provision of Support

- As at 31 March 2017, 6,814 service providers were approved to deliver disability supports and services to NDIS participants in at least one registration group.

- Nationally, the number of approved service providers has increased by 33% from 5,110 at 31 December 2016 to 6,814 at 31 March 2017.

- In the third quarter of 2016-17, 54% of the 6,814 service providers are not yet active (that is, 54% of providers are not yet supporting participants). Many service providers have registered for the NDIS in anticipation of the Scheme rolling-out across the country.

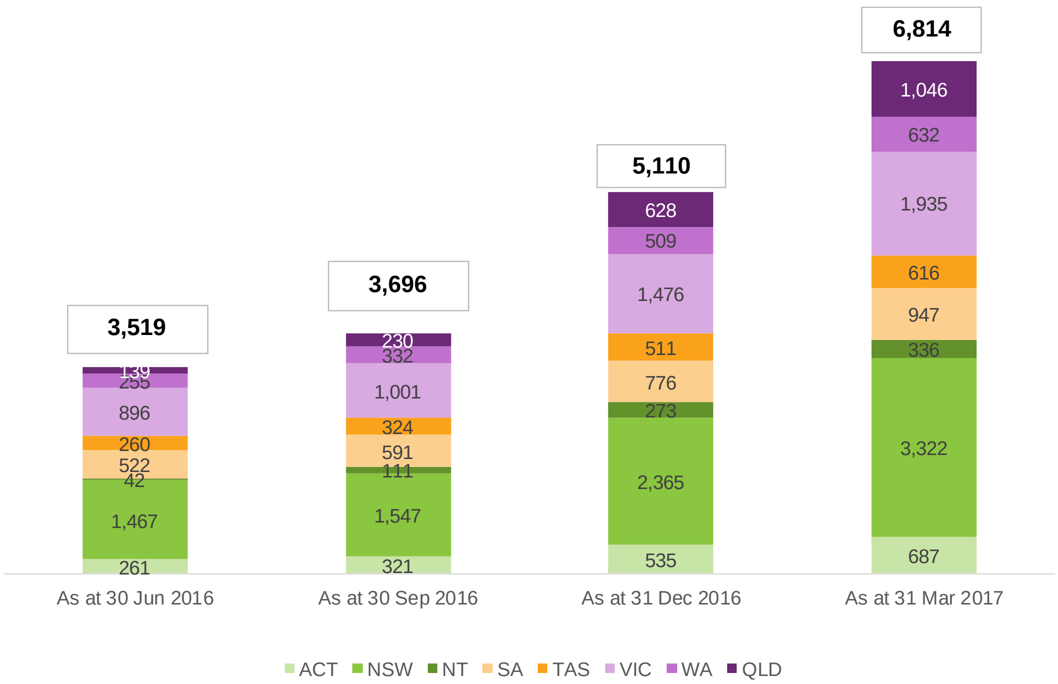
- On average, participants active in the third quarter of 2016-17 are supported by 1.32 service providers.

- The top 25% of service providers receive between 80-90% of the value of payments made by the NDIA for participant supports.

- Figure 2 demonstrates the growth in the number of approved service providers by State and Territory since 1 July 2016. New South Wales and Victoria have the highest number of approved service providers, with 3,322 and 1,935 service providers respectively as at 31 March 2017.[2]

[2] Given providers can be registered to provide services in more than one State/Territory, the total number of approved service providers nationally will not necessarily equal the sum of approved service providers in each State/Territory.

Figure 2 Number of approved service providers by State/Territory



Financial sustainability

- As at 31 March 2017, since 1 July 2013, $6.3 billion of support has been committed to 75,567 participants who have had at least one approved plan.[3] This is a cumulative figure and represents all funding committed to these participants since they entered the Scheme. That is, this cost is distributed over multiple financial years.
- The insurance approach allows pressures on the Scheme to be identified early, so that the Agency can respond in the most appropriate way.
- Consistent with the previous quarterly report, this report identifies some pressures which require monitoring, such as higher than expected number of participants approaching the Scheme, and a degree of committed support in participant plans increasing above indexation.
- Agency responses have been implemented to address such pressures. They include, the Early Childhood Early Intervention (ECEI) approach which is progressively being introduced for participants who are 0-6 years old. The ECEI approach is consistent with the Scheme’s insurance based principles and provides a more targeted response for 0-6 year olds to help ensure their longer term social and economic participation. Also, the reference package and first plan process has been applied since 1 July 2016.
- The Agency continues to closely monitor any adverse pressures, and has mechanisms in place to oversee the development of initiatives to address identified pressures.

Currently, the Productivity Commission estimate of $22 billion a year at full Scheme remains the best estimate of the longer-term cost of a well-managed NDIS. Ongoing work is continuing to verify the longer-term cost of the NDIS based on scheme experience to date.

[3] Note: this excludes children supported in the ECEI gateway.

Key Definitions

Aboriginal and/or Torres Strait Islander	Response of: • Aboriginal but not Torres Strait Islander; or • Australian Aboriginal; or • Torres Strait Islander
Access request	A formal request by an individual for a determination of eligibility to access the Scheme.
Active participant	Active participants are those who have been determined eligible and have not exited the Scheme.
Culturally and Linguistically Diverse (CALD)	Country of birth is not Australia, New Zealand, the United Kingdom, the United States of America, Canada or South Africa, or primary language spoken at home is not English.
Committed support	The cost of supports that are contained within a participant's plan, approved to be provided to support a participant's needs. This amount is annualised to allow for like-for-like comparison in some sections of the report.
In-kind	"In-kind" supports are existing Commonwealth or State/Territory govenrment programs delivered under existing block grant funding arrangements.
Payment	Payments made to providers, participants or their nominees for supports received as part of the participant's plan.
Participant	An individual whose access request has been determined 'eligible'. Note: a participant can be made eligible under the permanent disability criteria of the Act (section 24) or the early intervention criteria of the Act (section 25).
Revenue	Revenue is the amount received from both States/Territories and the Commonwealth governments for participant supports as outlined in the bilateral agreements. This includes both cash and in-kind amounts.
State/Territory	Based on the jurisdiction administering the participant.

Contents

Introduction

A legislative requirement of the National Disability Insurance Agency (NDIA) in the National Disability Insurance (NDIS) Act 2013 (Section 174) is:

The Board members must prepare a report on operations of the Agency for each period of 3 months starting on 1 July, 1 October, 1 January or 1 April; and give the report to the Ministerial Council within 1 month after the end of the period to which the report relates.

National statistics and findings are presented in the body of this report with separate appendices for each State/Territory.

This report is the third quarterly report during the NDIS Transition period which commenced on 1 July 2016.

There are some current limitations to the data available for this report. Specifically, data is not available to report on:

- The number of participants that are culturally and linguistically diverse.
- The amount of supports provided as in-kind for each participant. This in turn affects reporting on utilisation at the participant level.
- Participants exiting the Early Childhood Early Intervention (ECEI) gateway.
- Decision reviews and unscheduled reviews.
- Service provider complaints and investigations.

Ongoing enhancements to the Customer Relationship Management (CRM) system, data warehouse and business practices will address these issues in future reports.

Sections of this report

The sections of this report are as follows:

- An introduction to the NDIS and the rollout of the Scheme to date
- 31 March 2017 performance, reported at a national level, split into the following six areas:
    - Participants and plans
    - Committed supports and payments
    - Financial sustainability
    - Providers and markets
    - Information, linkages and capacity building
    - Mainstream interface
- Appendices for each State/Territory.

National Disability Insurance Scheme locations

The National Disability Insurance Scheme (NDIS) Act received Royal Assent on 28 March 2013, and the NDIS became fully operational on 1 July 2013 with the commencement of NDIS trial sites.

At the conclusion of trial (30 June 2016), the NDIS was operational in nine locations:

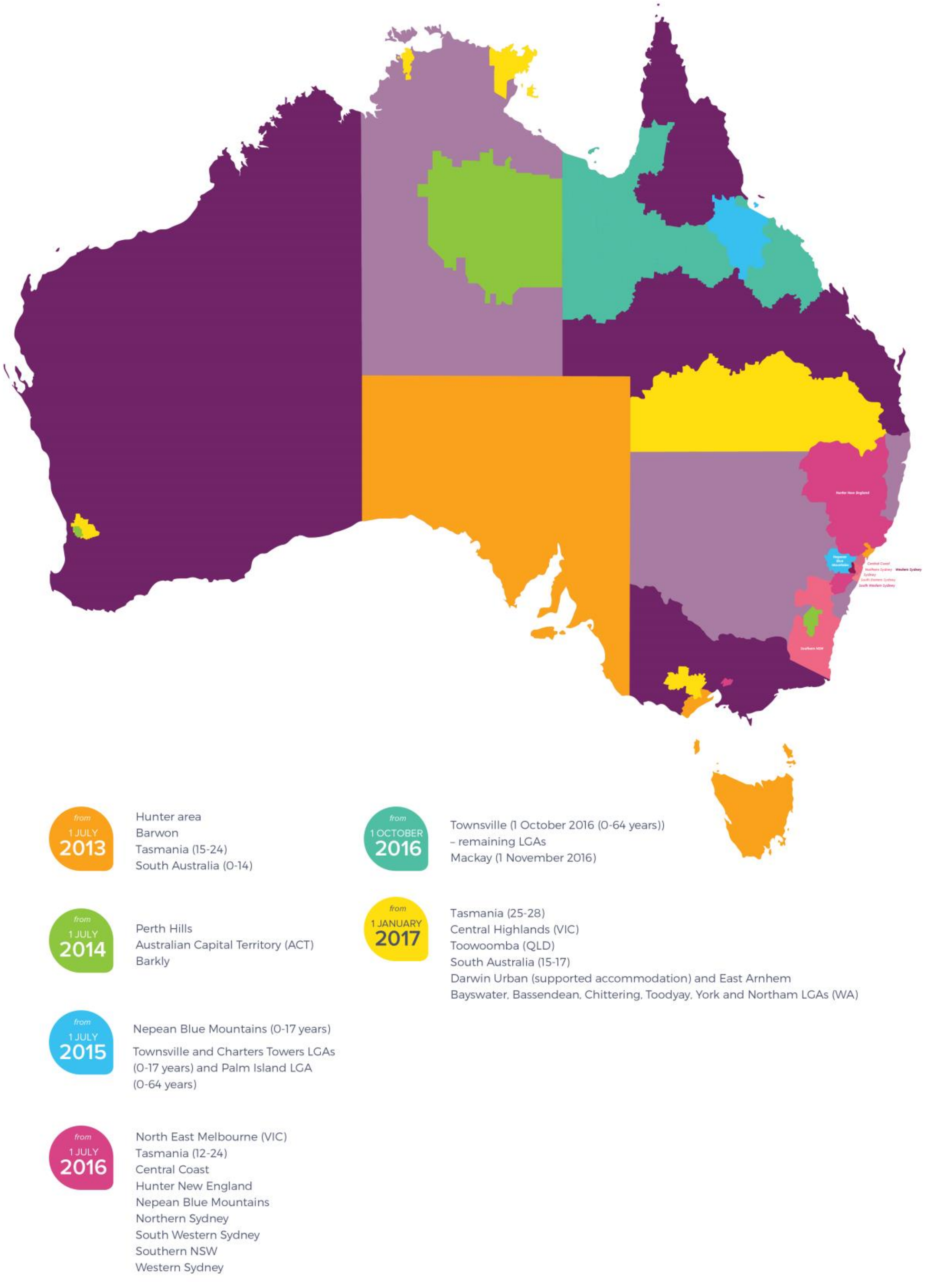
Trial site name	LGAs	Age groups	Commencement date
Hunter trial site - NSW	Newcastle, Lake Macquarie, Maitland	All	1 July 2013
Tasmania trial site		15-24 year olds	1 July 2013
Barwon trial site - Victoria	Greater Geelong, Surf Coast, Queenscliff and Colac-Otway	All	1 July 2013
South Australian trial site		0-14 year olds	1 July 2013
Australian Capital Territory		All	1 July 2014
Perth Hills trial site - WA	Swan, Kalamunda, Mundaring	All	1 July 2014
Barkly region - NT			1 July 2014
Nepean Blue Mountains site - NSW	Blue Mountains, Hawksbury, Lithgow, Penrith	0-17 year olds	1 July 2015
North Queensland site	Townsville and Charters Towers Regional Council	0-17 year olds	1 April 2016
	Palm Island Aboriginal Shire	0-64 year olds	

On 1 July 2016 the NDIS commenced transitioning to full scheme. At 31 March 2017, the NDIS was operational in the following additional locations:

State/Territory	LGAs	Commencement date
New South Wales	Central Coast, New England, Northern Sydney, South Western Sydney, Southern New South Wales, Western Sydney, and the remainder of the Hunter and Nepean-Blue Mountains regions	1 July 2016
Victoria	North East Melbourne region	1 July 2016
	Central Highlands	1 January 2017
Queensland (QLD)	Townsville – all ages	1 October 2016
	Mackay	1 November 2016
	Toowoomba	1 January 2017
Northern Territory	Darwin Urban (eligible clients in supported accommodation) and East Arnhem	1 January 2017
Tasmania	Expanded to include 12-24 year olds	1 July 2016
	Expanded to include 25-28 year olds	1 January 2017
South Australia	Expanded to include 15-17 year olds	1 January 2017

Figure 3 below graphically shows the areas of roll-out by state.

Figure 3 NDIS locations – 31 March 2017



Improving the participant and provider experience

While we have worked hard to bring participants and providers into the Scheme, particularly in the last year, the NDIA is committed to ensuring an effective balance in the pace of implementing the bilateral agreements and ensuring that the individual needs of participants are understood. To this end, the NDIA is undertaking an end to end review of the participant and provider pathways to identify improvements to ensure the experiences people are having with the NDIS is positive and consistent, and that the processes ensure the Scheme remains financially sustainable.

The information gathered through the 'Improving the participant and provider experience' project is building on the NDIA's understanding of the challenges our participants and providers are experiencing at different stages of the participant and provider pathways. The project has the voices of participants, providers, front-line staff and partners at its core.

The NDIA is committed to ensuring the planning process is a positive experience for participants. This includes:

- ensuring information is clear and concise at all stages of the planning pathway
- ensuring clear visibility of where the participant is along the pathway at any given time and what steps they need to follow next
- an acknowledgment that different participants will need different support to participate in the planning process and in engaging with service providers.

The NDIA is also committed to the development of a diverse and innovative market that offers participants real choice and in ensuring providers have positive experiences with the NDIS. The NDIA aims to communicate and implement recommendations from the findings of this project from May/June 2017.

PART 1

Participants and Plans

Introduction

This section outlines information in relation to:

- Intake and plans
- Reviews
- Scheme exits
- Participant demographics
- Plan implementation
- Outcomes
- Scheme assurance.

Key points

The key points relating to participants and plans are as follows:

- A total of 14,357 plans were approved this quarter, in line with the average number of plans in the previous two quarters. Note that a high number of participants received plans in Quarter 2 of 2016-17 to compensate for the delay in Quarter 1 of 2016-17.

- 12,449 plans were reviewed in the quarter compared to 9,748 in the previous quarter.

- As at 31 March 2017, 696 participants with approved plans have exited the Scheme.

- During the transition period, the total number of additional participants receiving support was 47,725, comprising 45,286 participants with an approved plan, and 2,439 children having a confirmed referral to the ECEI gateway.

- The cumulative total number of participants receiving support, at the end of the quarter, was 78,006 (including 2,439 ECEI participants). This represented 82 percent of the bilateral estimate. In addition, 23,081 participants were awaiting a plan at 31 March 2017. Recognising that this is below the bilateral estimate, the NDIA is working with State/Territory and Commonwealth governments to obtain additional data on participants transitioning into the Scheme. The NDIA is also working to bring participants into the Scheme who did not previously receive support.

- A high proportion of children aged 7-14 years entered the Scheme in the quarter, with intellectual disability and autism being the most common disability groups for participants with an approved plan. Around 50% of active participants had a level of function of between 4 (high function) and 7 (moderate function), while 25% had a low level of function (level 11 and higher). Sixty-one percent of active participants who entered the Scheme in this quarter are male.

- The proportion of participants who are fully or partly self-managing their plan is higher in the third quarter of 2016-17 (18%) compared with the first two quarters of 2016-17

(14%), and 57% of participants who have had a plan approved in the third quarter of 2016-17 have support coordination in their plan.

- The majority of plans approved during the first two quarters of the transition period have been activated within 90 days of plan approval.[4]
- Baseline outcome measures were collected on 98% of participants receiving their first plan in the last three quarters. On the whole, participants want more choice and control in their life, have low levels of employment, and have low levels of community participation. Participation rates for mainstream education, training and skill development were also low. Most participants were happy with their current home.
- Baseline outcomes were also collected on families and carers. Many reported that they would like to work more than they do and also see family and friends more often.
- 88% of participants rated their overall satisfaction with the Agency's planning process as either good or very good in the current quarter. This has increased since the last quarter. Since scheme inception, 93% of participants rated their satisfaction with the Agency as either good or very good.
- Since scheme inception there have been 161 access, plan, and plan review related AAT appeals (0.15% of all access decisions) and 3,154 complaints made (2.9% of all access decisions).

1.1 Quarterly intake

14,357 participants received an approved plan in the third quarter of 2016-17 and a further 2,439 children were supported in the ECEI gateway. The number of participants who received a plan in this quarter was lower than the previous quarter (23,342 participants with an approved plan excluding children supported in the ECEI gateway).

1.1.1 Background

Between 1 July 2016 and 30 June 2019, people with disability will transition from existing State/Territory and Commonwealth funded programs to the NDIS.

The NDIS is transitioning to full-scheme in line with phasing schedules bilaterally agreed by State/Territory and Commonwealth governments.

Access requests are assessed against the criteria of s.24 of the NDIS Act 2013 to become a participant, or s.25 of the NDIS Act 2013 to be granted interim status as a participant receiving early intervention support.[5]

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[4] In-kind supports have not been included. However, if in-kind supports were included more plans would be activated sooner than stated.

[5] Where an individual has had to provide evidence of permanent and significant disability to access existing State/Territory or Commonwealth programs (referred to as 'defined' programs), the individual is predetermined to have met the disability criteria of the NDIS Act.

The intention of early intervention under s.25 of the Act is to alleviate the impact of a person's impairment upon their functional capacity by providing support at the earliest possible stage. Early intervention support is also intended to benefit a person by reducing their future needs for supports.

Through the Early Childhood Early Intervention (ECEI) approach, families of children aged 0-6 years with developmental delay or disability will be offered the support of NDIS Early Childhood Partners to access specialist early childhood supports and services. This approach will be rolled out as the Scheme is phased in across Australia.

1.1.2 Quarterly intake

Eighty-five percent (85%) of decisions determined during the third quarter of 2016-17 met the criteria of the Act (referred to as an 'eligible' decision).

Sixty percent (60%) of participants determined 'eligible' in this quarter had transitioned from an existing State/Territory program. Overall, since 1 July 2013, there have been 107,471 access decisions, and 78,006 participants have had an approved plan (including children in the ECEI gateway).

Table 1.1 Quarterly intake (national), split by plan and entry type[6]

	Prior Quarters	2016-17 Q3	Total
Access decisions	**81,086**	**26,385**	**107,471**
Access Met	**76,589**	**22,503**	**99,092**
State/Territory	*46,984*	13,483	60,467
Commonwealth	*3,069*	2,473	5,542
New	*26,536*	6,547	33,083
Total Participant Plans	**63,477**	**16,796**	**78,006**
Early Intervention plans	*16,949*	*2,160*	*19,109*
Permanent disability plans	*44,261*	*12,197*	*56,458*
ECEI	*2,267*	*2,439*	*2,439*

1.2 Plan reviews

12,449 plans were reviewed in the quarter compared to 9,748 in the previous quarter.

Background

Plan reviews can be categorised into three main types of reviews: scheduled, non-scheduled, and administrative. Following successful implementation of a plan, participants will generally commence an ongoing plan review cycle, which is between six to 24 months.

[6] The number of children supported in the ECEI gateway cannot be summed across quarters as they can transition to NDIS plans, hence the ECEI figure shown is cumulative.

1.2.1 Reviews by quarter

The table below depicts the number of plan reviews conducted in each quarter since the commencement of transition.

Table 1.2 Plan reviews (national) conducted per quarter[7]

	2016-17 Q1	2016-17 Q2	2016-17 Q3	Transition Total
Total plan reviews	**4,342**	**9,748**	**12,449**	**26,539**
Early Intervention plans	*1,303*	*2,485*	*2,987*	*6,775*
Permanent disability plans	*3,039*	*7,263*	*9,462*	*19,764*

1.3 Quarterly exits

The majority of participants who have exited the Scheme had a s.24 plan. Overall the percentage of people exiting the Scheme is lower than expected.

1.3.1 Background

Exits are an important sustainability control for ensuring that only participants that continue to meet the access criteria of the Act receive individualised funding. Further, continued eligibility is reviewed periodically and when participant circumstances change.

1.3.2 Quarterly exits

The table below depicts the total number of participants with approved plans who have exited from the Scheme for s.25 and s.24 participants.

Table 1.3 Exits from the Scheme as at 31 March 2017

Exits	
Total plan exits	**696**
Early Intervention plans	*56*
Permanent disability plans	*640*

Note: exits from the ECEI gateway will be reported in future reports.

[7] Plans less than 30 days in duration have been excluded from this tabulation, as these reviews are more likely to represent corrections to the plan rather than an unscheduled plan review to address a change in circumstance.

1.4 Cumulative position

By the end of the quarter, the cumulative total number of participants receiving support was 78,006 (including 2,439 children supported through the ECEI gateway). In addition, 23,081 participants were awaiting a plan at 31 March 2017.

1.4.1 Background

Cumulative position reporting is inclusive of trial participants for the reported period and represents participants who have or have had an approved plan.

1.4.2 Cumulative position by quarterly period

Table 1.4 below presents the cumulative number of participants with a plan by entry point (i.e. whether participants were previously receiving State/Territory or Commonwealth disability services or are new). A cumulative total of 78,006 participants were receiving support, with 23,081 participants awaiting a plan.

Table 1.4 Cumulative position by entry point

	Participant cohort					Bilateral estimate	% of estimate	Awaiting a plan
	State	Commonwealth	New	ECEI	Total			
End of 2015-16	14,464	1,648	14,169		30,281	36,307	83%	
End of 2016-17 Q1	19,729	1,744	16,395		37,868	56,573	67%	
End of 2016-17 Q2	36,185	2,331	22,694	2,267	63,477	74,833	85%	
End of 2016-17 Q3	44,910	3,481	27,176	2,439	78,006	95,148	82%	23,081

Table 1.5 below presents the cumulative number of participants with a plan by scheme cohort (i.e. ECEI, EI s.25, PD s.24). A cumulative total of 78,006 participants were receiving support, with 23,081 participants awaiting a plan.

Table 1.5 Cumulative position (national)

	Participant cohort				Bilateral estimate	% of estimate	Awaiting a plan
	EI (s25) plan	PD (s24) plan	ECEI	Total			
End of 2015-16	12,201	18,080		30,281	36,307	83%	
End of 2016-17 Q1	13,362	24,506		37,868	56,573	67%	
End of 2016-17 Q2	16,949	44,261	2,267	63,477	74,833	85%	
End of 2016-17 Q3	19,109	56,458	2,439	78,006	95,148	82%	23,081

Note: as 696 participants with approved plans have exited the Scheme, the number of active participants with approved plans is 74,871 (excluding children supported through the ECEI gateway). Information on active participants is presented in the following tables.

1.5 Participant profile

A high proportion of children aged 7-14 years entered the Scheme in the quarter, with intellectual disability and autism being the most common disability groups for participants with an approved plan. Around 50% of participants entering the Scheme this quarter had a level of function of between 4 (high function) and 7 (moderate function), while 25% had a low level of function (Level 11 and higher). Sixty-one per cent of participants who entered this quarter are male.

1.5.1 Background

The characteristics of participants entering the Scheme each quarter can vary based on the phasing schedule in each State/Territory which is based on existing programs and age groups. Hence, the results should not be considered to be representative of full scheme.

As mentioned above, the participant profiles are shown only for active participants (i.e. excluding participants who have exited the Scheme). Over time, some participants will exit the Scheme as others will enter and this section of the report shows the profile of participants who currently meet the access criteria of the Act and have an approved plan.

1.5.2 Quarterly profile

The tables below (Table 1.6 to Table 1.11) present the number of active participants with an approved plan in the Scheme for the third quarter of 2016-17 and prior quarters by the following participant characteristics:

- Disability group
- Level of function
- Age group
- Gender
- Aboriginal and Torres Strait Islander status
- Young Person in Residential Aged Care (YPIRAC) status.

Two disability groups dominate the current participant profile, with 65% of active participants having a primary disability of intellectual disability or autism. Considering quarter-on-quarter changes, the proportion of participants with autism and intellectual disability have reduced by one percentage point.

Table 1.6 Active participants with approved plans per quarter by disability group

	Prior Quarters		2016-17 Q3		Total	
Disability group	**N**	**%**	**N**	**%**	**N**	**%**
Intellectual Disability	22,730	38%	4,886	34%	27,616	37%
Autism	17,419	29%	3,744	26%	21,163	28%
Other Physical	2,813	5%	649	5%	3,462	5%
Psychosocial disability	3,777	6%	1,072	7%	4,849	6%
Cerebral Palsy	2,826	5%	732	5%	3,558	5%
Other Neurological	2,603	4%	744	5%	3,347	4%
ABI	1,496	2%	574	4%	2,070	3%
Other Sensory/Speech	1,943	3%	303	2%	2,246	3%
Hearing Impairment	1,438	2%	528	4%	1,966	3%
Visual Impairment	1,303	2%	352	2%	1,655	2%
Multiple Sclerosis	1,037	2%	334	2%	1,371	2%
Spinal Cord Injury	472	1%	184	1%	656	1%
Stroke	524	1%	208	1%	732	1%
Other	154	0%	26	0%	180	0%
Overall	**60,535**	**100%**	**14,336**	**100%**	**74,871**	**100%**

As shown in Table 1.7, about 50% of active participants entering this quarter had a moderate to high level of function (especially levels 4 to 7), while 25% had a low level of function (level 11 and higher). This represents a higher proportion of participants when compared with participants who entered in prior quarters.

Table 1.7 Active participants with approved plan per quarter by level of function

	Prior Quarters		2016-17 Q3		Total	
Level of function	**N**	**%**	**N**	**%**	**N**	**%**
1 (High Function)	219	0.4%	58	0.4%	277	0.4%
2 (High Function)	157	0.3%	38	0.3%	195	0.3%
3 (High Function)	3,321	5%	748	5%	4,069	5%
4 (High Function)	5,407	9%	1,204	8%	6,611	9%
5 (High Function)	11,928	20%	2,503	17%	14,431	19%
6 (Moderate Function)	7,114	12%	2,178	15%	9,292	12%
7 (Moderate Function)	4,250	7%	1,274	9%	5,524	7%
8 (Moderate Function)	3,251	5%	1,145	8%	4,396	6%
9 (Moderate Function)	267	0%	83	1%	350	0%
10 (Moderate Function)	3,799	6%	1,572	11%	5,371	7%
11 (Low Function)	2,740	5%	782	5%	3,522	5%
12 (Low Function)	5,641	9%	1,705	12%	7,346	10%
13 (Low Function)	2,053	3%	683	5%	2,736	4%
14 (Low Function)	1,158	2%	361	3%	1,519	2%
15 (Low Function)	13	0.02%	2	0.01%	15	0.02%
Missing	9,217	15.23%	0	0.00%	9,217	12.31%
Overall	**60,535**	**100%**	**14,336**	**100%**	**74,871**	**100%**

A high proportion of active participants are currently in the 0 to 6 years and 7 to 14 years age group (Table 1.8 below), with 11% and 22% of participants entering the Scheme in the current quarter within this age group. These proportions can be impacted by phasing schedules; 0 to 6 year olds represent a lower proportion of entrants compared with prior quarters.

Table 1.8 Active participant profile per quarter by age group

	Prior Quarters		2016-17 Q3		Total	
Age group	**N**	**%**	**N**	**%**	**N**	**%**
0 to 6	8,814	15%	1,636	11%	10,450	14%
7 to 14	18,086	30%	3,189	22%	21,275	28%
15 to 18	5,283	9%	1,584	11%	6,867	9%
19 to 24	5,615	9%	1,376	10%	6,991	9%
25 to 34	5,133	8%	1,509	11%	6,642	9%
35 to 44	4,760	8%	1,449	10%	6,209	8%
45 to 54	5,986	10%	1,719	12%	7,705	10%
55 to 64	5,923	10%	1,795	13%	7,718	10%
65+	935	2%	79	1%	1,014	1%
Overall	60,535	100%	14,336	100%	74,871	100%

The higher proportion of active male participants with a plan (61%) is consistent with prior quarters (see Table 1.9).

Table1.9 Active participant profile per quarter by gender

	Prior Quarters		2016-17 Q3		Total	
Gender	**N**	**%**	**N**	**%**	**N**	**%**
Male	38,414	63%	8,750	61%	47,164	63%
Female	21,958	36%	5,324	37%	27,282	36%
Indeterminate	163	0%	262	2%	425	1%
Overall	**60,535**	**100%**	**14,336**	**100%**	**74,871**	**100%**

As depicted in Table 1.10 below, 4.2% of active participants with an approved plan who entered in the third quarter of 2016-17 identified as Aboriginal and Torres Strait Islander, with the data not stated for 2.1% of participants. In prior quarters, 5.3% of active participants with an approved plan were Aboriginal and Torres Strait Islander, with the data not captured for 5.7% of participants.

Table 1.10 Active participant profile per quarter by Aboriginal and Torres Strait islander status

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
Aboriginal and Torres Strait Islander	3,192	5.3%	598	4.2%	3,790	5.1%
Not Aboriginal and Torres Strait Islander	53,888	89.0%	13,433	93.7%	67,321	89.9%
Not Stated	3455	5.7%	305	2.1%	3,760	5.0%
Total	**60,535**	**100%**	**14,336**	**100%**	**74,871**	**100%**

An additional 122 active participants with an approved plan entered the Scheme from 'Young People in Residential Aged Care' (YPIRAC) in the third quarter of 2016-17. The NDIA has an action plan in place to prioritise young people in residential aged care entering the Scheme. This involves working with State/Territory and Commonwealth governments where required.

Table 1.11 Active participant profile per quarter by Young people in Residential aged care (YPIRAC) status

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
YPIRAC	355	0.6%	122	0.9%	477	0.6%
Not YPIRAC	60,180	99.4%	14,214	99.1%	74,394	99.4%
Overall	60,535	100%	14,336	100%	**74,871**	**100%**

1.6 Plan management and support coordination

The proportion of participants who are fully or partly self-managing their plan is higher in the third quarter of 2016-17 (18%) compared with the first two quarters of 2016-17 (14%), and 57% of participants who have had a plan approved in the third quarter of 2016-17 have support coordination in their plan.

1.6.1 Background

Participants can use different methods to manage their funding. More than one method can be used in any one plan.

Support co-ordination is a capacity building support in participant plans.

1.6.2 Quarterly plan management and support coordination

The tables below present the number of participants with an approved plan supported under various models of financial plan management and plan implementation methods.

Table 1.12 Distribution of active participants by method of Financial Plan Management and quarter of plan approval[8,9]

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Self-managed fully	6%	7%	6%
Self-managed partly	8%	11%	9%
Plan managed	7%	15%	10%
Agency managed	79%	68%	74%
Total	**100%**	**100%**	**100%**

Table 1.13 Distribution of active participants by support coordination and quarter of plan approval [10]

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Support coordination	52.9%	57.4%	54.8%

1.7 Plan activation

The majority of plans approved during the first two quarters of the transition period have been activated within 90 days of plan approval.

1.7.1 Background

Reporting on plan activation refers to the amount of time between plan approval and the commencement of support.[11]

1.7.2 Quarterly plan activation

The table below depicts the number of days to plan activation for participants with first plan approvals in Quarter 1 and Quarter 2 of the transition period. Given that plans approved in Quarter 3 are relatively new, it would be too early to examine the duration to plan activation for these plans and hence these have been excluded in the below tables. In Quarter 1, 73%

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[8] Participants can use more than one method to manage their funding. This table is a hierarchy therefore each participant is only captured once. The hierarchy is: (1) self-managed fully, (2) self-managed partly (regardless of other methods being used), (3) anyone who does not fall into 'self-managed partly' and has a plan manager, and (4) anyone else.

[9] Trial participants are not included.

[10] Trial participants are not included.

[11] This is measured using data on payments.

of plans approved were activated within 90 days of approval and 62% of plans approved in Quarter 2 were activated within 90 days of approval. More plans than reported in this table will have been activated. This is because some plans include in-kind support which is not included in the analysis, and because there is a delay between when support is provided and when it is invoiced. Invoices are required to understand when support commenced being provided to the participant.

Table 1.14 Duration to plan activation by quarter of first plan approval for active participants

	2016-17 Q1		2016-17 Q2	
Plan activation	**N**	**%**	**N**	**%**
Less than 30 days	4,042	53%	9,176	39%
30 to 59 days	978	13%	3,435	15%
60 to 89 days	490	6%	1,911	8%
Activated within 90 days	**5,510**	**73%**	**14,522**	**62%**
90 to 119 days	224	3%	1,000	4%
120 days and over	420	6%	359	2%
Activated between 90 and 180 days	**644**	**9%**		
No payments	1,403	19%	7,401	32%
Total plans approved	**7,557**	**100%**	**23,282**	**100%**

1.8 Outcomes for participants and their families/carers

Baseline outcome measures were collected on 98% of participants receiving their first plan in the last three quarters. On the whole, participants want more choice and control in their life, have low levels of employment, and have low levels of community participation. Participation rates for mainstream education, training and skill development were also low. Most participants were happy with their current home.

Baseline outcomes were also collected on families and carers. Many reported that they would like to work more than they do and also see family and friends more often.

1.8.1 Background

The NDIS outcomes framework is used as the basis for this reporting, and is described below. The NDIS outcomes framework will be collected on participants over time. Only baseline information is presented in this report as participants have only just entered the NDIS.

The NDIS Outcomes Framework collects information from participants and families/carers on how they are progressing in different areas (domains) of their lives. Building on research undertaken by the Independent Advisory Council, the outcomes framework adopts a lifespan approach to measuring outcomes, recognising that different outcomes will be important at different stages of life. Questionnaires have been developed for four different participant age groups. There are also three different family/carer questionnaires, depending on the age of the participant.

This report includes results from the short-form outcomes framework (SFOF) questionnaires collected during the first three quarters of 2016-17. Active participants with a first plan approved during the period 1 July 2016 to 31 March 2017 are included. At this stage only a cross-sectional (baseline) analysis is possible since no longitudinal history has been built up yet. As this history accumulates, it will be possible to measure and report on within-individual change over time.

1.8.2 Baseline data

Table 1.15 Number of questionnaires completed by SFOF version[12]

Version	Number of questionnaires collected Q1	Number of questionnaires collected Q2	Number of questionnaires collected Q3	Number of questionnaires
Participant 0 to school	884	2,716	1,795	5,395
Participant school to 14	1,204	6,554	3,304	11,062
Participant 15 to 24	932	4,418	2,565	7,915
Participant 25 and over	4,361	9,068	6,351	19,780
Total Participant	**7,381**	**22,756**	**14,015**	**44,152**[13]
Family 0 to 14	1,944	8,786	4,743	15,473
Family 15 to 24	282	1,198	505	1,985
Family 25 and over	95	248	187	530
Total Family	**2,321**	**10,232**	**5,435**	**17,988**
Total	**9,702**	**32,988**	**19,450**	**62,140**

Table 1.16 to Table 1.19 summarise results for the key indicators, for each of the seven SFOF versions. Aggregate results for all active participants with a first plan approved in the period 1 July 2016 to 31 March 2017 are shown in these tables. In general the results have not changed a great deal since the previous quarterly report. Indicators where there has been a change of four percentage points or more include:

- for participants from birth to starting school, the percentage of parents/carers with concerns in 6 or more areas has increased from 52% to 56%;
- for participants aged 25 and over the percentage of those participating in education, training or skill development who do so in mainstream settings has increased from 45% to 49%;
- for family members/carers of participants aged 25 and over the percentage of those with a paid job who are in permanent employment has increased from 74% to 79%, of those unable to work as much as they want, the percentage who cite insufficient flexibility of jobs as a barrier has increased from 24% to 29%, and the percentage saying they have begun to make plans for when they are no longer able to care for their family member with disability has decreased from 45% to 37%.

[12] Some slight differences for Q1 and Q2 compared to the previous quarterly report are due to time lags in receiving information in the ICT system, and some participants no longer being active.
[13] This represents 98% of participants entering the Scheme during the first three quarters of 2016-17.

Table 1.16 Selected key indicators for participants – Daily Living (DL) and Choice and Control (CC)

	Indicator	0 to before school	School to 14	15 to 24	25 and over
DL	% with concerns in 6 or more of the areas: gross motor skills, fine motor skills, self-care, eating, social interaction, communication, cognitive development, sensory processing	56%			
CC	% who say their child is able to tell them what he/she wants	79%			
DL	% developing functional, learning and coping skills appropriate to their ability and circumstances		32%		
DL	% who say their child is becoming more independent		44%		
CC	% of children who have a genuine say in decisions about themselves		66%		
CC	% who are happy with the level of independence/control they have now			41%	
CC	% who choose what they do each day			43%	59%
CC	% who had been given the opportunity to participate in a self-advocacy group meeting			22%	28%
CC	% who want more choice and control in their life			70%	62%

Table 1.17 Selected key indicators for participants – Relationships (REL) and Social/ Community Participation (S/CP)

	Indicator	0 to before school	School to 14	15 to 24	25 and over
REL	% of children who can make friends with people outside the family	65%	63%		
S/CP	% of children who participate in age appropriate community, cultural or religious activities	58%			
REL	% of children who spend time after school and on weekends with friends and/or in mainstream programs		34%		
REL	Of these, % who are welcomed or actively included	64%	77%		
REL	% of children who spend time with friends without an adult present		15%		
REL	% with no friends other than family or paid staff			27%	25%
S/CP	% who have been actively involved in a community, cultural or religious group in the last 12 months			31%	36%

Table 1.18 Selected key indicators for participants – Lifelong Learning (LL), Work (WK), Home (HM) and Health and Wellbeing (HW)

	Indicator	0 to before school	School to 14	15 to 24	25 and over
LL	% of children attending school in a mainstream class		51%		
HM	% who are happy with their home			84%	79%
HM	% who feel safe or very safe in their home			87%	79%
HW	% who rate their health as good, very good or excellent			70%	52%
HW	% who did not have any difficulties accessing health services			71%	70%
LL	% who currently attend or previously attended school in a mainstream class			27%	
LL	% who participate in education, training or skill development				14%
LL	Of those who participate, % who do so in mainstream settings				49%
LL	% unable to do a course or training they wanted to do in the last 12 months				31%
WK	% who have a paid job			16%	25%
WK	% who volunteer			12%	13%

Table 1.19 Selected key indicators for families/ carers of participants

Indicator	0 to 14	15 to 24	25 and over
% receiving Carer Payment	24%	29%	17%
% receiving Carer Allowance	53%	53%	20%
% working in a paid job	44%	45%	22%
Of those in a paid job, % in permanent employment	74%	73%	79%
Of those in a paid job, % working 15 hours or more	78%	84%	83%
% who say they (and their partner) are able to work as much as they want	43%	47%	57%
Of those unable to work as much as they want, % who say the situation of their child/family member with disability is a barrier to working more	87%	88%	81%
Of those unable to work as much as they want, % who say insufficient flexibility of jobs is a barrier to working more	38%	34%	29%
% able to advocate for their child/family member	78%	74%	70%
% who have friends and family they see as often as they like	45%	47%	35%
% who feel very confident or somewhat confident in supporting their child's development	86%		
% who know what their family can do to enable their family member with disability to become as independent as possible		46%	
% who feel in control selecting services		41%	46%
% who have made plans (or begun to make plans) for when they are no longer able to care for their family member with disability			37%
% who rate their health as good, very good or excellent	72%	65%	60%

1.8.3 Trend analysis

Note: this will occur from September 2017 when plan reviews for transition participants commence.

1.8.4 Correlation to service/ support types

Note: this will occur from September 2017 when plan reviews for transition participants commence.

1.9 Participant satisfaction

88% of participants rated their satisfaction with the Agency as either good or very good in the current quarter. This has increased since the last quarter. Since scheme inception, 93% of participants rated their satisfaction with the Agency as either good or very good.

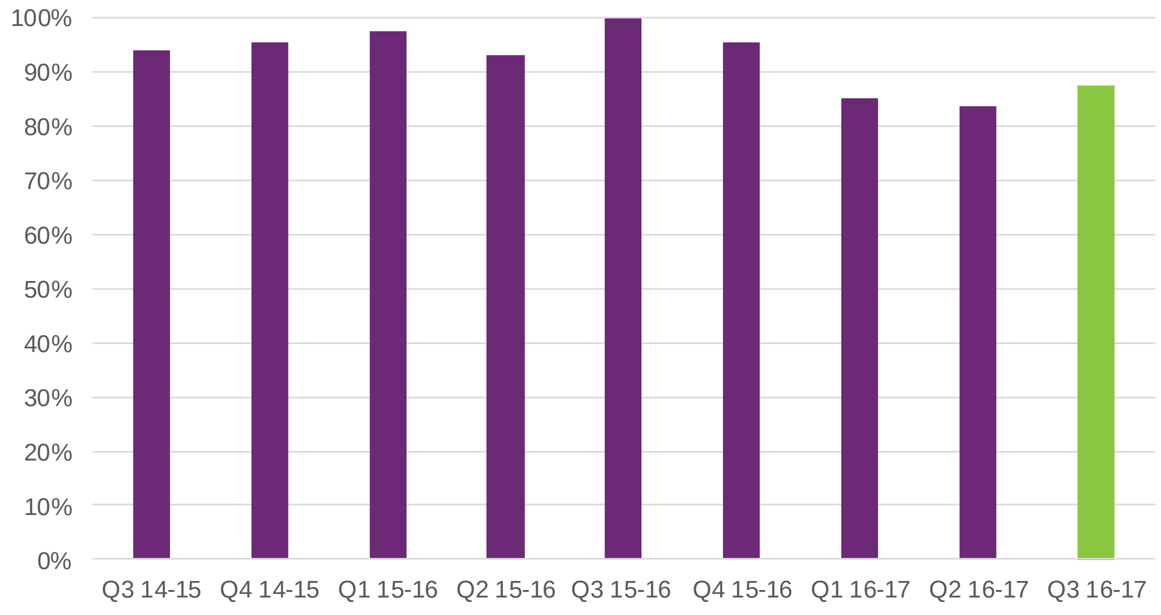
1.9.1 Background

The overall satisfaction rating is calculated as an average of the satisfaction ratings of each participant surveyed. Participants are contacted by a member of the engagement team, after their plan is agreed with their planner. Note, not all participants choose to complete and submit their survey, and the participant responses remain anonymous to the NDIA.

1.9.2 Quarter

Participant satisfaction continues to be high, but has dropped during transition, compared with the experience during trial.

Figure 4 Proportion of participants describing satisfaction with the agency as good or very good – by quarter



1.10 Scheme assurance

Since inception there have been 161 AAT appeals (0.15% of all access decisions) and 3,154 complaints made (2.9% of all access decisions).

1.10.1 Background

This section summaries the following:

- Complaints made to the Agency during the quarter
- Appeals to the AAT.

1.10.2 Complaints

At 31 March 2017, 3,154 complaints had been made (2.9% of all access decisions).

Table 1.20 complaints and issues by quarter

	Prior quarters (Transition only)	2016-17 Q3	Total
Complaints	1,944	1,210	3,154

1.10.3 Administrative Appeals Tribunal

To date there have been 161 appeals to the AAT relating to access and plans (0.15% of access decisions). Of the 161 appeals, 43 have been regarding access issues, 104 regarding planning issues, and 14 regarding plan reviews (Table 1.21).

Table 1.21 AAT cases by category and quarter, as a proportion of total decisions

	AAT cases			
	Access	Plan	Plan review	Total
At 31 December 2016	31	78	3	112
At 31 March 2017	43	104	14	161

1.11 Decision assurance

As mentioned above, the NDIA is currently reviewing the participant and provider pathways. Part of this review is considering compliance and quality metrics. In the interim, data is presented on the participant's experience in the planning process.

On the whole, responses of 'Agree' and 'Strongly Agree' were high, ranging between 72% and 92% across all questions.

Table 1.22 Proportion of Participants rating their level of agreement as strongly agree, agree, neutral, disagree or strongly disagree in response to statements about the planning process

	Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree
The planner listened to me	48%	44%	6%	2%	0%
I had enough time to tell my story and say what support I need	50%	41%	3%	5%	1%
The planner knows what I can do well	28%	49%	14%	8%	1%
The planner had some good ideas for my plan	30%	53%	10%	6%	1%
I know what is in my plan	23%	49%	15%	12%	1%
The planner helped me think about my future	28%	51%	12%	8%	1%
I think my plan will make my life better	37%	50%	10%	2%	1%
The planning meeting went well	41%	50%	5%	4%	0%

PART 2

Committed supports and payments

Introduction

This section presents information on the amount committed in plans and payments to service providers and participants.

Also included in this section is reporting on the assurance of payments.

Key points

The key points relating to committed supports and payments are as follows:

- As at 31 March 2017, since 1 July 2013, $6.3 billion of support has been committed to 75,567 participants who have had at least one approved plan.[14] This is a cumulative figure and represents all funding committed to these participants since they entered the Scheme. That is, this cost is distributed over multiple financial years.
- $2.2 billion of support has been paid to providers and participants. Utilisation of committed support is 65% in 2013-14, and 74% in 2014-15 and 2015-16. Experience is still emerging in 2016-17.

2.1 Committed supports

As at 31 March 2017, since 1 July 2013, $6.3 billion of support has been committed to 75,567 participants who have had at least one approved plan (excluding children supported through the ECEI gateway). This is a cumulative figure and represents all funding committed to these participants since they entered the Scheme. That is, this cost is distributed over multiple financial years. On average, revenue is below the amount of committed support in plans. However, revenue is more in line with the amount of support being utilised by participants, noting that not all support in plans is being utilised.

2.1.1 Background

Committed support is the amount put in plans, noting that service providers invoice for support provided against this plan amount, and participants who are self-managing, also draw down against this amount. Not all committed support in a plan is used by a participant.

[14] Children supported through the ECEI gateway are not included.

2.1.2 Committed support by financial year

The table below presents the committed amount of support by year that is expected to be provided, compared with committed supports that have been used (paid). $6.3 billion of support has been committed to 75,567 participants.

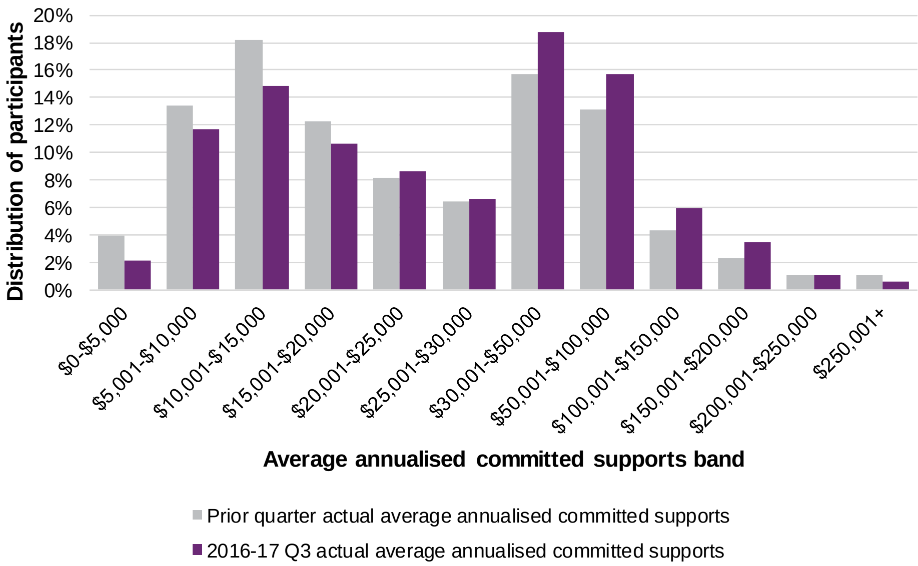
Table 2.1 Committed supports by financial year ($m)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*141.0*	*506.0*	*924.7*	*3,030.1*	*1,653.9*	*6,255.7*

2.1.3 Distribution of committed support by annualised cost band

A higher proportion of active participants with first plan approvals in the third quarter of 2016-17 have average annualised committed supports greater than $30,000, compared with active participants who entered in prior quarters.

Figure 2.1 Average committed support by annualised committed support band (excluding participants with shared supported accommodation supports) – active participants with first plan approvals in 2016-17 Q3 compared with active participants with first plan approvals in prior quarters



The remaining figures in this section compare the following:

- Average annualised committed support in current plans for participants who entered the Scheme prior to the commencement of Quarter 3 of 2016-17 (that is, prior to 1 January 2017)
- Average annualised committed support in plans for participants who entered the Scheme in Quarter 3 of 2016-17
- The amount of revenue received for participants in prior quarters and the current quarter. Revenue is the amount received from both States/Territories and the Commonwealth governments for participant supports as outlined in the bilateral agreements. This includes both cash and in-kind amounts.

These comparisons are by age group, primary disability group, and level of function.

2.1.4 Average annualised committed supports by age

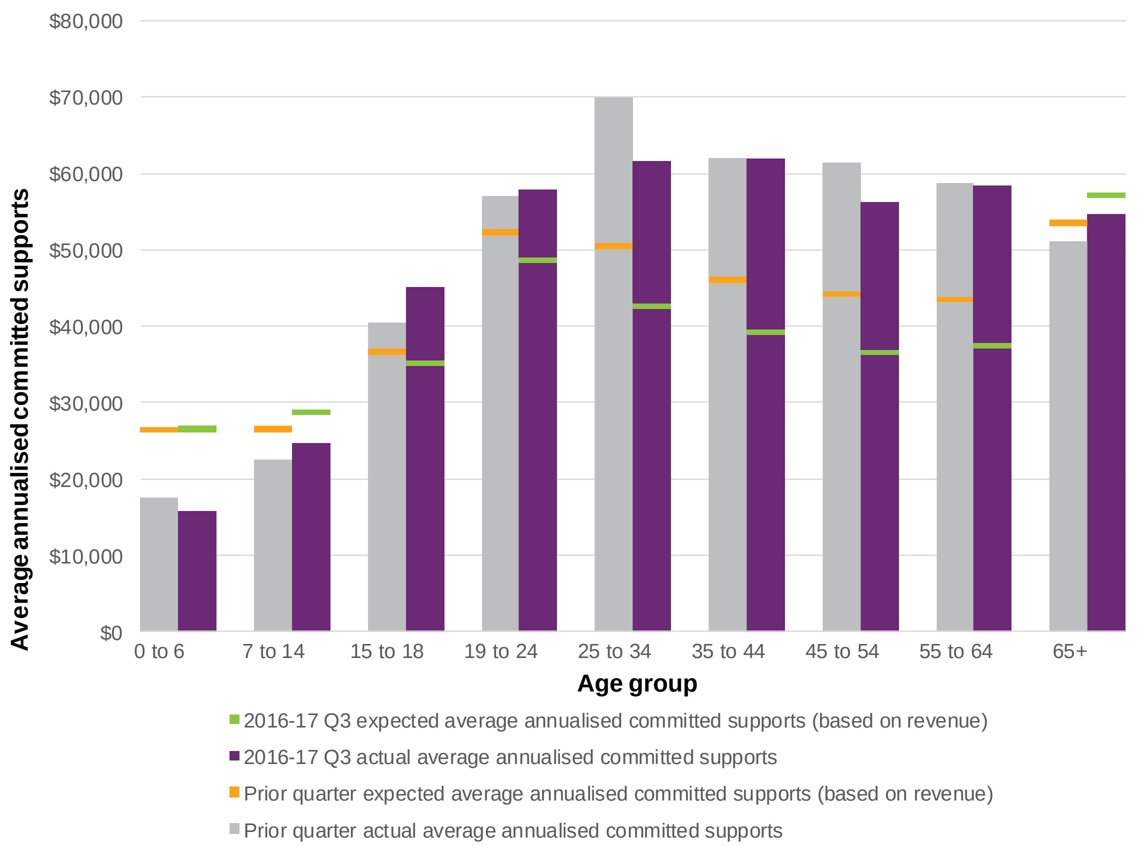
For the purposes of interpreting Figures 2.2, 2.3 and 2.4, an example is provided for children aged 0-6 years in Figure 2.2.

The average annualised committed support in the relevant participants' latest plans is compared between participants who entered the Scheme prior to the commencement of Quarter 3 of 2016-17 ($17,500) and participants who entered the Scheme during Quarter 3 of 2016-17 ($15,900). A further comparison is made between the revenue received for participants who entered the Scheme prior to the commencement of Quarter 3 of 2016-17 ($26,000) and participants who entered the Scheme during Quarter 3 of 2016-17 ($26,200).

For active participants with first plan approvals in the third quarter of 2016-17 aged 0 to 6 years, 25 to 34 years, 45 to 54 years and 55 to 64 years, average annualised committed supports are lower compared with active participants with first plan approvals in prior quarters.

Actual average annualised committed supports are higher than revenue received for older age groups (15-64 year olds) and less than revenue received for 0-14 year olds and participants aged 65 years and over. This is consistent with participants who received a first plan in prior quarters. The revenue received is based on the bilateral agreements.

Figure 2.2 Average committed support by age group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals in 2016-17 Q3 compared with active participants with first plan approvals in prior quarters

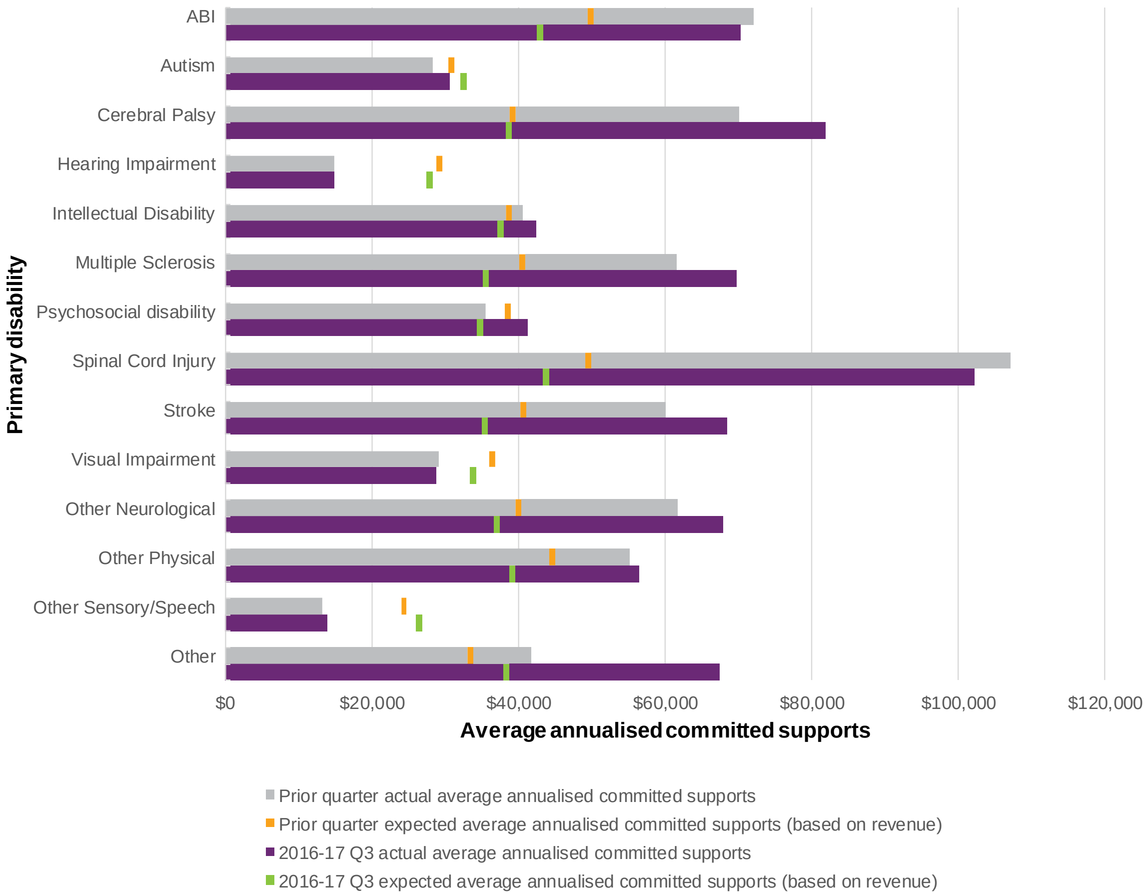


2.1.5 Average annualised committed supports by disability

Average annualised committed supports are higher in the third quarter of 2016-17 compared with the active participants in prior quarters across most disability groups, with the exception of Acquired Brain Injury (ABI) and spinal cord injury. Differences in costs will likely reflect the varying phasing cohorts each quarter.

For active participants with autism related disorders, hearing or visual impairment and sensory/speech disabilities (excluding those in shared supported accommodation), actual average annualised committed supports were lower than average revenue received. For active participants with psychosocial disability, actual average annualised committed supports were higher than the average revenue received in the third quarter of 2016-17. However, in prior quarters, the average annualised committed supports for psychosocial participants was lower than the revenue received.

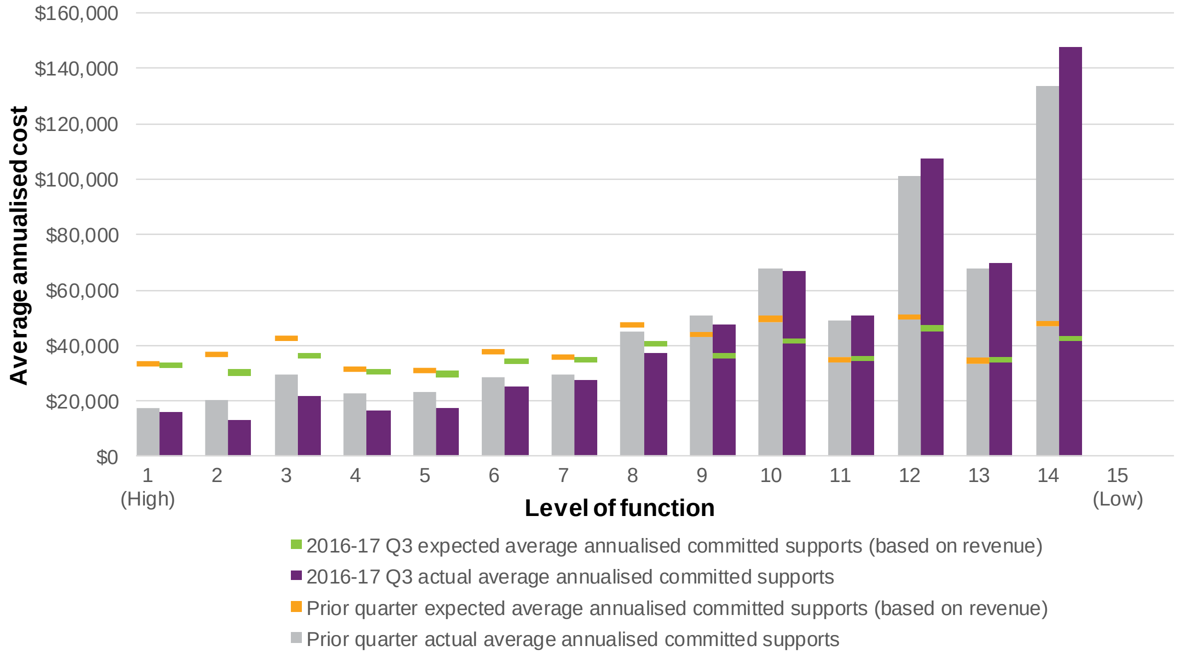
Figure 2.3 Average committed support by primary disability group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals in 2016-17 Q3 compared with active participants with first plan approvals in prior quarters



2.1.6 Average annualised committed supports by level of function

Average annualised committed supports are lower in the third quarter of 2016-17 compared with prior quarters across the high and moderate levels of function (i.e. 1 to 10). Actual average annualised committed supports are higher than average revenue received for participants with moderate to low levels of function (9 to 14) and less than revenue received for participants with high to moderate level of function (1 to 8).

Figure 2.4 Average committed support by level of function (excluding participants with shared supported accommodation supports) – active participants with first plan approvals in 2016-17 Q3 compared with active participants with first plan approvals in prior quarters[15]



2.2 Payments

$2.2 billion of support has been paid to providers and participants. Utilisation of committed support is 65% in 2013-14, and 74% in 2014-15 and 2015-16. Experience is still emerging in 2016-17.

2.2.1 Background

Payments made to providers and participants represent the amount of committed support which is used. The proportion of the plan used is referred to as the utilisation of the plan.

2.2.2 Payments

Of the $6.3 billion that has been committed in participant plans, $2.2 billion has been paid to date (Table 2.2). In particular, for supports provided in:

- 2013-14 – $91.9m has been paid
- 2014-15 – $376.1m has been paid
- 2015-16 – $685.4m has been paid

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[15] Level of function 15 does not have sufficient data to show an average cost.

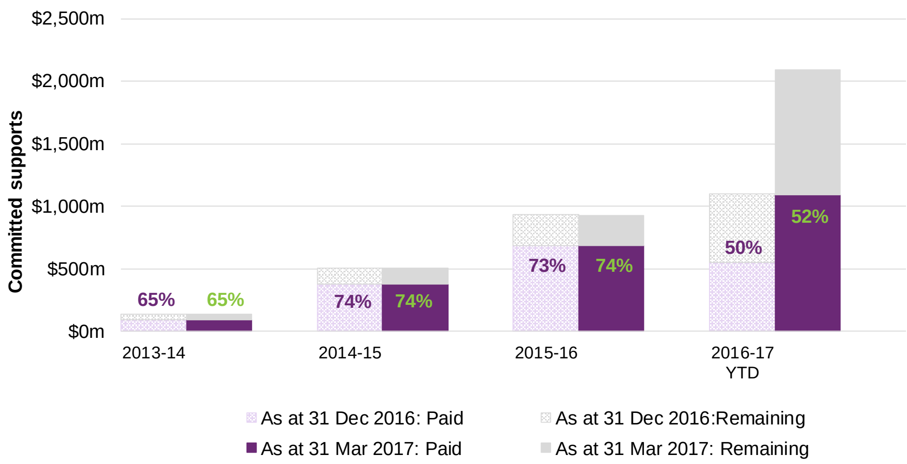
- 2016-17 to date – $1,094.3m has been paid.

Table 2.2 Payments by financial year, compared to committed supports ($m)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*141.0*	*506.0*	*924.7*	*3,030.1*	*1,653.9*	*6,255.7*
Total paid	*91.9*	*376.1*	*685.4*	*1,094.3*	-	*2,247.6*
% utilised to date	*65%*	*74%*	*74%*			

The utilisation of committed supports expected to be provided in 2013-14, 2014-15 and 2015-16 remains similar to the previous quarter. The utilisation rate for 2016-17 year to date committed supports has improved by two percentage points.

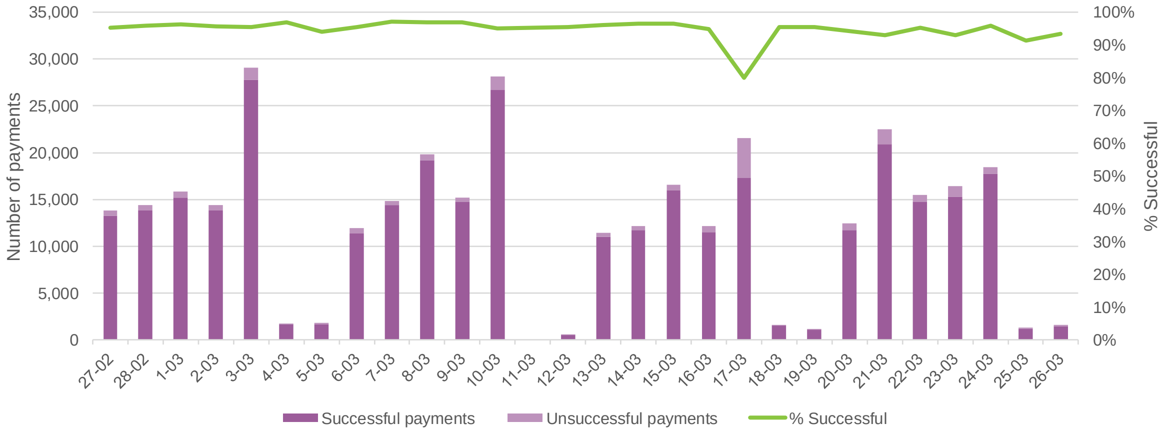
Figure 2.5 Utilisation of committed supports as at 31 December 2016 and 31 March 2017



2.2.3 Payment assurance compliance

As mentioned above, the NDIA is currently reviewing the participant and provider pathways. This review will include the derivation of metrics to monitor payment assurance. Currently the Agency tracks the rate of successful payments each day. On average, approximately 95% of payments are successful each day.

Figure 2.6 Rate of successful payments per day during March 2017[16]



16 Note: the dip in payment success rate on 17 March 2017 was due to a one-off service provider bulk payment failure.

Financial sustainability

Introduction

The cost of the NDIS is dependent on the number of people who enter the Scheme, the amount of reasonable and necessary support allocated in plans, how the amount in plans changes over time, the utilisation of the support in each plan, and when participants exit the Scheme.

The NDIS insurance approach allows pressures on the Scheme to be identified early and management responses put in place to respond to these pressures. Specifically, data is collected on participants (including the characteristics of the participants, costs and outcomes), and this actual experience is compared with the baseline projection. This actuarial monitoring occurs continuously and allows management to put in place strategies as required.

Key points

Comparing actual experience to expected experience since 30 June 2016 highlights the following key points relating to financial sustainability:

- Higher than expected numbers of children entering the Scheme, both for 0-6 year olds and 7-14 year olds. The prevalence of 0-6 year olds remained similar between the 30 June 2016 and 28 February 2017, but the prevalence of 7-14 year olds increased between 30 June 2016 and 28 February 2017. Prevalence pressure is now emerging in some sites for 15-18 year olds.
- Increasing package costs over and above the impacts of inflation and ageing, with further deterioration of experience between 30 June 2016 and 28 February 2017.
- Higher than expected participants continuing to approach the Scheme, noting this trend continued between 30 June 2016 and 28 February 2017, with some reduction in New South Wales and the Australian Capital Territory.
- Lower than expected participants exiting the Scheme. High numbers of participant entered the Scheme after 1 July 2016 and very few have exited.
- A mismatch between benchmark package costs and actual committed support, noting that this improved between 30 June 2016 and 28 February 2017. One driver of the mismatch is participants with moderate intellectual disability, who were not expected to receive sufficiently high levels of funding for shared supported accommodation.

In managing these financial sustainability trends, two specific initiatives have been put in place. These are the Early Childhood Early Intervention (ECEI) approach, and the reference package and first plan approach.

- The ECEI approach is being progressively rolled out. The ECEI approach provides a gateway to the NDIS for children 0-6 years, which aims to ensure only children meeting the eligibly criteria for the NDIS enter as a participant. The gateway also provides support for children to access mainstream and community services when they do not meet the criteria, but need some support to access these services.
- The reference package and first plan process is a method for better aligning the level of function and need with support packages for participants when they first enter the Scheme. This process is now underway, but ongoing refinement of this process to ensure the right assessment tools and questions are used is critical. This method for allocating funds should also be a focus at plan review. Importantly, this process assists in determining the reasonable and necessary support package from which participants can then plan their supports to meet their goals.

Currently, the Productivity Commission estimate of $22 billion a year at full scheme remains the best estimate of the longer-term cost of a well-managed NDIS. Ongoing work is continuing to verify the longer-term cost of the NDIS based on scheme experience to date.

PART 4

Providers and markets

Introduction

This section contains information on registered service providers and the market, with key provider and market indicators presented.

Key points

- As at the current quarter (2016-17 Q3) there were 6,814 registered providers, which is a 33% increase in the number of registered service providers since the previous quarter (2016-17 Q2).
- 39% of service providers are individual/sole traders.
- Therapeutic supports has the highest number of registered service providers and has seen a 35% increase in the number of registered providers since the previous quarter.
- On average, participants are utilising the services of 1.32 providers, and when comparing Q2 and Q3, 0.51 providers were new to the participant.
- 54% of providers are not yet active.
- The top 25% of providers account for approximately 80-90% of the value of payments made by the NDIA for participant supports.

Providers and Markets

As at the current quarter (2016-17 Q3) there were 6,814 registered providers, which is a 33% increase in the number of registered service providers since the previous quarter (2016-17 Q2).

4.1.1 Background

Provider registration operates under the following parameters:

- To provide supports to NDIS participants, a service provider is required to register and be approved by the NDIA.
- Providers register with the NDIS by submitting a registration request, indicating the types of support (registration groups) they are accredited to provide.
- Providers are approved to deliver disability supports and services to participants of the NDIS if they have at least one registration group approved.

Further, NDIS participants have the flexibility to choose the providers that support them, and providers are paid for disability supports and services provided to the participants.

4.1.2 Providers

As at the current quarter there were 6,814 registered providers – 2,667 individual/ sole trader operated businesses, and 4,147 providers registered as a company/ organisation (Table 4.1).

Table 4.1 Key national provider indicators by quarter[17]

	Prior	2016-17 Q3	Total
Provider indicators			
a) Registrations by profile			
Individual/ sole trader	*1,880*	*803*	*2,667*
Company/ organisation	*3,230*	*933*	*4,147*
Total	*5,110*	*1,736*	*6,814*
b) Registration revoked		*32*	

Table 4.2 below shows the number of providers by registration group. Therapeutic supports has the highest number of registered service providers and has seen a 35% increase in the number of registered providers since last quarter.

[17] The total number of providers as at 31 March 2017 (6,814) is not the sum of the number of providers as at 31 December 2016 (5,110) and the providers registered in the third quarter of 2016-17 (1,736). This is due to 32 providers whose registration ended during the third quarter.

Table 4.2 Cumulative number of approved providers by registration group[18]

Registration Group	Prior	2016-17 Q3	Total	% change
Therapeutic Supports	2,705	945	3,650	35%
Household Tasks	1,221	383	1,604	31%
Assist-Travel/Transport	1,120	311	1,431	28%
Early Childhood Supports	1,083	341	1,424	31%
Participate Community	977	190	1,167	19%
Development-Life Skills	946	183	1,129	19%
Assist Prod-Pers Care/Safety	834	227	1,061	27%
Assist Personal Activities	831	164	995	20%
Assist-Life Stage, Transition	834	161	995	19%
Behaviour Support	787	200	987	25%
Personal Activities High	779	145	924	19%
Personal Mobility Equipment	691	212	903	31%
Group/Centre Activities	726	172	898	24%
Home Modification	646	239	885	37%
Accommodation/Tenancy	669	156	825	23%
Support Coordination	547	256	803	47%
Ex Phys Pers Training	536	249	785	46%
Daily Tasks/Shared Living	630	95	725	15%
Innov Community Participation	408	314	722	77%
Plan Management	519	185	704	36%
Assistive Prod-Household Task	491	181	672	37%
Assistive Equip-Recreation	430	145	575	34%
Comms & Info Equipment	397	159	556	40%
Custom Prosthetics	420	125	545	30%
Community Nursing Care	370	122	492	33%
Assist Access/Maintain Employ	434	56	490	13%
Specialised Driver Training	330	51	381	15%
Hearing Equipment	207	46	253	22%
Spec Support Employ	195	32	227	16%
Vision Equipment	179	40	219	22%
Interpret/Translate	123	69	192	56%
Specialised Hearing Services	183	5	188	3%
Vehicle modifications	96	41	137	43%
Hearing Services	40	5	45	13%
Specialised Disability Accommodation	20	9	29	45%
Assistance Animals	16	0	16	0%
Total approved providers	**5,078**	**1,736**	**6,814**	**33%**

4.1.3 Market

The table below presents key national markets indicators by quarter:

[18] The 32 providers whose registration ended during the third quarter of 2016-17 are not included in the 2016-17 Q2 and prior numbers in this table.

- On average, participants are utilising the services of 1.32 providers, and when comparing Q2 and Q3, 0.51 providers were new to the participant

- 54% of providers are not yet active. Many service providers have registered for the NDIS in anticipation of the Scheme rolling-out across the country.

- The top 25% of providers account for approximately 80-90% of the value of payments made by the NDIA for participant supports.

Table 4.3 Key national markets indicators by quarter

	2016-17 Q2	2016-17 Q3
Market indicators		
a) Average number of providers per participant[19]	*1.49*	*1.32*
b) Average new providers per participant	*0.48*	*0.51*
c) Number of providers delivering new supports	*1,020*	*1,528*
d) Change in the number of active/ inactive providers:[20]		
Active (%)	*37%*	*41%*
Not yet active (%)	*56%*	*54%*
Inactive (%)	*6%*	*5%*
e) Share of payments – top 25%[21]		
Daily Tasks/ Shared Living (%)	*83%*	*85%*
Therapeutic Supports (%)	*87%*	*86%*
Participate Community (%)	*83%*	*83%*
Early Childhood Supports (%)	*89%*	*88%*
Assist Personal Activities (%)	*80%*	*82%*

[19] In-kind payments are not included. However, if in-kind payments were included, the average number of providers per participant would be higher. Further, as there is a lag between when payments are made and support is provided, the Q3 figure will be under-developed.
[20] 'Active' service providers received a payment in the quarter; 'not yet active' service providers have never received a payment from the NDIA, and 'inactive' providers have received payments from the NDIA, but did not receive any in the quarter.
[21] Note: Share of payment going to the top 25 % of providers – for the top five registration groups by payment amount.

PART 5

Information, linkages and capacity building

The role of these partners will be complemented by the implementation of the ILC policy agreed by all governments. This policy can be summarised as a commitment to connect people with disability, their families and carers to the wider community by:

1. Capacity Building - Making sure people with disability have the skills, confidence and information they need to get involved in the community
2. Community Inclusion - Building the capacity of the community to include people with disability.

Consistent with the ILC Policy, the focus of effort in ILC will be to ensure that people with disability:

- Have the information they need to make decisions and choices
- Are connected to appropriate disability, community and mainstream supports
- Have the skills and confidence to participate and contribute to the community and protect their rights
- Use and benefit from the same mainstream services as everyone else
- Use and benefit from the same community activities as everyone else.

ILC Policy will be implemented through an open grant round in each jurisdiction as that jurisdiction reaches full scheme. Detailed transition plans have been agreed with all jurisdictions (excluding WA) outlining funding and activities that will be retained by the respective jurisdictions to build and align current activities to the future ILC policy. These plans acknowledge the importance of commencing the capture of data on use and demand and commit to the adoption of the measurement of outcomes from the activities in a manner consistent with the ILC outcomes framework as it evolves between now and full scheme.

ILC activities have commenced in the ACT through a funding arrangement with the ACT government to enable current ILC type activities to continue during transition. The open grant round in the ACT is scheduled to provide ILC activities from July 2017. This will be the first opportunity for the Scheme to measure activities against the agreed ILC policy.

PART 6

Mainstream interface

Introduction

This section provides information on the extent to which people with a disability are receiving mainstream services. Note: this information should be treated with caution as work is underway to ensure consistency in the information collected on participants using mainstream services.

Key points

Table 6.1 shows that 80% of active participants with a first plan approved from 1 July 2016 access mainstream services. The proportion of participants entering in the current quarter accessing mainstream services is largely consistent with prior quarters. Participants are accessing mainstream services predominantly for health and wellbeing, lifelong learning, and daily activities.

Table 6.1 Proportion of active participants with approved plans accessing mainstream supports[22]

	Prior Quarters	2016-17 Q3	Total
Assistive technology	0%	0%	0%
Choice & Control	2%	1%	1%
Consumables	0%	0%	0%
Daily Activities	7%	6%	7%
Daily Equipment	0%	0%	0%
Employment	2%	2%	2%
Health & Wellbeing	47%	46%	46%
Home Living	1%	1%	1%
Housing & Home modifications	0%	0%	0%
Independence	2%	2%	2%
Lifelong Learning	16%	11%	14%
Relationships	2%	1%	2%
Social & Civic	2%	2%	2%
Transport	1%	1%	1%
Non-categorised	18%	21%	19%
Any main stream service	**80%**	**79%**	**80%**

[22] Trial participants (participants with first plans approved prior to 1 July 2016) are not included.

Outcomes Framework Population Benchmarks

Table A.1 Outcomes framework population benchmarks (participants 25 years and over)

Indicator	2016-17 Q1-Q3	Comparator	Source
% who choose what they do each day	59%	52%	NCI 2014/15
% who had been given the opportunity to participate in a self-advocacy group meeting, conference, or event	28%	32%	NCI 2014/15
% who want more choice and control in their life	62%	35% of people with disability don't think they have choice and control over their lives, compared to 21% of people without disability	UK Fulfilling Potential 2014
% with no friends other than family or paid staff	25%	26%	NCI 2014/15
% who are happy with their home	79%	90% (satisfied with where they live - a further 5% said "in between")	NCI 2014/15
% who feel safe or very safe in their home	79%	83% (rarely afraid in their home - 13% said they were sometimes afraid and 5% said they were afraid most of the time)	NCI 2014/15
% who rate their health as good, very good or excellent	52%	GSS 2014: 86%; NHS 2014/15: 87.1%	GSS 2014, NHS 2014/15
% who did not have any difficulties accessing health services	70%	94.4% overall (88.6% for people with disability, 97.2% for people without disability)	GSS 2014
% who participate in education, training or skill development	14%		
Of those who participate, % who do so in mainstream settings	49%		
% unable to do a course or training they wanted to do in the last 12 months	31%	28% (% of 18-64 who said there was either an educational qualification or a work-related training course they wanted to do or couldn't - 18% for education and 15% for work)	GSS 2014
% who have a paid job	25%	76% (employment to population ratio)	ABS Labour Force statistics Dec 2016
% who volunteer	13%	18% (Census 2011), 31% (GSS 2014)	Census 2011, GSS 2014
% who have been actively involved in a community, cultural or religious group in the last 12 months	36%	Overall 51.4% (Social groups), 32.9% (Community support groups), 13.5% (Civic and political groups), being (49.1%,32.6%,13.7%) for people with disability and (52.5%,33.1%,13.3%) for people without disability	GSS 2014

Comparison of plan approvals to bilateral estimates

Progress against bilateral estimates

Table B.1 compares plan approvals in trial and transition (2016-17) against the bilateral estimates. Trial bilateral estimates and plan approvals are as at 30 June 2016, with the exception of WA and ACT where there are no transition bilateral agreements in place. In these cases, the trial figures are up to date as at 31 March 2017.

The transition bilateral agreements have estimates split by State/Territory transitioning participants and those who are new or from Commonwealth programs. The relative mix of State and New/Commonwealth participants estimated in the bilateral agreements differs by jurisdiction.

Table B.1 Plan approvals in 2016-17 compared to estimates

State/Territory	NSW	VIC	QLD	WA[23]	SA[24]	TAS	ACT[25]	NT
Bilateral Estimate - Trial	12,111	5,289	600	6,049	8,500	1,125	5,075	154
Plans approved - Trial	9,608	5,284	361	3,441		1,162	5,988	155
Trial – actual vs estimate	79%	100%	60%	57%		103%	118%	101%
Bilateral Estimate 2016-17	33,826	8,684	9,696		11,467	813		259
State/Territory	*29,013*	*6,296*	*4,456*		*5,911*	*333*		*191*
New/Commonwealth	*4,813*	*2,388*	*5,240*		*5,556*	*480*		*68*
Plans approved 2016-17	26,426	6,539	4,692		11,052	715		144
State/Territory	*20,371*	*4,374*	*3,456*		*4,500*	*311*		*117*
New/Commonwealth	*6,055*	*2,165*	*1,236*		*6,552*	*404*		*27*
State/Territory	*70%*	*69%*	*78%*		*76%*	*93%*		*61%*
New/Commonwealth	*126%*	*91%*	*24%*		*118%*	*84%*		*40%*
2016-17 - actual vs estimate	78%	75%	48%		96%	88%		56%

Figure B.1 shows that in NSW, VIC and SA, the proportion of plan approvals for new and Commonwealth participants is relatively higher than for State transitioning participants, compared to the estimates. This is not the case for QLD, TAS and NT.

[23] Plan approvals for WA are shown in aggregate only as insufficient information is available to split between State and New/Commonwealth participants post 30 June 2016. Actual plan approvals and bilateral estimates are up to date as at 31 March 2017.
[24] SA trial estimates are included in the transition (2016-17) estimates due to the significant overlap between trial and transition in SA. The bilateral estimate for trial was increased to 8,500 late in the trial period.
[25] Plan approvals for ACT are shown in aggregate for trial only. Actual plan approvals are up to date as at 31 March 2017.

Figure B.1 Number of participants with approved plans by quarter

Appendix C

New South Wales

The tables below replicate the tables in the main parts of this report for NSW only where the data is available.

Participant Demographics

Table C.1 Plan approvals compared to estimates - NSW

	Prior Quarters	2016-17 Q3	Total excluding ECEI	Total including ECEI	Bilateral estimates
Plan Approvals	28,774	7,261	36,035	38,163	45,937

Table C.2 Quarterly intake split by plan and entry type - NSW

	Prior Quarters	2016-17 Q3	Total
Access decisions	**39,607**	**15,047**	**54,654**
Access Met	**37,590**	**13,047**	**50,637**
State	*26,435*	7,896	34,331
Commonwealth	*1,763*	1,812	3,575
New	*9,392*	3,339	12,731
Total Participant Plans	**30,576**	**9,389**	**38,163**
Early Intervention plans	*4,864*	*820*	*5,684*
Permanent disability plans	*23,910*	*6,441*	*30,351*
ECEI	*1,802*	*2,128*	*2,128*

Table C.3 Plan reviews conducted per quarter - NSW

	2016-17 Q1	2016-17 Q2	2016-17 Q3	Transition Total
Total plan reviews	**1,465**	**4,325**	**5,460**	**11,250**
Early Intervention plans	*404*	*702*	*692*	*1,798*
Permanent disability plans	*1,061*	*3,623*	*4,768*	*9,452*

Table C.4 Exits from the scheme as at 31 March 2017 - NSW

Exits	
Total Plan Exits	**308**
Early Intervention plans EI (s25) plan	*6*
PD (s24) plan	*302*

Table C.5 Cumulative position by entry point – NSW

	Participant cohort					Bilateral estimate	% of estimate	Awaiting a plan
	State	Commonwealth	New	ECEI	Total			
End of 2015-16	3,945	791	4,873	-	9,609	12,111	79%	-
End of 2016-17 Q1	7,937	829	5,325	-	14,091	27,032	52%	-
End of 2016-17 Q2	19,895	1,194	7,685	1,802	30,576	36,660	83%	-
End of 2016-17 Q3	24,316	2,007	9,712	2,128	38,163	45,937	83%	14,393

Table C.6 Cumulative position by plan type - NSW

	Participant cohort				Bilateral estimate	% of estimate	Awaiting a plan
	EI plan	PD plan	ECEI	Total			
End of 2015-16	3,512	6,097	-	9,609	12,111	79%	-
End of 2016-17 Q1	3,757	10,334	-	14,091	27,032	52%	-
End of 2016-17 Q2	4,864	23,910	1,802	30,576	36,660	83%	-
End of 2016-17 Q3	5,684	30,351	2,128	38,163	45,937	83%	14,393

Table C.7 Active participants with approved plans per quarter by disability group - NSW[26]

	Prior Quarters		2016-17 Q3		Total	
Disability group	**N**	**%**	**N**	**%**	**N**	**%**
Intellectual Disability	10,844	38%	2,318	32%	13,162	37%
Autism	7,266	26%	1,867	26%	9,133	26%
Other Physical	1,597	6%	375	5%	1,972	6%
Psychosocial disability	1,758	6%	601	8%	2,359	7%
Cerebral Palsy	1,526	5%	341	5%	1,867	5%
Other Neurological	1,409	5%	410	6%	1,819	5%
ABI	906	3%	294	4%	1,200	3%
Other Sensory/Speech	570	2%	138	2%	708	2%
Hearing Impairment	672	2%	303	4%	975	3%
Visual Impairment	780	3%	223	3%	1,003	3%
Multiple Sclerosis	562	2%	164	2%	726	2%
Spinal Cord Injury	251	1%	83	1%	334	1%
Stroke	270	1%	129	2%	399	1%
Other	64	0%	6	0%	70	0%
Overall	28,475	100%	7,252	100%	35,727	100%

[26] Table order based on national proportions (highest to lowest)

Table C.8 Active participants with approved plan per quarter by level of function - NSW

	Prior Quarters		2016-17 Q3		Total	
Level of function	**N**	**%**	**N**	**%**	**N**	**%**
1 (High Function)	114	0.4%	28	0.4%	142	0.4%
2 (High Function)	85	0.3%	23	0.3%	108	0.3%
3 (High Function)	1,743	6%	492	7%	2,235	6%
4 (High Function)	2,795	10%	761	10%	3,556	10%
5 (High Function)	4,103	14%	1,181	16%	5,284	15%
6 (Moderate Function)	3,462	12%	1,191	16%	4,653	13%
7 (Moderate Function)	2,150	8%	655	9%	2,805	8%
8 (Moderate Function)	1,867	7%	577	8%	2,444	7%
9 (Moderate Function)	149	1%	43	1%	192	1%
10 (Moderate Function)	2,251	8%	757	10%	3,008	8%
11 (Low Function)	1,410	5%	363	5%	1,773	5%
12 (Low Function)	3,539	12%	746	10%	4,285	12%
13 (Low Function)	1,125	4%	303	4%	1,428	4%
14 (Low Function)	729	3%	130	2%	859	2%
15 (Low Function)	9	0.03%	2	0.03%	11	0.03%
Missing	2,944	10%	0	0%	2,944	8%
Overall	**28,475**	**100%**	**7,252**	**100%**	**35,727**	**100%**

Table C.9 Participant profile per quarter by Age group - NSW

	Prior Quarters		2016-17 Q3		Total	
Age group	**N**	**%**	**N**	**%**	**N**	**%**
0 to 6	2,200	8%	609	8%	2,809	8%
7 to 14	6,837	24%	1,749	24%	8,586	24%
15 to 18	2,658	9%	651	9%	3,309	9%
19 to 24	3,278	12%	831	11%	4,109	12%
25 to 34	3,051	11%	772	11%	3,823	11%
35 to 44	2,823	10%	719	10%	3,542	10%
45 to 54	3,600	13%	882	12%	4,482	13%
55 to 64	3,554	12%	991	14%	4,545	13%
65+	474	2%	48	1%	522	1%
Overall	28,475	100%	7,252	100%	35,727	100%

Table C.10 Participant profile per quarter by Gender - NSW

	Prior Quarters		2016-17 Q3		Total	
Gender	**N**	**%**	**N**	**%**	**N**	**%**
Male	17640	62%	4473	62%	22113	61.9%
Female	10,772	38%	2727	38%	13499	37.8%
Indeterminate	63	0%	52	1%	115	0.3%
Overall	28,475	100%	7,252	100%	**35,727**	**100%**

Table C.11 Participant profile per quarter by Aboriginal and Torres Strait islander status - NSW

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
Aboriginal and Torres Strait Islander	1,492	5.2%	234	3.2%	1,726	4.8%
Not Aboriginal and Torres Strait Islander	25,460	89.4%	6,873	94.8%	32,333	90.5%
Not Stated	1,523	5.3%	145	2.0%	1,668	4.7%
Total	28,475	100%	7,252	100%	**35,727**	**100%**

Table C.12 Participant profile per quarter by Young people in Residential aged care (YPIRAC) status - NSW

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
YPIRAC	217	0.8%	58	0.8%	275	0.8%
Not YPIRAC	28,258	99.2%	7,194	99.2%	35,452	99.2%
Overall	28,475	100%	7,252	100%	**35,727**	**100%**

Table C.13 Distribution of active participants by method of Financial Plan Management and quarter of plan approval - NSW

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Self-Managed Fully	4.3%	6.5%	5%
Self-Managed Partly	6.4%	10.0%	8%
Plan Managed	4.1%	12.0%	7%
Agency Managed	85.0%	71.4%	80%
Total	*100%*	*100%*	*100%*

Table C.14 Distribution of active participants by support coordination and quarter of plan approval - NSW

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Support coordination	41.7%	42.8%	42.1%

Table C.15 Duration to plan activation by quarter of first plan approval for active participants – NSW

	2016-17 Q1		2016-17 Q2	
Plan activation	N	%	N	%
Less than 30 days	2,568	58%	5,944	41%
30 to 59 days	499	11%	2,147	15%
60 to 89 days	282	6%	1,128	8%
Activated within 90 days	3,349	75%	9,219	63%
90 to 119 days	131	3%	659	5%
120 days and over	239	5%	276	2%
Activated within 180 days	370	8%		
No payments	736	17%	4,489	31%
Total plans approved	**4,455**	**100%**	**14,643**	**100%**

Table C.16 Number of questionnaires completed by SFOF version - NSW

Version	Number of questionnaires collected Q1	Number of questionnaires collected Q2	Number of questionnaires collected Q3	Number of questionnaires
Participant 0 to school	317	554	731	1,602
Participant school to 14	367	3,995	1,745	6,107
Participant 15 to 24	539	3,504	1,299	5,342
Participant 25 and over	3,150	6,317	3,315	12,782
Total Participant	**4,373**	**14,370**	**7,090**	**25,833**
Family 0 to 14	558	4,225	2,303	7,086
Family 15 to 24	123	830	141	1,094
Family 25 and over	66	172	89	327
Total Family	**747**	**5,227**	**2,533**	**8,507**
Total	**5,120**	**19,597**	**9,623**	**34,340**

Table C.17 Selected key indicators for participants – Daily Living (DL) and Choice and Control (CC) - NSW

	Indicator	0 to before school	School to 14	15 to 24	25 and over
DL	% with concerns in 6 or more of the areas: gross motor skills, fine motor skills, self-care, eating, social interaction, communication, cognitive development, sensory processing	58%			
CC	% who say their child is able to tell them what he/she wants	79%			
DL	% developing functional, learning and coping skills appropriate to their ability and circumstances		31%		
DL	% who say their child is becoming more independent		39%		
CC	% of children who have a genuine say in decisions about themselves		61%		
CC	% who are happy with the level of independence/control they have now			42%	
CC	% who choose what they do each day			43%	58%
CC	% who had been given the opportunity to participate in a self-advocacy group meeting			23%	27%
CC	% who want more choice and control in their life			70%	62%

Table C.18 Selected key indicators for participants – Relationships (REL) and Social/ Community Participation (S/CP)- NSW

	Indicator	0 to before school	School to 14	15 to 24	25 and over
REL	% of children who can make friends with people outside the family	65%	60%		
S/CP	% of children who participate in age appropriate community, cultural or religious activities	54%			
REL	% of children who spend time after school and on weekends with friends and/or in mainstream programs		30%		
REL	Of these, % who are welcomed or actively included	64%	77%		
REL	% of children who spend time with friends without an adult present		11%		
REL	% with no friends other than family or paid staff			26%	25%
S/CP	% who have been actively involved in a community, cultural or religious group in the last 12 months			31%	36%

Table C.19 Selected key indicators for participants – Lifelong Learning (LL), Work (WK), Home (HM) and Health and Wellbeing (HW) - NSW

	Indicator	0 to before school	School to 14	15 to 24	25 and over
LL	% of children attending school in a mainstream class		43%		
HM	% who are happy with their home			84%	80%
HM	% who feel safe or very safe in their home			88%	80%
HW	% who rate their health as good, very good or excellent			70%	52%
HW	% who did not have any difficulties accessing health services			70%	70%
LL	% who currently attend or previously attended school in a mainstream class			24%	
LL	% who participate in education, training or skill development				13%
LL	Of those who participate, % who do so in mainstream settings				49%
LL	% unable to do a course or training they wanted to do in the last 12 months				30%
WK	% who have a paid job			16%	26%
WK	% who volunteer			12%	12%

Table C.20 Selected key indicators for families/ carers of participants- NSW

Indicator	0 to 14	15 to 24	25 and over
% receiving Carer Payment	28%	29%	15%
% receiving Carer Allowance	59%	52%	18%
% working in a paid job	43%	45%	21%
Of those in a paid job, % in permanent employment	73%	71%	75%
Of those in a paid job, % working 15 hours or more	78%	86%	84%
% who say they (and their partner) are able to work as much as they want	40%	47%	54%
Of those unable to work as much as they want, % who say the situation of their child/family member with disability is a barrier to working more	90%	88%	80%
Of those unable to work as much as they want, % who say insufficient flexibility of jobs is a barrier to working more	41%	36%	31%
% able to advocate for their child/family member	78%	72%	66%
% who have friends and family they see as often as they like	41%	47%	33%
% who feel very confident or somewhat confident in supporting their child's development	84%		
% who know what their family can do to enable their family member with disability to become as independent as possible		47%	
% who feel in control selecting services		40%	48%
% who have made plans (or begun to make plans) for when they are no longer able to care for their family member with disability			35%
% who rate their health as good, very good or excellent	71%	65%	62%

Figure C.1 Proportion of participants describing satisfaction with the agency as good or very good – by quarter (NSW)

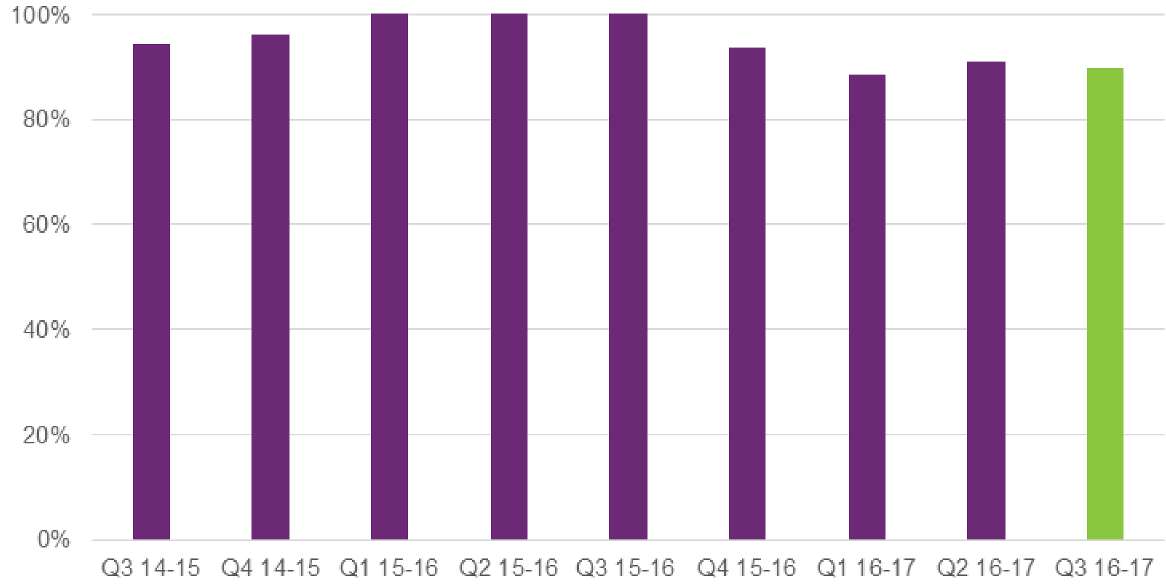


Table C.21 AAT Cases as at 31 March 2017 - NSW

AAT cases			
Access	Plan	Plan review	Total
16	36	7	59

Table C.22 Proportion of Participants rating their level of agreement as strongly agree, agree, neutral, disagree or strongly disagree in response to statements about the planning process - NSW

	Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree
The planner listened to me	42%	51%	6%	0%	1%
I had enough time to tell my story and say what support I need	43%	47%	5%	5%	1%
The planner knows what I can do well	29%	49%	12%	9%	1%
The planner had some good ideas for my plan	30%	50%	14%	5%	1%
I know what is in my plan	19%	46%	18%	15%	1%
The planner helped me think about my future	27%	51%	14%	6%	1%
I think my plan will make my life better	38%	49%	11%	1%	1%
The planning meeting went well	36%	55%	7%	2%	1%

Committed supports and payments

Table C.23 Committed supports by financial year ($m) (NSW)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*54.1*	*186.4*	*345.5*	*1,673.7*	*931.2*	*3,190.8*

Figure C.2 Average committed support by annualised committed support band (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (NSW)

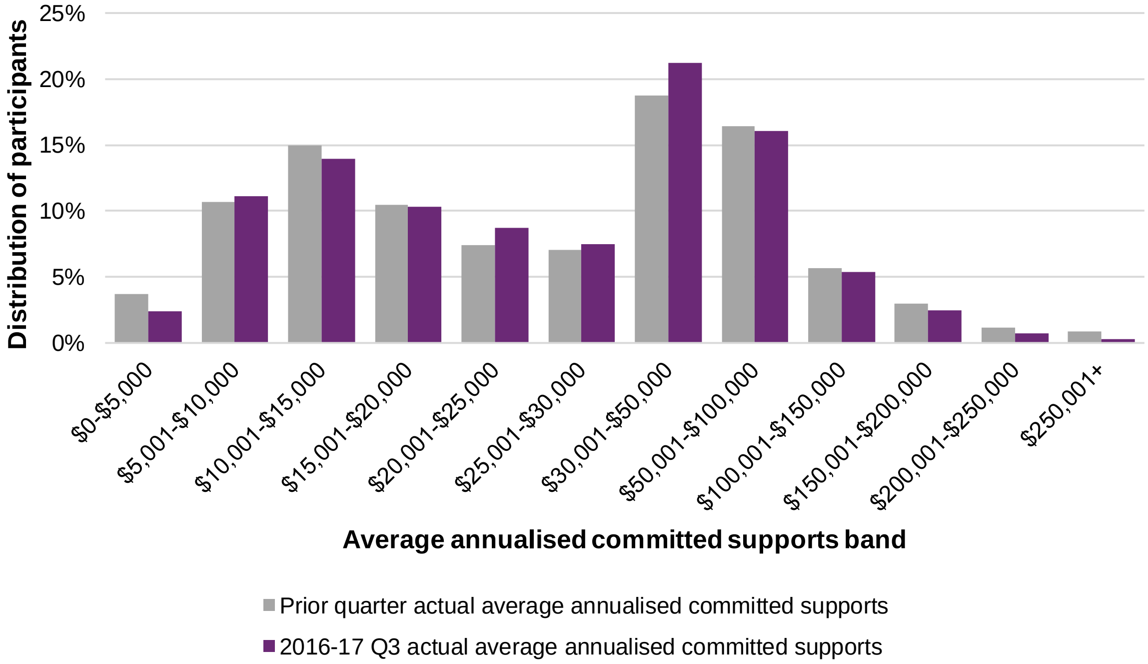


Figure C.3 Average committed support by age group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (NSW)

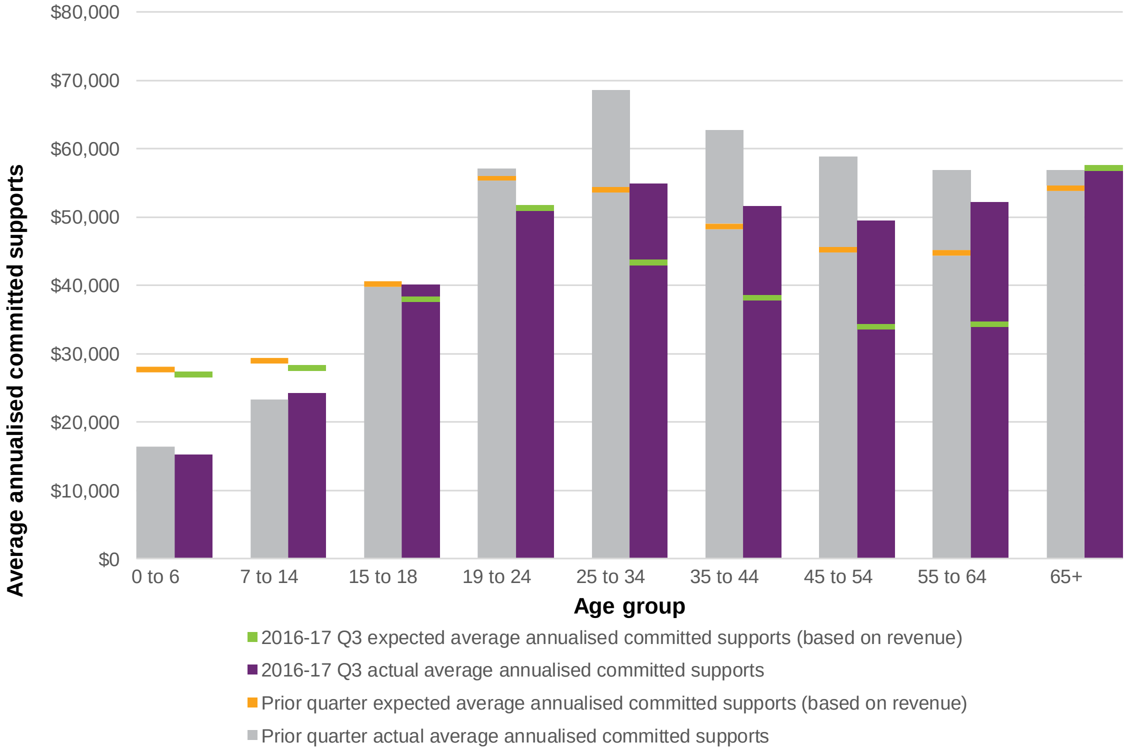


Figure C.4 Average committed support by primary disability group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (NSW)

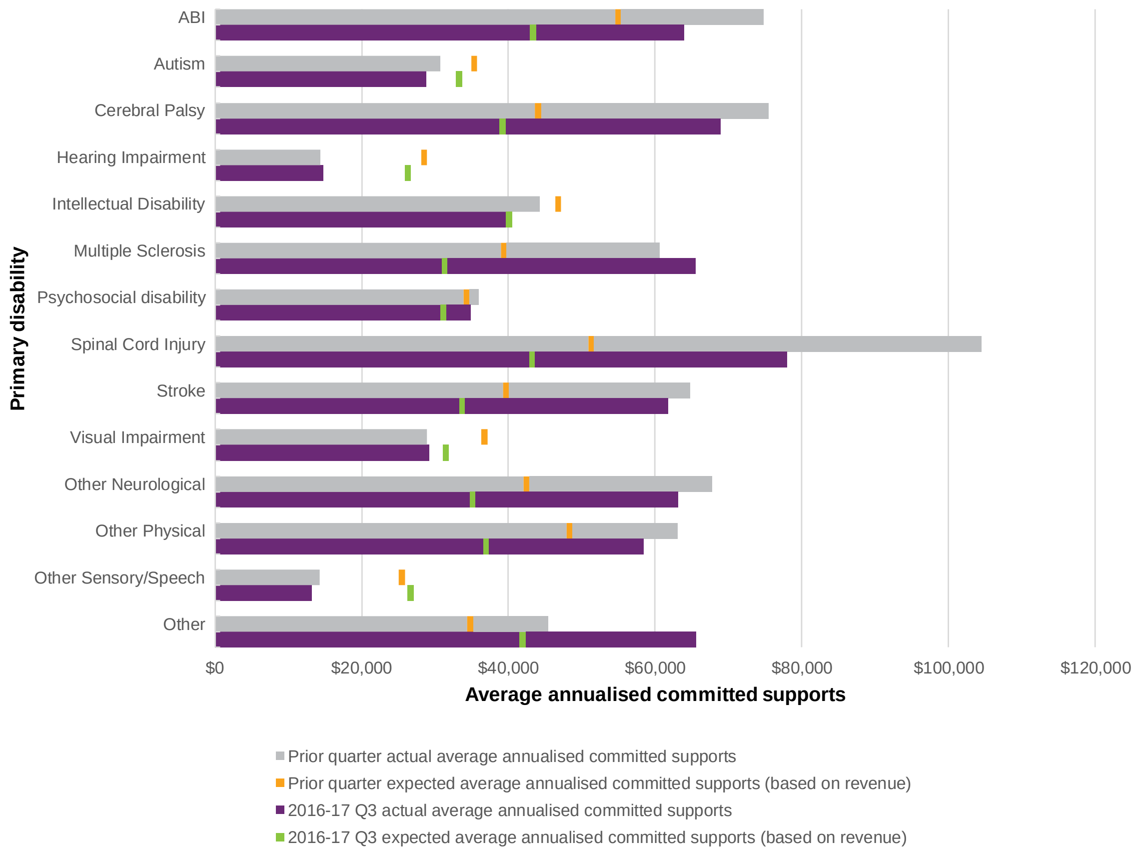
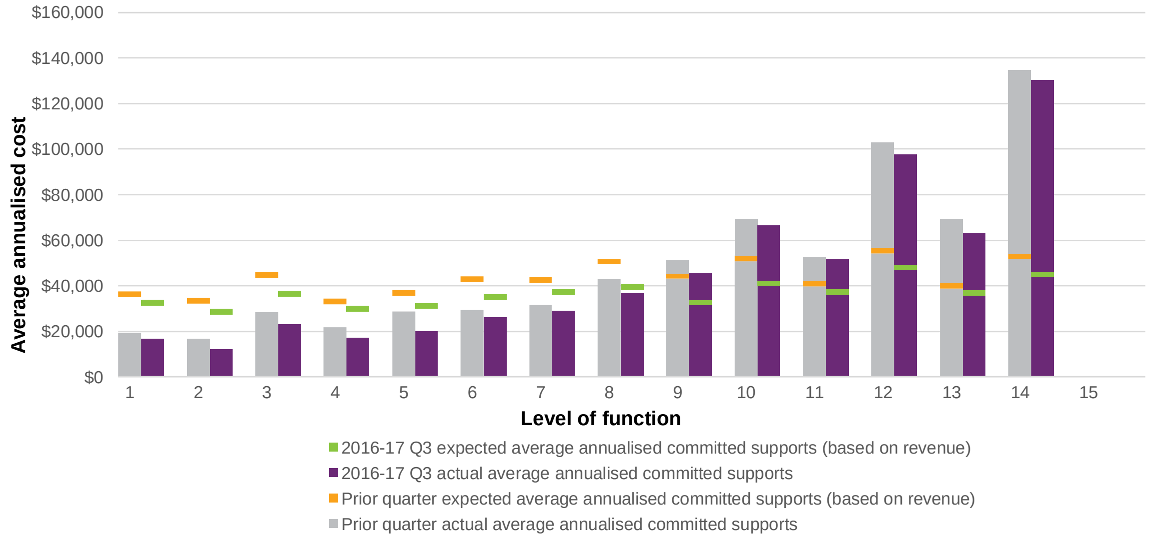


Figure C.5 Average committed support by level of function (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters[27] (NSW)

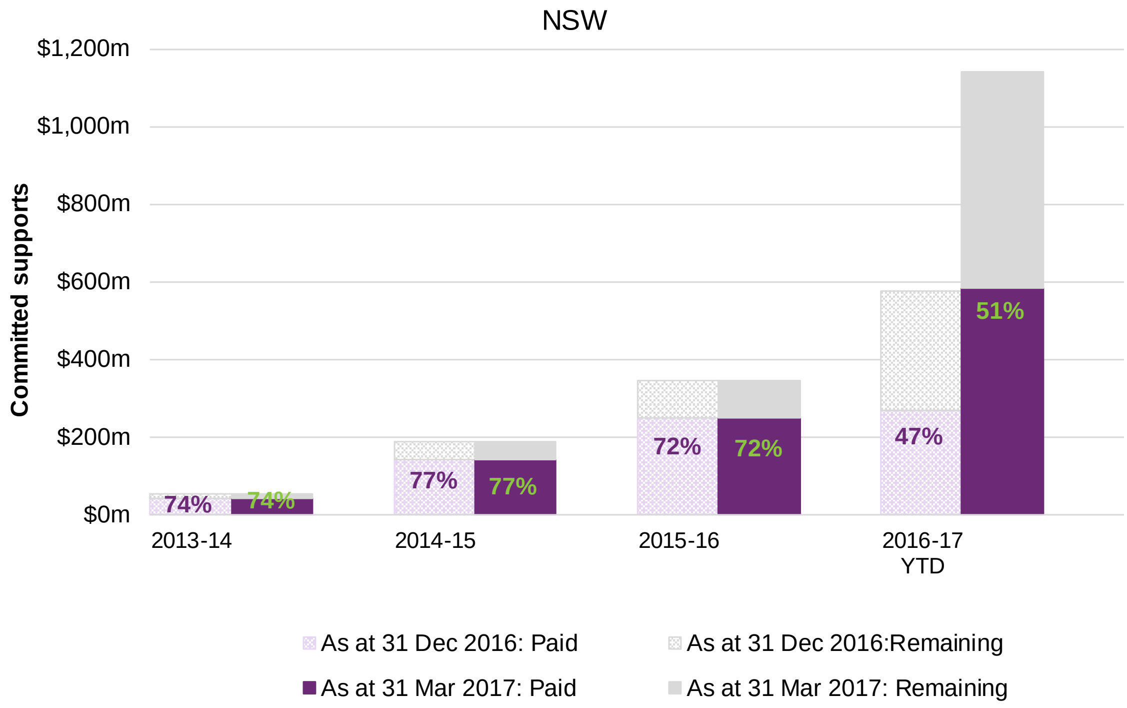


27 Level of function 15 does not have sufficient data to show an average cost.

Table C.24 Payments by financial year, compared to committed supports ($m) (NSW)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*54.1*	*186.4*	*345.5*	*1,673.7*	*931.2*	*3,190.8*
Total paid	*40.0*	*143.0*	*250.2*	*583.8*		*1,016.9*
% utilised to date	*74%*	*77%*	*72%*			

Figure C.6 Utilisation of committed supports as at 31 December 2016 and 31 March 2017 (NSW)



Providers and markets

Table C.25 Key provider indicators by quarter (NSW)

	Prior	2016-17 Q3	Total
Provider indicators			
a) Registrations by profile			
Individual/ sole trader	*840*	*468*	*1,302*
Company/ organisation	*1,525*	*501*	*2,020*
Total	*2,365*	*969*	*3,322*
b) Registration revoked		*12*	

Table C.26 Number of approved providers by registration group (NSW)[28]

Registration Group	Prior	2016-17 Q3	Total	% change
Therapeutic Supports	1,209	544	1,753	45%
Household Tasks	604	206	810	34%
Assist-Travel/Transport	521	158	679	30%
Early Childhood Supports	425	158	583	37%
Participate Community	427	62	489	15%
Development-Life Skills	421	55	476	13%
Assist Prod-Pers Care/Safety	369	148	517	40%
Assist Personal Activities	339	51	390	15%
Assist-Life Stage, Transition	387	50	437	13%
Behaviour Support	326	32	358	10%
Personal Activities High	356	40	396	11%
Personal Mobility Equipment	315	149	464	47%
Group/Centre Activities	339	42	381	12%
Home Modification	287	139	426	48%
Accommodation/Tenancy	340	78	418	23%
Support Coordination	280	55	335	20%
Ex Phys Pers Training	277	135	412	49%
Daily Tasks/Shared Living	272	29	301	11%
Innov Community Participation	195	156	351	80%
Plan Management	299	111	410	37%
Assistive Prod-Household Task	220	108	328	49%
Assistive Equip-Recreation	205	101	306	49%
Comms & Info Equipment	192	98	290	51%
Custom Prosthetics	176	86	262	49%
Community Nursing Care	173	57	230	33%
Assist Access/Maintain Employ	202	31	233	15%
Specialised Driver Training	137	36	173	26%
Hearing Equipment	78	26	104	33%
Spec Support Employ	80	13	93	16%
Vision Equipment	80	33	113	41%
Interpret/Translate	54	35	89	65%
Specialised Hearing Services	67	4	71	6%
Vehicle modifications	45	26	71	58%
Hearing Services	10	4	14	40%
Specialised Disability Accommodation	9	6	15	67%
Assistance Animals	6	0	6	0%

[28] Table order based on national % change (highest to lowest)

Table C.27 Key markets indicators by quarter (NSW)

	2016-17 Q2	2016-17 Q3
Market indicators		
a) Average number of providers per participant	*1.45*	*1.29*
b) Average new providers per participant	*0.57*	*0.63*
c) Number of providers delivering new supports	*470*	*809*
d) Change in the number of active/ inactive providers:		
Active (%)	*38%*	*43%*
Not yet active (%)	*58%*	*53%*
Inactive (%)	*5%*	*4%*
e) Share of payments – top 25%[29]		
Daily Tasks/ Shared Living (%)	*77%*	*81%*
Therapeutic Supports (%)	*87%*	*85%*
Participate Community (%)	*86%*	*87%*
Early Childhood Supports (%)	*91%*	*91%*
Assist Personal Activities (%)	*79%*	*82%*

[29] Note: Share of payment going to the top 25 % of providers – for the top five registration groups by payment amount.

Table C.28 Proportion of active participants with approved plans accessing mainstream supports - NSW

	Prior Quarters	2016-17 Q3	Total
Participant profile	**Proportion of approved plans %**	**Proportion of approved plans %**	**Proportion of approved plans %**
Assistive technology	0%	0%	0%
Choice & Control	2%	1%	1%
Consumables	0%	0%	0%
Daily Activities	5%	4%	5%
Daily Equipment	0%	0%	0%
Employment	2%	2%	2%
Health & Wellbeing	58%	56%	57%
Home Living	1%	1%	1%
Housing & Home modifications	0%	0%	0%
Independence	2%	1%	1%
Lifelong Learning	11%	9%	10%
Relationships	1%	1%	1%
Social & Civic	1%	1%	1%
Transport	1%	1%	1%
Non-categorised	13%	18%	15%
Any main stream service	80%	81%	80%

Appendix D

Victoria

The tables below replicate the tables in the main parts of this report for VIC only where the data is available.

Participant Demographics

Table D.1 Plan approvals compared to estimates - VIC

	Prior Quarters	2016-17 Q3	Total excluding ECEI	Total including ECEI	Bilateral estimates
Plan Approvals	9,369	2,454	11,823	11,980	13,973

Table D.2 Quarterly intake split by plan and entry type - VIC

	Prior Quarters	2016-17 Q3	Total
Access decisions	**12,660**	**4,249**	**16,909**
Access Met	**12,045**	**3,734**	**15,779**
State	*7,402*	2,417	9,819
Commonwealth	*644*	425	1,069
New	*3,999*	892	4,891
Total Participant Plans	**9,659**	**2,611**	**11,980**
EI (s25) plans	*2,021*	*335*	*2,356*
PD (s24) plans	*7,348*	*2,119*	*9,467*
ECEI	*290*	*157*	*157*

Table D.3 Plan reviews conducted per quarter - VIC

	2016-17 Q1	2016-17 Q2	2016-17 Q3	Transition Total
Total plan reviews	**984**	**1,570**	**1,871**	**4,425**
EI (s25) plans	*239*	*312*	*345*	*896*
PD (s24) plans	*745*	*1,258*	*1,526*	*3,529*

Table D.4 Exits from the scheme as at 31 March 2017 - VIC

Exits	
Total Plan Exits	**194**
EI (s25) plan	*15*
PD (s24) plan	*179*

Table D.5 Cumulative position by entry point - VIC

	Participant cohort					Bilateral estimate	% of estimate	Awaiting a plan
	State	Commonwealth	New	ECEI	Total			
End of 2015-16	2,652	370	2,262		5,284	5,289	100%	
End of 2016-17 Q1	3,146	383	2,432		5,961	7,414	80%	
End of 2016-17 Q2	5,469	536	3,364	290	9,659	11,400	85%	
End of 2016-17 Q3	7,026	716	4,081	157	11,980	13,973	86%	3,877

Table D.6 Cumulative position by plan type - VIC

	Participant cohort				Bilateral estimate	% of estimate	Awaiting a plan
	EI plan	PD plan	ECEI	Total			
End of 2015-16	1,424	3,860		5,284	5,289	100%	
End of 2016-17 Q1	1,500	4,461		5,961	7,414	80%	
End of 2016-17 Q2	2,021	7,348	290	9,659	11,400	85%	
End of 2016-17 Q3	2,356	9,467	157	11,980	13,973	86%	3,877

Table D.7 Active participants with approved plans per quarter by disability group - VIC[30]

	Prior Quarters		2016-17 Q3		Total	
Disability group	**N**	**%**	**N**	**%**	**N**	**%**
Intellectual Disability	3,911	43%	981	40%	4,892	42%
Autism	2,113	23%	634	26%	2,747	24%
Other Physical	331	4%	78	3%	409	4%
Psychosocial disability	897	10%	137	6%	1,034	9%
Cerebral Palsy	311	3%	132	5%	443	4%
Other Neurological	475	5%	135	6%	610	5%
ABI	231	3%	72	3%	303	3%
Other Sensory/Speech	104	1%	30	1%	134	1%
Hearing Impairment	153	2%	85	3%	238	2%
Visual Impairment	198	2%	43	2%	241	2%
Multiple Sclerosis	232	3%	66	3%	298	3%
Spinal Cord Injury	77	1%	19	1%	96	1%
Stroke	121	1%	33	1%	154	1%
Other	25	0%	5	0%	30	0%
Overall	**9,179**	**100%**	**2,450**	**100%**	**11,629**	**100%**

30 Table order based on national proportions (highest to lowest)

Table D.8 Active participants with approved plan per quarter by level of function - VIC

	Prior Quarters		2016-17 Q3		Prior Quarters	
Level of function	**N**	**%**	**N**	**%**	**N**	**%**
1 (High Function)	20	0.2%	8	0.3%	28	0.2%
2 (High Function)	38	0.4%	7	0.3%	45	0.4%
3 (High Function)	629	7%	80	3%	709	6%
4 (High Function)	629	7%	161	7%	790	7%
5 (High Function)	1,924	21%	470	19%	2,394	21%
6 (Moderate Function)	1,179	13%	328	13%	1,507	13%
7 (Moderate Function)	496	5%	247	10%	743	6%
8 (Moderate Function)	563	6%	172	7%	735	6%
9 (Moderate Function)	46	1%	11	0%	57	0%
10 (Moderate Function)	613	7%	251	10%	864	7%
11 (Low Function)	321	3%	131	5%	452	4%
12 (Low Function)	834	9%	356	15%	1,190	10%
13 (Low Function)	227	2%	149	6%	376	3%
14 (Low Function)	110	1%	79	3%	189	2%
15 (Low Function)	1	0.01%	0	0.00%	1	0.01%
Missing	1,549	16.88%	0	0.00%	1,549	13.32%
Overall	**9,179**	**100%**	**2,450**	**100%**	**11,629**	**100%**

Table D.9 Participant profile per quarter by Age group - VIC

	Prior Quarters		2016-17 Q3		Total	
Age group	**N**	**%**	**N**	**%**	**N**	**%**
0 to 6	1,540	17%	435	18%	1,975	17%
7 to 14	2,026	22%	497	20%	2,523	22%
15 to 18	619	7%	208	8%	827	7%
19 to 24	722	8%	205	8%	927	8%
25 to 34	877	10%	257	10%	1,134	10%
35 to 44	951	10%	233	10%	1,184	10%
45 to 54	1,142	12%	297	12%	1,439	12%
55 to 64	1,072	12%	304	12%	1,376	12%
65+	230	3%	14	1%	244	2%
Overall	**9,179**	**100%**	**2,450**	**100%**	**11,629**	**100%**

Table D.10 Participant profile per quarter by Gender - VIC

	Prior Quarters		2016-17 Q3		Total	
Gender	**N**	**%**	**N**	**%**	**N**	**%**
Male	5611	61%	1481	60%	7092	61.0%
Female	3,533	38%	952	39%	4485	38.6%
Indeterminate	35	0%	17	1%	52	0.4%
Overall	**9,179**	**100%**	**2,450**	**100%**	**11,629**	**100%**

Table D.11 Participant profile per quarter by Aboriginal and Torres Strait islander status - VIC

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
Aboriginal and Torres Strait Islander	223	2.4%	27	1.1%	250	2.1%
Not Aboriginal and Torres Strait Islander	8,099	88.2%	2,351	96.0%	10,450	89.9%
Not Stated	857	9.3%	72	2.9%	929	8.0%
Total	**9,179**	**100%**	**2,450**	**100%**	**11,629**	**100%**

Table D.12 Participant profile per quarter by Young people in Residential aged care (YPIRAC) status - VIC

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
YPIRAC	66	0.7%	20	0.8%	86	0.7%
Not YPIRAC	9,113	99.3%	2,430	99.2%	11,543	99.3%
Overall	**9,179**	**100%**	**2,450**	**100%**	**11,629**	**100%**

Table D.13 Distribution of active participants by method of Financial Plan Management and quarter of plan approval - VIC

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Self-Managed Fully	3.2%	3.9%	4%
Self-Managed Partly	8.7%	10.7%	10%
Plan Managed	16.1%	23.4%	20%
Agency Managed	71.8%	62.0%	67%
Total	**100%**	**100%**	**100%**

Table D.14 Distribution of active participants by support coordination and quarter of plan approval - VIC

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Support coordination	68.1%	65.8%	67.0%

Table D.15 Duration to plan activation by quarter of first plan approval for active participants - VIC

	2016-17 Q1		2016-17 Q2	
Plan activation	**N**	**%**	**N**	**%**
Less than 30 days	333	49%	1,210	36%
30 to 59 days	125	19%	605	18%
60 to 89 days	55	8%	348	10%
Activated within 90 days	513	76%	2,163	64%
90 to 119 days	29	4%	134	4%
120 days and over	33	5%	27	1%
Activated within 180 days	62	9%		
No payments	100	15%	1,074	32%
Total plans approved	**675**	**100%**	**3,398**	**100%**

Table D.16 Number of questionnaires completed by SFOF version- VIC

Version	Number of questionnaires collected Q1	Number of questionnaires collected Q2	Number of questionnaires collected Q3	Number of questionnaires
Participant 0 to school	93	898	456	1,447
Participant school to 14	68	650	521	1,239
Participant 15 to 24	92	390	359	841
Participant 25 and over	417	1,370	1,074	2,861
Total Participant	**670**	**3,308**	**2,410**	**6,388**
Family 0 to 14	158	1,518	933	2,609
Family 15 to 24	11	109	49	169
Family 25 and over	2	23	19	44
Total Family	**171**	**1,650**	**1,001**	**2,822**
Total	**841**	**4,958**	**3,411**	**9,210**

Table D.17 Selected key indicators for participants – Daily Living (DL) and Choice and Control (CC) - VIC

	Indicator	0 to before school	School to 14	15 to 24	25 and over
DL	% with concerns in 6 or more of the areas: gross motor skills, fine motor skills, self-care, eating, social interaction, communication, cognitive development, sensory processing	65%			
CC	% who say their child is able to tell them what he/she wants	75%			
DL	% developing functional, learning and coping skills appropriate to their ability and circumstances		26%		
DL	% who say their child is becoming more independent		37%		
CC	% of children who have a genuine say in decisions about themselves		59%		
CC	% who are happy with the level of independence/control they have now			35%	
CC	% who choose what they do each day			33%	57%
CC	% who had been given the opportunity to participate in a self-advocacy group meeting			21%	29%
CC	% who want more choice and control in their life			64%	59%

Table D.18 Selected key indicators for participants – Relationships (REL) and Social/ Community Participation (S/CP) - VIC

	Indicator	0 to before school	School to 14	15 to 24	25 and over
REL	% of children who can make friends with people outside the family	60%	58%		
S/CP	% of children who participate in age appropriate community, cultural or religious activities	55%			
REL	% of children who spend time after school and on weekends with friends and/or in mainstream programs		29%		
REL	Of these, % who are welcomed or actively included	60%	75%		
REL	% of children who spend time with friends without an adult present		15%		
REL	% with no friends other than family or paid staff			31%	26%
S/CP	% who have been actively involved in a community, cultural or religious group in the last 12 months			33%	36%

Table D.19 Selected key indicators for participants – Lifelong Learning (LL), Work (WK), Home (HM) and Health and Wellbeing (HW) - VIC

	Indicator	0 to before school	School to 14	15 to 24	25 and over
LL	% of children attending school in a mainstream class		46%		
HM	% who are happy with their home			82%	80%
HM	% who feel safe or very safe in their home			86%	81%
HW	% who rate their health as good, very good or excellent			71%	54%
HW	% who did not have any difficulties accessing health services			76%	76%
LL	% who currently attend or previously attended school in a mainstream class			20%	
LL	% who participate in education, training or skill development				20%
LL	Of those who participate, % who do so in mainstream settings				33%
LL	% unable to do a course or training they wanted to do in the last 12 months				28%
WK	% who have a paid job			14%	22%
WK	% who volunteer			11%	12%

Table D.20 Selected key indicators for families/ carers of participants- VIC

Indicator	0 to 14	15 to 24	25 and over
% receiving Carer Payment	22%	33%	16%
% receiving Carer Allowance	49%	56%	16%
% working in a paid job	43%	39%	25%
Of those in a paid job, % in permanent employment	78%	80%	Numbers are too small
Of those in a paid job, % working 15 hours or more	76%	85%	Numbers are too small
% who say they (and their partner) are able to work as much as they want	35%	38%	59%
Of those unable to work as much as they want, % who say the situation of their child/family member with disability is a barrier to working more	89%	89%	Numbers are too small
Of those unable to work as much as they want, % who say insufficient flexibility of jobs is a barrier to working more	32%	31%	Numbers are too small
% able to advocate for their child/family member	73%	70%	71%
% who have friends and family they see as often as they like	38%	38%	30%
% who feel very confident or somewhat confident in supporting their child's development	85%		
% who know what their family can do to enable their family member with disability to become as independent as possible		38%	
% who feel in control selecting services		38%	40%
% who have made plans (or begun to make plans) for when they are no longer able to care for their family member with disability			30%
% who rate their health as good, very good or excellent	74%	72%	65%

Figure D.1 Proportion of participants describing satisfaction with the agency as good or very good – by quarter (VIC)

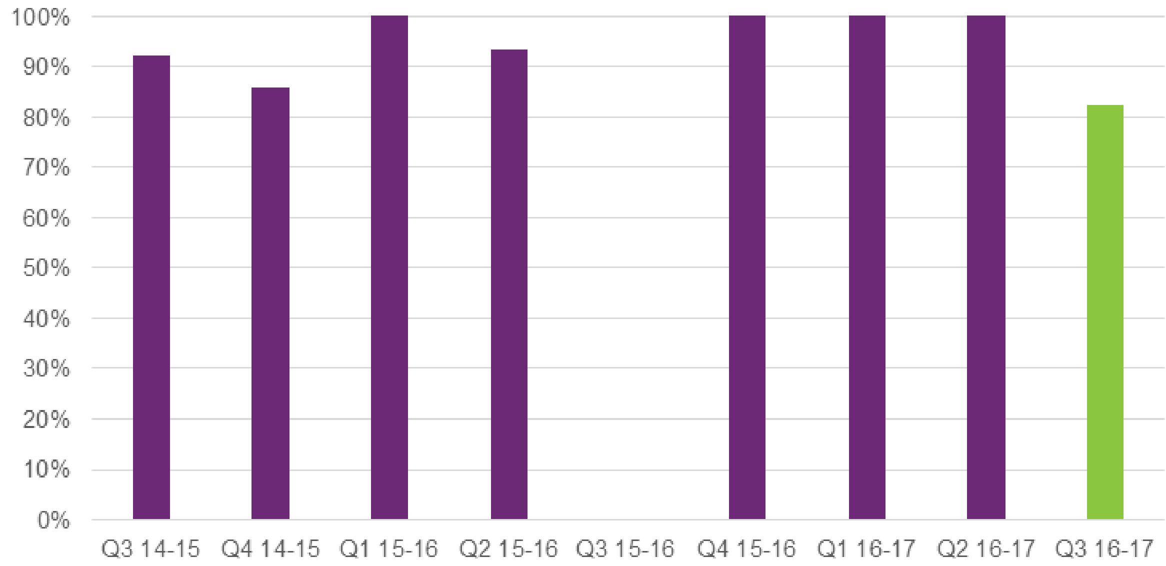


Table D.21 AAT cases by category - VIC

AAT cases			
Access	**Plan**	**Plan review**	**Total**
11	**35**	**5**	**51**

Table D.22 Proportion of Participants rating their level of agreement as strongly agree, agree, neutral, disagree or strongly disagree in response to statements about the planning process - VIC

	Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree
The planner listened to me	51%	37%	3%	9%	0%
I had enough time to tell my story and say what support I need	51%	37%	3%	9%	0%
The planner knows what I can do well	26%	49%	11%	14%	0%
The planner had some good ideas for my plan	20%	66%	0%	14%	0%
I know what is in my plan	31%	49%	6%	14%	0%
The planner helped me think about my future	34%	40%	6%	20%	0%
I think my plan will make my life better	40%	54%	0%	6%	0%
The planning meeting went well	51%	43%	0%	6%	0%

Committed supports and payments

Table D.1 Committed supports by financial year ($m) (VIC)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*55.9*	*164.6*	*199.7*	*448.1*	*264.1*	*1,132.3*

Figure D.2 Average committed support by annualised committed support band (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (VIC)

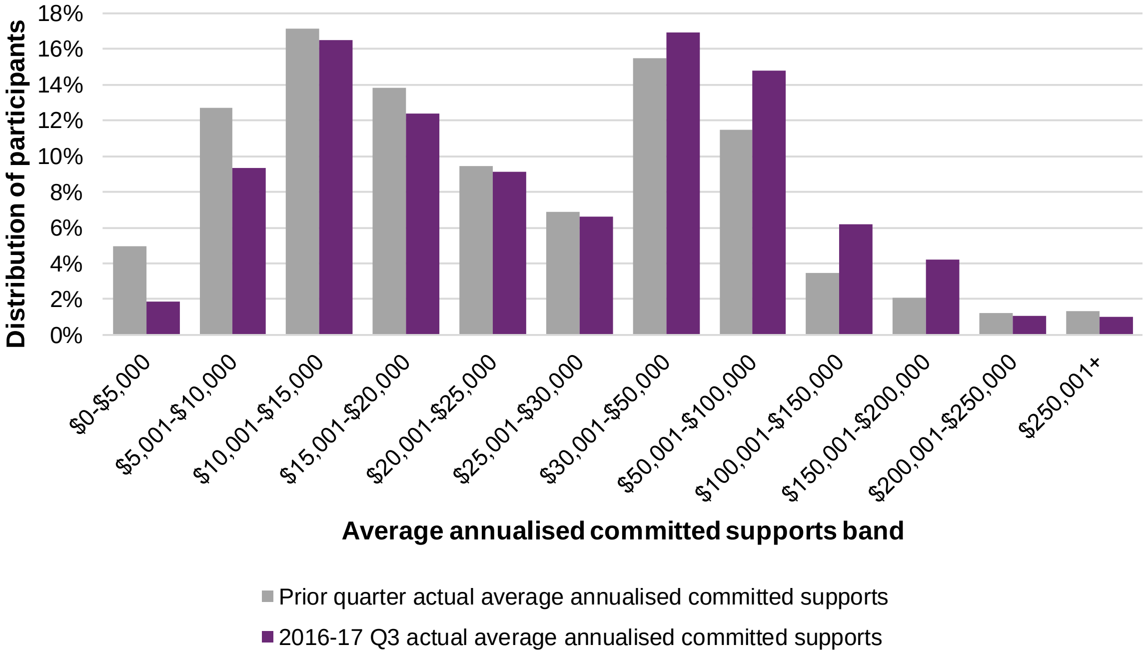


Figure D.3 Average committed support by age group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (VIC)

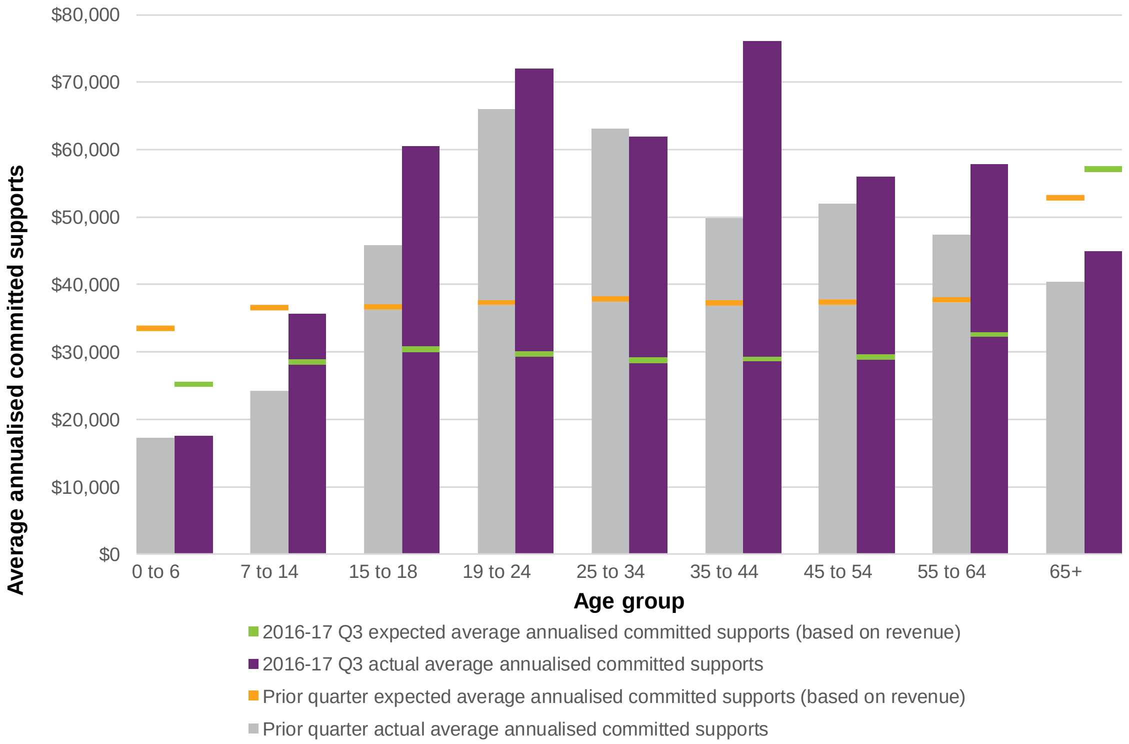


Figure D.4 Average committed support by primary disability group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (VIC)

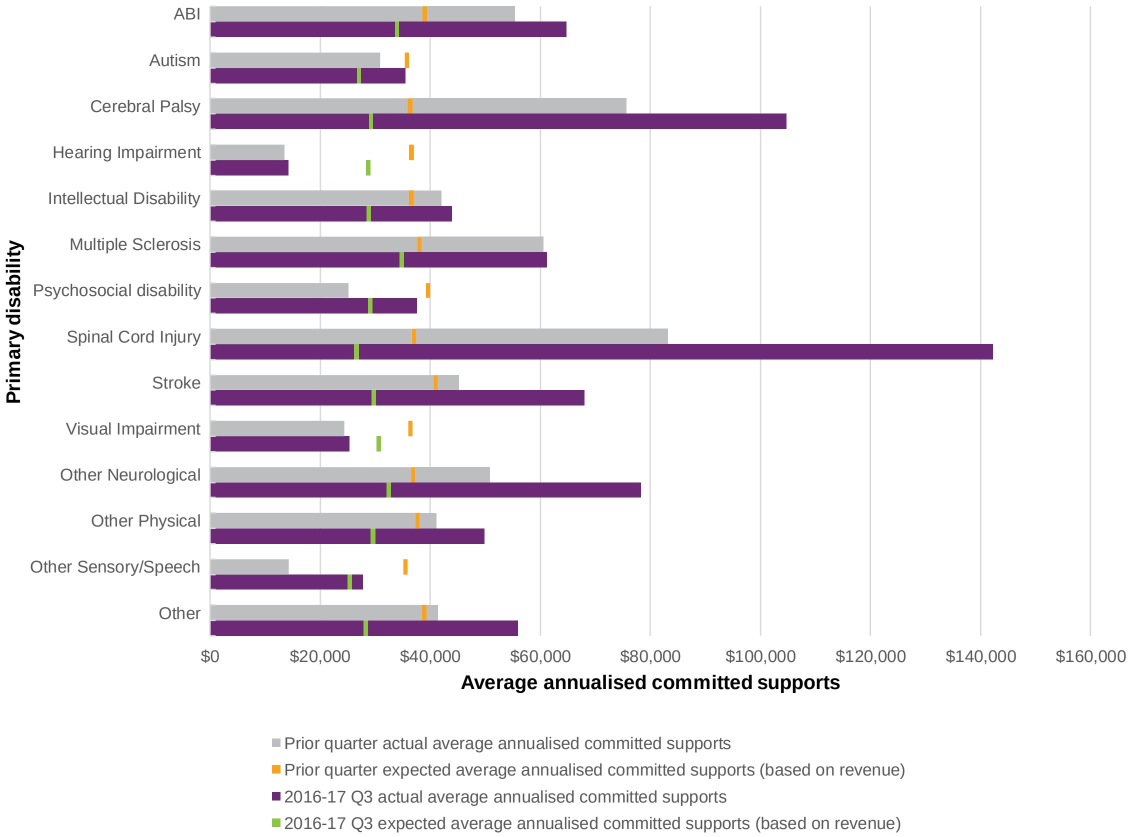
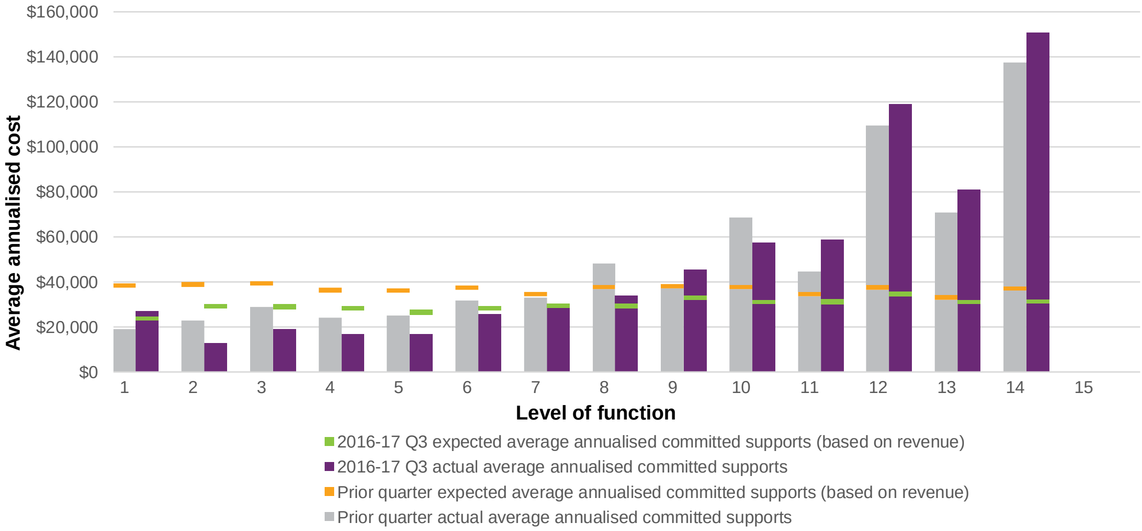


Figure D.5 Average committed support by level of function (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters[31] (VIC)

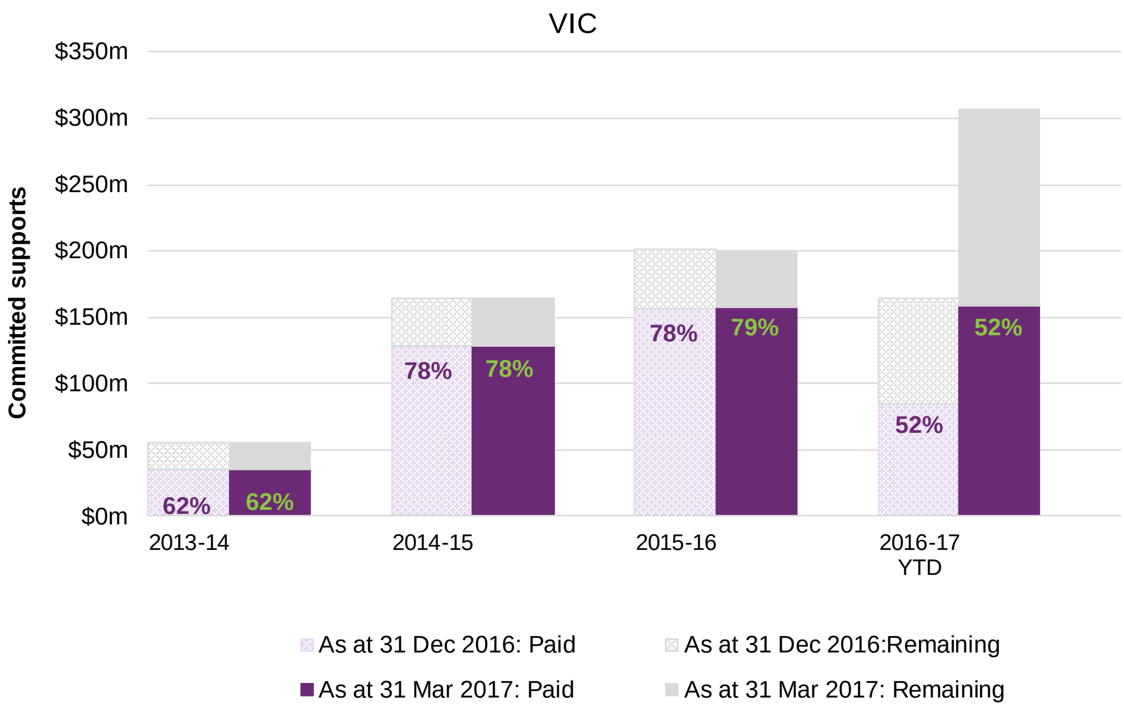


[31] Level of function 15 does not have sufficient data to show an average cost.

Table D.2 Payments by financial year, compared to committed supports ($m) (VIC)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*55.9*	*164.6*	*199.7*	*448.1*	*264.1*	*1,132.3*
Total paid	*34.9*	*128.5*	*157.5*	*159.0*		*479.8*
% utilised to date	*62%*	*78%*	*79%*			

Figure D.6 Utilisation of committed supports as at 31 December 2016 and 31 March 2017 (VIC)



Providers and markets

Table D.3 Key provider indicators by quarter- VIC

	Prior	2016-17 Q3	Total
Provider indicators			
a) Registrations by profile			
Individual/ sole trader	*520*	*180*	*694*
Company/ organisation	*956*	*290*	*1,241*
Total	*1,476*	*470*	*1,935*
b) Complaints			
c) Registration revoked		*11*	

Table D.4 Number of approved providers by registration group[32][33]- VIC

Registration Group	Prior	2016-17 Q3	Total	% change
Therapeutic Supports	753	240	993	32%
Household Tasks	310	93	403	30%
Assist-Travel/Transport	264	79	343	30%
Early Childhood Supports	145	58	203	40%
Participate Community	198	67	265	34%
Development-Life Skills	194	61	255	31%
Assist Prod-Pers Care/Safety	255	80	335	31%
Assist Personal Activities	188	56	244	30%
Assist-Life Stage, Transition	154	65	219	42%
Behaviour Support	143	60	203	42%
Personal Activities High	152	57	209	38%
Personal Mobility Equipment	216	56	272	26%
Group/Centre Activities	154	69	223	45%
Home Modification	208	62	270	30%
Accommodation/Tenancy	148	44	192	30%
Support Coordination	97	70	167	72%
Ex Phys Pers Training	127	47	174	37%
Daily Tasks/Shared Living	107	19	126	18%
Innov Community Participation	121	68	189	56%
Plan Management	116	43	159	37%
Assistive Prod-Household Task	160	54	214	34%
Assistive Equip-Recreation	140	45	185	32%
Comms & Info Equipment	104	47	151	45%
Custom Prosthetics	117	25	142	21%
Community Nursing Care	107	46	153	43%
Assist Access/Maintain Employ	92	7	99	8%
Specialised Driver Training	83	11	94	13%
Hearing Equipment	63	11	74	17%
Spec Support Employ	50	11	61	22%
Vision Equipment	54	8	62	15%
Interpret/Translate	41	27	68	66%
Specialised Hearing Services	61	1	62	2%
Vehicle modifications	33	16	49	48%
Hearing Services	14	2	16	14%
Specialised Disability Accommodation	6	1	7	17%
Assistance Animals	2	0	2	0%
Total approved providers	**1,465**	**470**	**1,935**	**32%**

32 Table order based on national % change (highest to lowest)
33 The 11 providers whose registration ended during the third quarter of 2016-17 are not included in the 2016-17 Q2 and prior numbers in this table.

Table D.5 Key markets indicators by quarter- VIC

	2016-17 Q2	2016-17 Q3
Market indicators		
a) Average number of providers per participant	*2.18*	*1.70*
b) Average new providers per participant	*0.54*	*0.52*
c) Number of providers delivering new supports	*263*	*383*
d) Change in the number of active/ inactive providers:		
Active (%)	*32%*	*35%*
Not yet active (%)	*61%*	*59%*
Inactive (%)	*7%*	*6%*
e) Share of payments – top 25%[34]		
Daily Tasks/ Shared Living (%)	*81%*	*79%*
Therapeutic Supports (%)	*89%*	*87%*
Participate Community (%)	*88%*	*85%*
Early Childhood Supports (%)	*93%*	*88%*
Assist Personal Activities (%)	*86%*	*88%*

[34] Note: Share of payment going to the top 25 % of providers – for the top five registration groups by payment amount.

Table D.6 Proportion of active participants with approved plans accessing mainstream supports – VIC

	Prior Quarters	2016-17 Q3	Total
Participant profile	**Proportion of approved plans %**	**Proportion of approved plans %**	**Proportion of approved plans %**
Assistive technology	0%	0%	0%
Choice & Control	1%	1%	1%
Consumables	0%	0%	0%
Daily Activities	11%	9%	10%
Daily Equipment	0%	0%	0%
Employment	1%	1%	1%
Health & Wellbeing	30%	36%	33%
Home Living	1%	1%	1%
Housing & Home modifications	0%	0%	0%
Independence	3%	2%	3%
Lifelong Learning	12%	10%	11%
Relationships	2%	2%	2%
Social & Civic	4%	3%	4%
Transport	1%	1%	1%
Non-categorised	22%	24%	23%
Any main stream service	70%	75%	72%

Queensland

The tables below replicate the tables in the main parts of this report for QLD only where the data is available.

Participant Demographics

	Prior Quarters	2016-17 Q3	Total excluding ECEI	Total including ECEI	Bilateral estimates
Plan Approvals	2,572	2,481	5,053	5,177	10,296

Table E.1 Quarterly intake split by plan and entry type - QLD

	Prior Quarters	2016-17 Q3	Total
Access decisions	**4,507**	**3,286**	**7,793**
Access Met	**4,336**	**2,637**	**6,973**
State	*3,454*	1,740	5,194
Commonwealth	*144*	202	346
New	*738*	695	1,433
Total Participant Plans	**2,628**	**2,605**	**5,177**
Early Intervention plans	*550*	*365*	*915*
Permanent disability plans	*2,022*	*2,116*	*4,138*
ECEI	*56*	*124*	*124*

Table E.2 Plan reviews conducted per quarter - QLD

	2016-17 Q1	2016-17 Q2	2016-17 Q3	Transition Total
Total plan reviews	**59**	**310**	**748**	**1,117**
Early Intervention plans	*18*	*53*	*95*	*166*
Permanent disability plans	*41*	*257*	*653*	*951*

Table E.3 Exits from the scheme as at 31 March 2017 - QLD

Exits	
Total Plan Exits	**13**
Early Intervention plans	*0*
Permanent disability plans	*13*

Table E.4 Cumulative position by entry point - QLD

	Participant cohort					Bilateral estimate	% of estimate	Awaiting a plan
	State	Commonwealth	New	ECEI	Total			
End of 2015-16	280	32	49	-	361	600	60%	-
End of 2016-17 Q1	535	64	148	-	747	1,600	47%	-
End of 2016-17 Q2	1,941	101	530	56	2,628	4,819	55%	-
End of 2016-17 Q3	3,736	237	1,080	124	5,177	10,296	50%	1,892

Table E.5 Cumulative position by plan type - QLD

	Participant cohort				Bilateral estimate	% of estimate	Awaiting a plan
	EI plan	PD plan	ECEI	Total			
End of 2015-16	165	196	-	361	600	60%	-
End of 2016-17 Q1	284	463	-	747	1,600	47%	-
End of 2016-17 Q2	550	2,022	56	2,628	4,819	55%	-
End of 2016-17 Q3	915	4,138	124	5,177	10,296	50%	1,892

Table E.6 Active participants with approved plans per quarter by disability group - QLD[35]

	Prior Quarters		2016-17 Q3		Total	
Disability group	**N**	**%**	**N**	**%**	**N**	**%**
Intellectual Disability	943	37%	829	34%	1,772	35%
Autism	668	26%	577	23%	1,245	25%
Other Physical	139	5%	125	5%	264	5%
Psychosocial disability	140	5%	222	9%	362	7%
Cerebral Palsy	174	7%	139	6%	313	6%
Other Neurological	124	5%	125	5%	249	5%
ABI	98	4%	144	6%	242	5%
Other Sensory/Speech	54	2%	24	1%	78	2%
Hearing Impairment	63	2%	69	3%	132	3%
Visual Impairment	46	2%	38	2%	84	2%
Multiple Sclerosis	37	1%	75	3%	112	2%
Spinal Cord Injury	59	2%	67	3%	126	3%
Stroke	19	1%	31	1%	50	1%
Other	2	0%	9	0%	11	0%
Overall	**2,566**	**100%**	**2,474**	**100%**	**5,040**	**100%**

35 Table order based on national proportions (highest to lowest)

Table E.7 Active participants with approved plan per quarter by level of function - QLD

	Prior Quarters		2016-17 Q3		Total	
Level of function	**N**	**%**	**N**	**%**	**N**	**%**
1 (High Function)	4	0.2%	7	0.3%	11	0.2%
2 (High Function)	3	0.1%	3	0.1%	6	0.1%
3 (High Function)	103	4%	83	3%	186	4%
4 (High Function)	187	7%	130	5%	317	6%
5 (High Function)	440	17%	294	12%	734	15%
6 (Moderate Function)	286	11%	323	13%	609	12%
7 (Moderate Function)	218	8%	163	7%	381	8%
8 (Moderate Function)	209	8%	273	11%	482	10%
9 (Moderate Function)	16	1%	19	1%	35	1%
10 (Moderate Function)	274	11%	406	16%	680	13%
11 (Low Function)	154	6%	151	6%	305	6%
12 (Low Function)	373	15%	443	18%	816	16%
13 (Low Function)	94	4%	94	4%	188	4%
14 (Low Function)	94	4%	85	3%	179	4%
15 (Low Function)	1	0.04%	0	0.00%	1	0.02%
Missing	110	4.29%	0	0.00%	110	2.18%
Overall	**2,566**	**100%**	**2,474**	**100%**	**5,040**	**100%**

Table E.8 Participant profile per quarter by Age group - QLD

	Prior Quarters		2016-17 Q3		Total	
Age group	**N**	**%**	**N**	**%**	**N**	**%**
0 to 6	374	15%	158	6%	532	11%
7 to 14	601	23%	442	18%	1,043	21%
15 to 18	244	10%	216	9%	460	9%
19 to 24	238	9%	244	10%	482	10%
25 to 34	271	11%	295	12%	566	11%
35 to 44	253	10%	340	14%	593	12%
45 to 54	305	12%	380	15%	685	14%
55 to 64	260	10%	387	16%	647	13%
65+	20	1%	12	0%	32	1%
Overall	**2,566**	**100%**	**2,474**	**100%**	**5,040**	**100%**

Table E.9 Participant profile per quarter by Gender - QLD

	Prior Quarters		2016-17 Q3		Total	
Gender	**N**	**%**	**N**	**%**	**N**	**%**
Male	1584	62%	1534	62%	3118	61.9%
Female	971	38%	930	38%	1901	37.7%
Indeterminate	11	0%	10	0%	21	0.4%
Overall	**2,566**	**100%**	**2,474**	**100%**	**5,040**	**100%**

Table E.10 Participant profile per quarter by Aboriginal and Torres Strait islander status - QLD

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
Aboriginal and Torres Strait Islander	302	11.8%	199	8.0%	501	9.9%
Not Aboriginal and Torres Strait Islander	2,216	86.4%	2,256	91.2%	4,472	88.7%
Not Stated	48	1.9%	19	0.8%	67	1.3%
Total	**2,566**	**100%**	**2,474**	**100%**	**5,040**	**100%**

Table E.11 Participant profile per quarter by Young people in Residential aged care (YPIRAC) status - QLD

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
YPIRAC	21	0.8%	42	1.7%	63	1.3%
Not YPIRAC	2,545	99.2%	2,432	98.3%	4,977	98.8%
Overall	**2,566**	**100%**	**2,474**	**100%**	**5,040**	**100%**

Table E.12 Distribution of active participants by method of Financial Plan Management and quarter of plan approval - QLD

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Self-Managed Fully	5.7%	6.3%	6%
Self-Managed Partly	5.7%	12.4%	10%
Plan Managed	10.1%	15.7%	13%
Agency Managed	78.3%	65.6%	71%
Total	**100%**	**100%**	**100%**

Table E.13 Distribution of active participants by support coordination and quarter of plan approval - QLD

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Support coordination	87.9%	88.0%	87.9%

Table E.14 Duration to plan activation by quarter of first plan approval for active participants - QLD

	2016-17 Q1		2016-17 Q2	
Plan activation	**N**	**%**	**N**	**%**
Less than 30 days	202	52%	849	47%
30 to 59 days	69	18%	235	13%
60 to 89 days	28	7%	127	7%
Activated within 90 days	299	77%	1,211	67%
90 to 119 days	10	3%	46	3%
120 days and over	17	4%	10	1%
Activated within 180 days	27	7%		
No payments	60	16%	552	30%
Total plans approved	**386**	**100%**	**1,819**	**100%**

Table E.15 Number of questionnaires completed by SFOF version- QLD

Version	Number of questionnaires collected Q1	Number of questionnaires collected Q2	Number of questionnaires collected Q3	Number of questionnaires
Participant 0 to school	101	192	175	468
Participant school to 14	101	350	480	931
Participant 15 to 24	49	294	399	742
Participant 25 and over	129	953	1,379	2,461
Total Participant	**380**	**1,789**	**2,433**	**4,602**
Family 0 to 14	203	513	594	1,310
Family 15 to 24	13	74	59	146
Family 25 and over	4	32	49	85
Total Family	**220**	**619**	**702**	**1,541**
Total	**600**	**2,408**	**3,135**	**6,143**

Table E.16 Selected key indicators for participants – Daily Living (DL) and Choice and Control (CC) - QLD

	Indicator	0 to before school	School to 14	15 to 24	25 and over
DL	% with concerns in 6 or more of the areas: gross motor skills, fine motor skills, self-care, eating, social interaction, communication, cognitive development, sensory processing	56%			
CC	% who say their child is able to tell them what he/she wants	81%			
DL	% developing functional, learning and coping skills appropriate to their ability and circumstances		34%		
DL	% who say their child is becoming more independent		47%		
CC	% of children who have a genuine say in decisions about themselves		65%		
CC	% who are happy with the level of independence/control they have now			43%	
CC	% who choose what they do each day			45%	60%
CC	% who had been given the opportunity to participate in a self-advocacy group meeting			22%	30%
CC	% who want more choice and control in their life			78%	69%

Table E.17 Selected key indicators for participants – Relationships (REL) and Social/ Community Participation (S/CP) - QLD

	Indicator	0 to before school	School to 14	15 to 24	25 and over
REL	% of children who can make friends with people outside the family	75%	66%		
S/CP	% of children who participate in age appropriate community, cultural or religious activities	62%			
REL	% of children who spend time after school and on weekends with friends and/or in mainstream programs		35%		
REL	Of these, % who are welcomed or actively included	67%	80%		
REL	% of children who spend time with friends without an adult present		16%		
REL	% with no friends other than family or paid staff			27%	25%
S/CP	% who have been actively involved in a community, cultural or religious group in the last 12 months			33%	40%

Table E.18 Selected key indicators for participants – Lifelong Learning (LL), Work (WK), Home (HM) and Health and Wellbeing (HW)

	Indicator	0 to before school	School to 14	15 to 24	25 and over
LL	% of children attending school in a mainstream class		51%		
HM	% who are happy with their home			82%	80%
HM	% who feel safe or very safe in their home			84%	78%
HW	% who rate their health as good, very good or excellent			69%	51%
HW	% who did not have any difficulties accessing health services			67%	67%
LL	% who currently attend or previously attended school in a mainstream class			24%	
LL	% who participate in education, training or skill development				11%
LL	Of those who participate, % who do so in mainstream settings				58%
LL	% unable to do a course or training they wanted to do in the last 12 months				33%
WK	% who have a paid job			21%	19%
WK	% who volunteer			18%	18%

Table E.19 Selected key indicators for families/ carers of participants- QLD

Indicator	0 to 14	15 to 24	25 and over
% receiving Carer Payment	27%	27%	27%
% receiving Carer Allowance	57%	60%	31%
% working in a paid job	42%	49%	22%
Of those in a paid job, % in permanent employment	70%	77%	Numbers are too small
Of those in a paid job, % working 15 hours or more	78%	83%	Numbers are too small
% who say they (and their partner) are able to work as much as they want	49%	46%	61%
Of those unable to work as much as they want, % who say the situation of their child/family member with disability is a barrier to working more	83%	95%	84%
Of those unable to work as much as they want, % who say insufficient flexibility of jobs is a barrier to working more	47%	51%	36%
% able to advocate for their child/family member	79%	77%	74%
% who have friends and family they see as often as they like	46%	36%	40%
% who feel very confident or somewhat confident in supporting their child's development	89%		
% who know what their family can do to enable their family member with disability to become as independent as possible		38%	
% who feel in control selecting services		36%	40%
% who have made plans (or begun to make plans) for when they are no longer able to care for their family member with disability			41%
% who rate their health as good, very good or excellent	74%	61%	49%

Table E.20 AAT cases by category - QLD

AAT cases			
Access	Plan	Plan review	Total
0	3	2	5

Table E.21 Proportion of Participants rating their level of agreement as strongly agree, agree, neutral, disagree or strongly disagree in response to statements about the planning process - QLD

	Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree
The planner listened to me	75%	25%	0%	0%	0%
I had enough time to tell my story and say what support I need	88%	0%	13%	0%	0%
The planner knows what I can do well	13%	63%	25%	0%	0%
The planner had some good ideas for my plan	25%	50%	25%	0%	0%
I know what is in my plan	25%	38%	38%	0%	0%
The planner helped me think about my future	50%	38%	13%	0%	0%
I think my plan will make my life better	50%	50%	0%	0%	0%
The planning meeting went well	75%	25%	0%	0%	0%

Committed supports and payments

Table E.22 Committed supports by financial year ($m) (QLD)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*n/a*	*n/a*	*1.1*	*185.2*	*177.1*	*363.4*

Figure E.1 Average committed support by annualised committed support band (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (QLD)

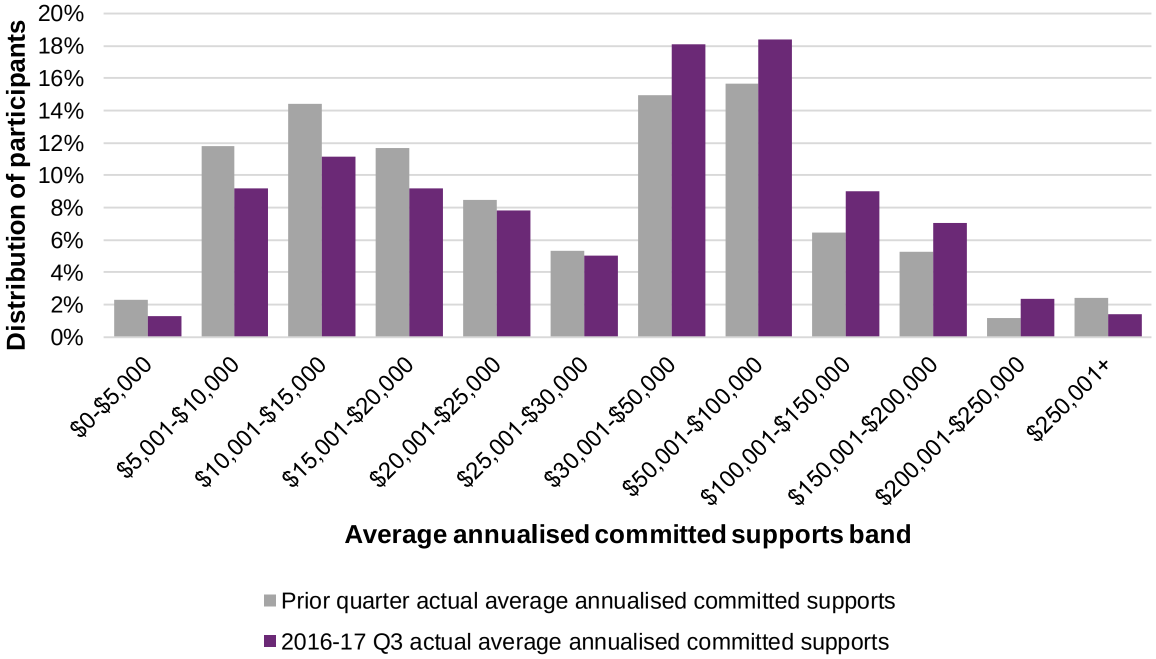


Figure E.2 Average committed support by age group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (QLD)

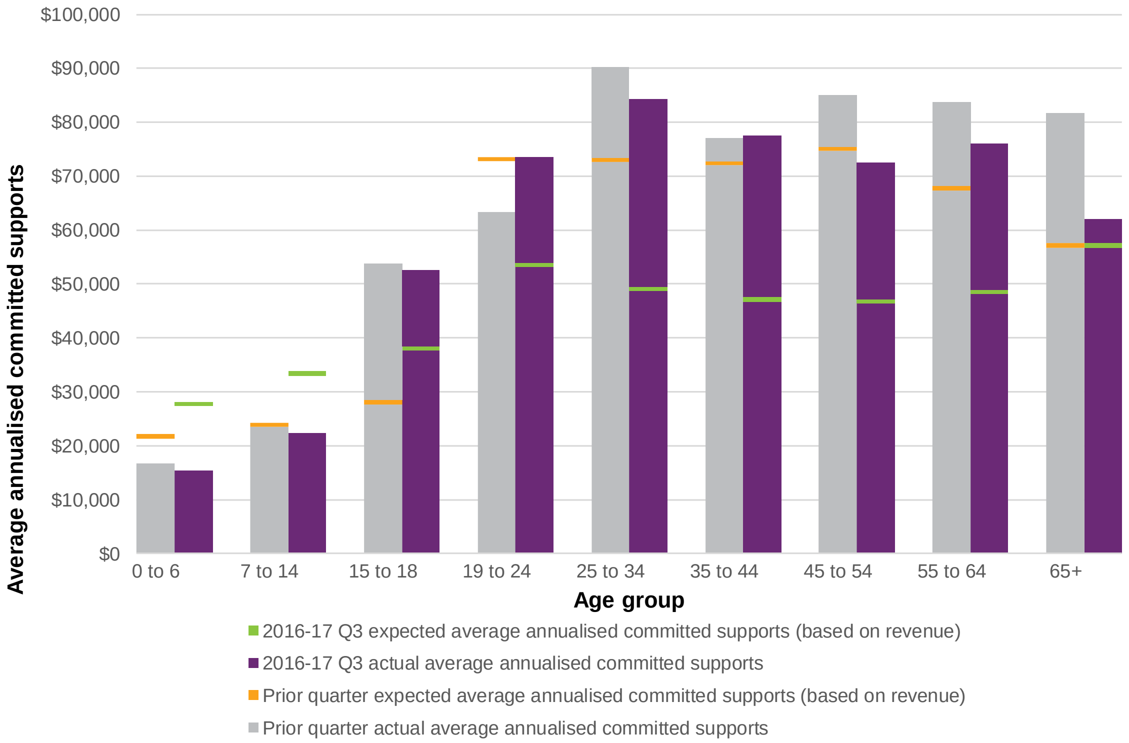


Figure E.3 Average committed support by primary disability group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (QLD)

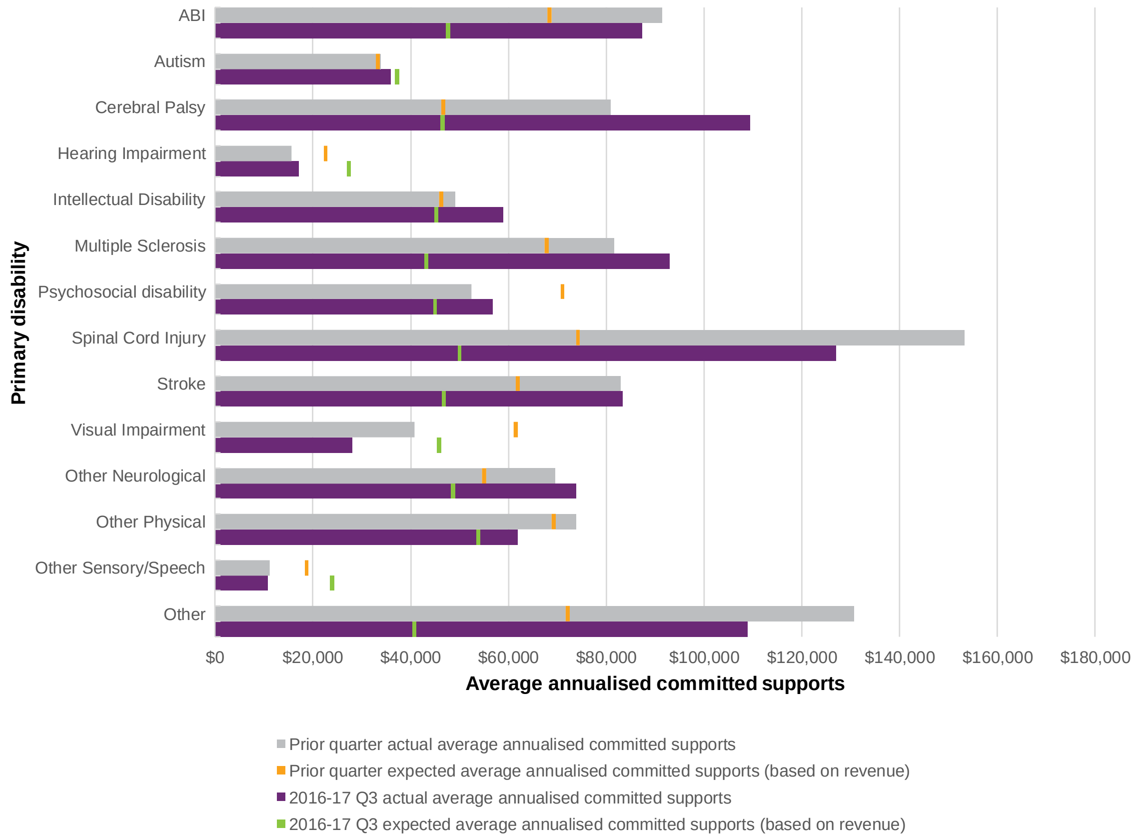
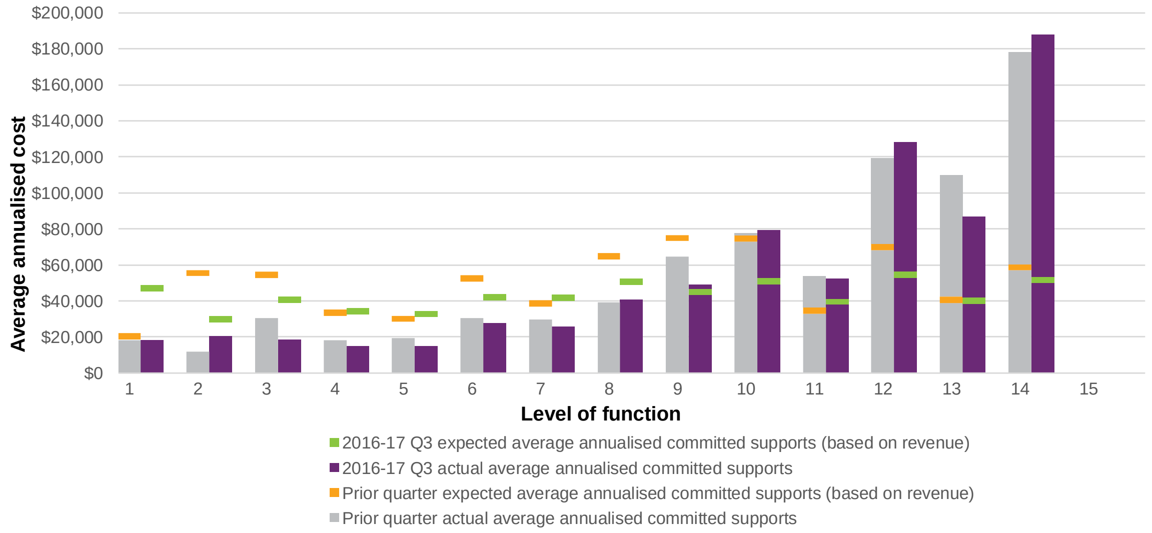


Figure E.4 Average committed support by level of function (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters36 (QLD)

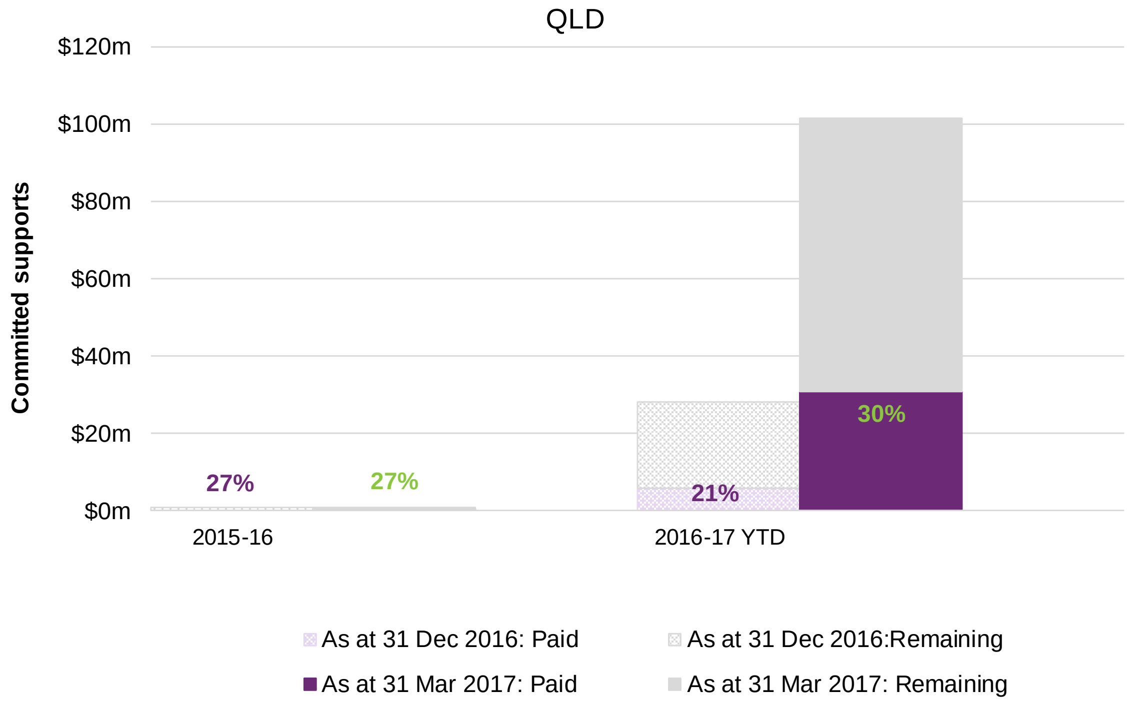


[36] Level of function 15 does not have sufficient data to show an average cost.

Table E.23 Payments by financial year, compared to committed supports ($m) (QLD)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*n/a*	*n/a*	*1.1*	*185.2*	*177.1*	*363.4*
Total paid	*n/a*	*n/a*	*0.3*	*30.9*		*31.2*
% utilised to date	*n/a*	*n/a*	*27%*			

Figure E.5 Utilisation of committed supports as at 31 December 2016 and 31 March 2017 (QLD)



Providers and markets

Table E.24 Key provider indicators by quarter- QLD

	Prior	2016-17 Q3	Total
Provider indicators			
a) Registrations by profile			
Individual/ sole trader	*138*	*140*	*277*
Company/ organisation	*490*	*281*	*769*
Total	*628*	*421*	*1,046*
b) Registration revoked		*3*	

Table E.25 Number of approved providers by registration group- QLD [37][38]

Registration Group	Prior	2016-17 Q3	Total	% change
Therapeutic Supports	155	169	324	109%
Household Tasks	141	78	219	55%
Assist-Travel/Transport	152	83	235	55%
Early Childhood Supports	111	100	211	90%
Participate Community	104	51	155	49%
Development-Life Skills	108	59	167	55%
Assist Prod-Pers Care/Safety	143	66	209	46%
Assist Personal Activities	96	54	150	56%
Assist-Life Stage, Transition	104	42	146	40%
Behaviour Support	79	87	166	110%
Personal Activities High	74	43	117	58%
Personal Mobility Equipment	119	60	179	50%
Group/Centre Activities	54	49	103	91%
Home Modification	108	58	166	54%
Accommodation/Tenancy	103	46	149	45%
Support Coordination	83	107	190	129%
Ex Phys Pers Training	88	49	137	56%
Daily Tasks/Shared Living	85	42	127	49%
Innov Community Participation	94	75	169	80%
Plan Management	62	33	95	53%
Assistive Prod-Household Task	102	47	149	46%
Assistive Equip-Recreation	106	42	148	40%
Comms & Info Equipment	73	46	119	63%
Custom Prosthetics	53	16	69	30%
Community Nursing Care	46	18	64	39%
Assist Access/Maintain Employ	34	9	43	26%
Specialised Driver Training	29	11	40	38%
Hearing Equipment	22	12	34	55%
Spec Support Employ	13	5	18	38%
Vision Equipment	30	10	40	33%
Interpret/Translate	13	12	25	92%
Specialised Hearing Services	6	4	10	67%
Vehicle modifications	25	13	38	52%
Hearing Services	1	4	5	400%
Specialised Disability Accommodation	0	2	2	
Assistance Animals	0	0	0	
Total approved providers	**625**	**421**	**1,046**	**67%**

[37] Table order based on national % change (highest to lowest)
[38] The 3 providers whose registration ended during the third quarter of 2016-17 are not included in the 2016-17 Q2 and prior numbers in this table.

Table E.26 Key markets indicators by quarter- QLD

	2016-17 Q2	2016-17 Q3
Market indicators		
a) Average number of providers per participant	*1.19*	*1.13*
b) Average new providers per participant	*0.74*	*0.76*
c) Number of providers delivering new supports	*40*	*83*
d) Change in the number of active/ inactive providers:		
Active (%)	*26%*	*35%*
Not yet active (%)	*72%*	*64%*
Inactive (%)	*2%*	*1%*
e) Share of payments – top 25%[39]		
Daily Tasks/ Shared Living (%)	*80%*	*75%*
Therapeutic Supports (%)	*85%*	*82%*
Participate Community (%)	*65%*	*76%*
Early Childhood Supports (%)	*79%*	*83%*
Assist Personal Activities (%)	*73%*	*82%*

[39] Note: Share of payment going to the top 25 % of providers – for the top five registration groups by payment amount.

Table E.27 Proportion of active participants with approved plans accessing mainstream supports - QLD

	Prior Quarters	2016-17 Q3	Total
Participant profile	**Proportion of approved plans %**	**Proportion of approved plans %**	**Proportion of approved plans %**
Assistive technology	0%	0%	0%
Choice & Control	2%	2%	2%
Consumables	0%	0%	0%
Daily Activities	14%	10%	11%
Daily Equipment	0%	0%	0%
Employment	2%	2%	2%
Health & Wellbeing	31%	35%	34%
Home Living	2%	2%	2%
Housing & Home modifications	0%	0%	0%
Independence	3%	2%	2%
Lifelong Learning	11%	9%	10%
Relationships	1%	2%	1%
Social & Civic	3%	3%	3%
Transport	0%	0%	0%
Non-categorised	29%	21%	24%
Any main stream service	79%	71%	74%

Western Australia

The tables below replicate the tables in the main parts of this report for WA only where the data is available.

Participant Demographics

Table F.1 Plan approvals compared to estimates - WA

	Prior Quarters	2016-17 Q3	Total excluding ECEI	Total including ECEI	Bilateral estimates
Plan Approvals	2,951	489	3,440	3,440	6,049

Table F.2 Quarterly intake split by plan and entry type - WA

	Prior Quarters	2016-17 Q3	Total
Access decisions	**3,483**	**803**	**4,286**
Access Met	**3,177**	**634**	**3,811**
State	*1,463*	374	1,837
Commonwealth	*46*	9	55
New	*1,668*	251	1,919
Total Participant Plans	**2,951**	**489**	**3,440**
Early Intervention plans	*515*	*76*	*591*
Permanent disability plans	*2,436*	*413*	*2,849*
ECEI	*0*	*0*	*0*

Table F.3 Plan reviews conducted per quarter - WA

	2016-17 Q1	2016-17 Q2	2016-17 Q3	Transition Total
Total plan reviews	**325**	**623**	**585**	**1,533**
Early Intervention plans	*44*	*86*	*68*	*198*
Permanent disability plans	*281*	*537*	*517*	*1,335*

Table F.4 Exits from the scheme as at 31 March 2017 - WA

Exits	
Total Plan Exits	**35**
Early Intervention plans	*2*
Permanent disability plans	*33*

Table F.5 Cumulative position by entry point - WA

	Participant cohort					Bilateral estimate	% of estimate	Awaiting a plan
	State	Commonwealth	New	ECEI	Total			
End of 2015-16	1,253	23	1,218	0	2,494	4,250	59%	-
End of 2016-17 Q1	1,284	28	1,340	0	2,652	4,646	57%	-
End of 2016-17 Q2	1,345	41	1,565	0	2,951	5,042	59%	-
End of 2016-17 Q3	1,625	47	1,768	0	3,440	6,049	57%	346

Table F.6 Cumulative position by plan type - WA

	Participant cohort				Bilateral estimate	% of estimate	Awaiting a plan
	EI plan	PD plan	ECEI	Total			
End of 2015-16	363	2,131	0	2,494	4,250	59%	-
End of 2016-17 Q1	422	2,230	0	2,652	4,646	57%	-
End of 2016-17 Q2	515	2,436	0	2,951	5,042	59%	-
End of 2016-17 Q3	591	2,849	0	3,440	6,049	57%	346

Table F.7 Active participants with approved plans per quarter by disability group - WA[40]

	Prior Quarters		2016-17 Q3		Total	
Disability group	**N**	**%**	**N**	**%**	**N**	**%**
Intellectual Disability	954	33%	159	33%	1,113	33%
Autism	923	32%	166	34%	1,089	32%
Other Physical	142	5%	7	1%	149	4%
Psychosocial disability	250	9%	27	6%	277	8%
Cerebral Palsy	145	5%	21	4%	166	5%
Other Neurological	134	5%	26	5%	160	5%
ABI	70	2%	16	3%	86	3%
Other Sensory/Speech	58	2%	13	3%	71	2%
Hearing Impairment	49	2%	12	2%	61	2%
Visual Impairment	50	2%	17	3%	67	2%
Multiple Sclerosis	69	2%	18	4%	87	3%
Spinal Cord Injury	34	1%	5	1%	39	1%
Stroke	34	1%	2	0%	36	1%
Other	4	0%	0	0%	4	0%
Overall	**2,916**	**100%**	**489**	**100%**	**3,405**	**100%**

[40] Table order based on national proportions (highest to lowest)

Table F.8 Active participants with approved plan per quarter by level of function - WA

	Prior Quarters		2016-17 Q3		Total	
Level of function	**N**	**%**	**N**	**%**	**N**	**%**
1 (High Function)	10	0.3%	2	0.4%	12	0.4%
2 (High Function)	11	0.4%	4	0.8%	15	0.4%
3 (High Function)	199	7%	25	5%	224	7%
4 (High Function)	167	6%	39	8%	206	6%
5 (High Function)	534	18%	138	28%	672	20%
6 (Moderate Function)	276	9%	84	17%	360	11%
7 (Moderate Function)	160	5%	37	8%	197	6%
8 (Moderate Function)	146	5%	28	6%	174	5%
9 (Moderate Function)	22	1%	4	1%	26	1%
10 (Moderate Function)	157	5%	37	8%	194	6%
11 (Low Function)	104	4%	15	3%	119	3%
12 (Low Function)	174	6%	37	8%	211	6%
13 (Low Function)	57	2%	26	5%	83	2%
14 (Low Function)	48	2%	13	3%	61	2%
15 (Low Function)	0	0.00%	0	0.00%	0	0.00%
Missing	851	29.18%	0	0.00%	851	24.99%
Overall	**2,916**	**100%**	**489**	**100%**	**3,405**	**100%**

Table F.9 Participant profile per quarter by Age group - WA

	Prior Quarters		2016-17 Q3		Total	
Age group	**N**	**%**	**N**	**%**	**N**	**%**
0 to 6	396	14%	94	19%	490	14%
7 to 14	745	26%	134	27%	879	26%
15 to 18	265	9%	35	7%	300	9%
19 to 24	255	9%	41	8%	296	9%
25 to 34	299	10%	44	9%	343	10%
35 to 44	234	8%	44	9%	278	8%
45 to 54	322	11%	45	9%	367	11%
55 to 64	350	12%	50	10%	400	12%
65+	50	2%	2	0%	52	2%
Overall	**2,916**	**100%**	**489**	**100%**	**3,405**	**100%**

Table F.10 Participant profile per quarter by Gender - WA

	Prior Quarters		2016-17 Q3		Total	
Gender	**N**	**%**	**N**	**%**	**N**	**%**
Male	1927	66%	198	40%	2125	62.4%
Female	983	34%	140	29%	1123	33.0%
Indeterminate	6	0%	151	31%	157	4.6%
Overall	**2,916**	**100%**	**489**	**100%**	**3,405**	**100%**

Table F.11 Participant profile per quarter by Aboriginal and Torres Strait islander status - WA

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
Aboriginal and Torres Strait Islander	141	4.8%	7	1.4%	148	4.3%
Not Aboriginal and Torres Strait Islander	2,727	93.5%	478	97.8%	3,205	94.1%
Not Stated	48	1.6%	4	0.8%	52	1.5%
Total	**2,916**	**100%**	**489**	**100%**	**3,405**	**100%**

Table F.12 Participant profile per quarter by Young people in Residential aged care (YPIRAC) status - WA

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
YPIRAC	19	0.7%	1	0.2%	20	0.6%
Not YPIRAC	2,897	99.3%	488	99.8%	3,385	99.4%
Overall	**2,916**	**100%**	**489**	**100%**	**3,405**	**100%**

Table F.13 Distribution of active participants by method of Financial Plan Management and quarter of plan approval - WA

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Self-Managed Fully	7.9%	5.4%	7%
Self-Managed Partly	11.9%	19.1%	16%
Plan Managed	8.2%	7.2%	8%
Agency Managed	72.0%	68.3%	70%
Total	**100%**	**100%**	**100%**

Table F.14 Distribution of active participants by support coordination and quarter of plan approval - WA

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Support coordination	66.7%	82.8%	75.5%

Table F.15 Distribution of active participants by support coordination and quarter of plan approval - WA

	2016-17 Q1		2016-17 Q2	
Plan activation	**N**	**%**	**N**	**%**
Less than 30 days	75	47%	145	48%
30 to 59 days	31	20%	33	11%
60 to 89 days	9	6%	20	7%
Activated within 90 days	115	73%	198	66%
90 to 119 days	4	3%	14	5%
120 days and over	8	5%	5	2%
Activated within 180 days	12	8%		
No payments	31	20%	82	27%
Total plans approved	**158**	**100%**	**299**	**100%**

Table F.16 Number of questionnaires completed by SFOF version- WA

Version	Number of questionnaires collected Q1	Number of questionnaires collected Q2	Number of questionnaires collected Q3	Number of questionnaires
Participant 0 to school	43	78	102	223
Participant school to 14	23	46	135	204
Participant 15 to 24	16	23	71	110
Participant 25 and over	70	150	179	399
Total Participant	**152**	**297**	**487**	**936**
Family 0 to 14	64	120	232	416
Family 15 to 24	7	7	3	17
Family 25 and over	2	6	8	16
Total Family	**73**	**133**	**243**	**449**
Total	**225**	**430**	**730**	**1,385**

Table F.17 Selected key indicators for participants – Daily Living (DL) and Choice and Control (CC) - WA

	Indicator	0 to before school	School to 14	15 to 24	25 and over
DL	% with concerns in 6 or more of the areas: gross motor skills, fine motor skills, self-care, eating, social interaction, communication, cognitive development, sensory processing	53%			
CC	% who say their child is able to tell them what he/she wants	74%			
DL	% developing functional, learning and coping skills appropriate to their ability and circumstances		40%		
DL	% who say their child is becoming more independent		59%		
CC	% of children who have a genuine say in decisions about themselves		72%		
CC	% who are happy with the level of independence/control they have now			55%	
CC	% who choose what they do each day			53%	69%
CC	% who had been given the opportunity to participate in a self-advocacy group meeting			30%	31%
CC	% who want more choice and control in their life			62%	50%

Table F.18 Selected key indicators for participants – Relationships (REL) and Social/ Community Participation (S/CP) - WA

	Indicator	0 to before school	School to 14	15 to 24	25 and over
REL	% of children who can make friends with people outside the family	65%	69%		
S/CP	% of children who participate in age appropriate community, cultural or religious activities	43%			
REL	% of children who spend time after school and on weekends with friends and/or in mainstream programs		45%		
REL	Of these, % who are welcomed or actively included	76%	72%		
REL	% of children who spend time with friends without an adult present		23%		
REL	% with no friends other than family or paid staff			36%	36%
S/CP	% who have been actively involved in a community, cultural or religious group in the last 12 months			32%	37%

Table F.19 Selected key indicators for participants – Lifelong Learning (LL), Work (WK), Home (HM) and Health and Wellbeing (HW) - WA

	Indicator	0 to before school	School to 14	15 to 24	25 and over
LL	% of children attending school in a mainstream class		66%		
HM	% who are happy with their home			84%	75%
HM	% who feel safe or very safe in their home			89%	79%
HW	% who rate their health as good, very good or excellent			69%	49%
HW	% who did not have any difficulties accessing health services			69%	77%
LL	% who currently attend or previously attended school in a mainstream class			37%	
LL	% who participate in education, training or skill development				13%
LL	Of those who participate, % who do so in mainstream settings				65%
LL	% unable to do a course or training they wanted to do in the last 12 months				34%
WK	% who have a paid job			20%	25%
WK	% who volunteer			17%	12%

Table F.20 Selected key indicators for families/ carers of participants- WA

Indicator	0 to 14	15 to 24	25 and over
% receiving Carer Payment	15%	Numbers are too small	Numbers are too small
% receiving Carer Allowance	44%	Numbers are too small	Numbers are too small
% working in a paid job	47%	Numbers are too small	Numbers are too small
Of those in a paid job, % in permanent employment	79%	Numbers are too small	Numbers are too small
Of those in a paid job, % working 15 hours or more	80%	Numbers are too small	Numbers are too small
% who say they (and their partner) are able to work as much as they want	48%	Numbers are too small	Numbers are too small
Of those unable to work as much as they want, % who say the situation of their child/family member with disability is a barrier to working more	83%	Numbers are too small	Numbers are too small
Of those unable to work as much as they want, % who say insufficient flexibility of jobs is a barrier to working more	42%	Numbers are too small	Numbers are too small
% able to advocate for their child/family member	83%	Numbers are too small	Numbers are too small
% who have friends and family they see as often as they like	54%	Numbers are too small	Numbers are too small
% who feel very confident or somewhat confident in supporting their child's development	85%		
% who know what their family can do to enable their family member with disability to become as independent as possible		Numbers are too small	
% who feel in control selecting services		Numbers are too small	Numbers are too small
% who have made plans (or begun to make plans) for when they are no longer able to care for their family member with disability			Numbers are too small
% who rate their health as good, very good or excellent	77%	Numbers are too small	Numbers are too small

Figure F.1 Proportion of participants describing satisfaction with the agency as good or very good – by quarter (WA)

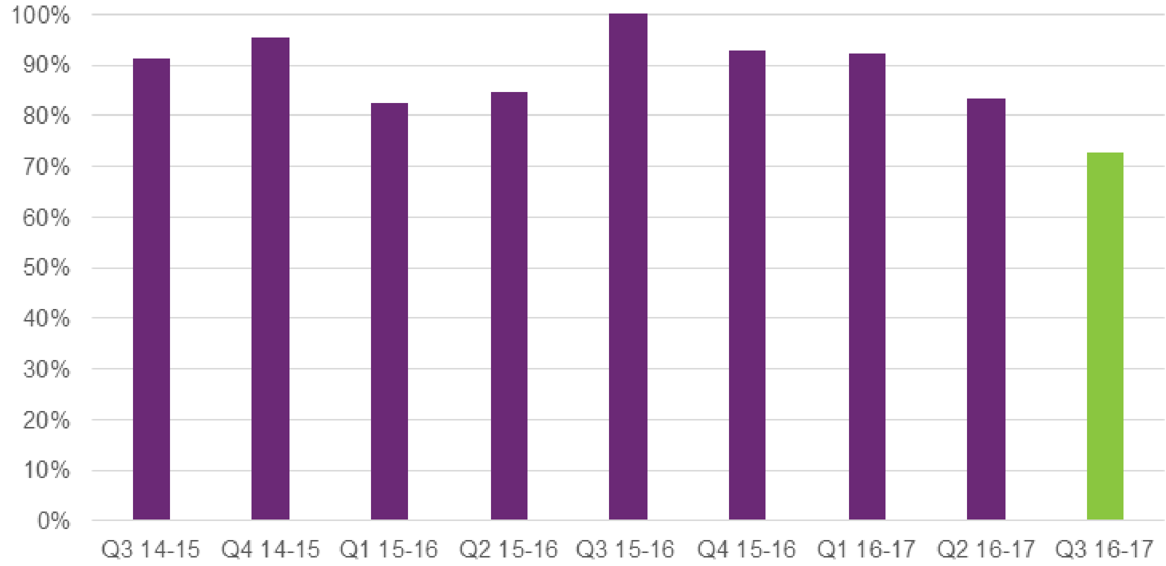


Table F.21 AAT cases by category - WA

AAT cases			
Access	Plan	Plan review	Total
3	3	0	6

Table F.22 Proportion of Participants rating their level of agreement as strongly agree, agree, neutral, disagree or strongly disagree in response to statements about the planning process - WA

	Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree
The planner listened to me	55%	36%	0%	9%	0%
I had enough time to tell my story and say what support I need	73%	18%	0%	9%	0%
The planner knows what I can do well	36%	45%	9%	9%	0%
The planner had some good ideas for my plan	9%	82%	0%	9%	0%
I know what is in my plan	18%	82%	0%	0%	0%
The planner helped me think about my future	27%	55%	18%	0%	0%
I think my plan will make my life better	18%	73%	9%	0%	0%
The planning meeting went well	55%	36%	0%	9%	0%

Committed supports and payments

Table F.23 Committed supports by financial year ($m) (WA)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*n/a*	*21.0*	*67.9*	*161.8*	*49.9*	*300.6*

Figure F.2 Average committed support by annualised committed support band (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (WA)

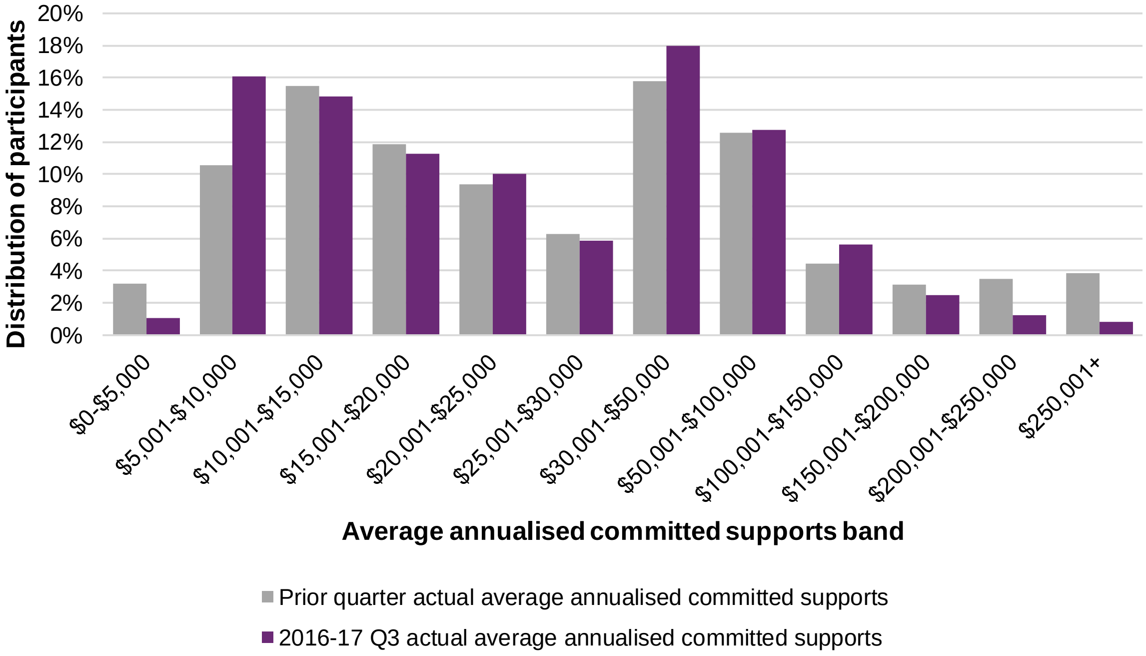


Figure F.3 Average committed support by age group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (WA)

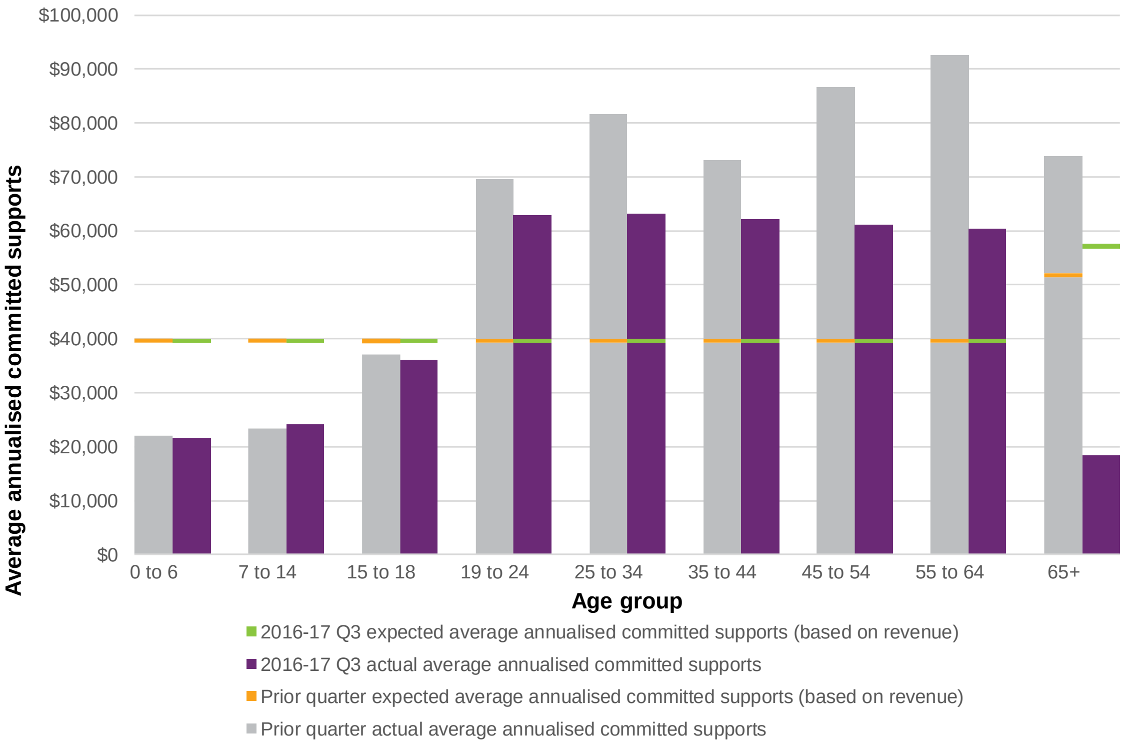


Figure F.4 Average committed support by primary disability group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (WA)

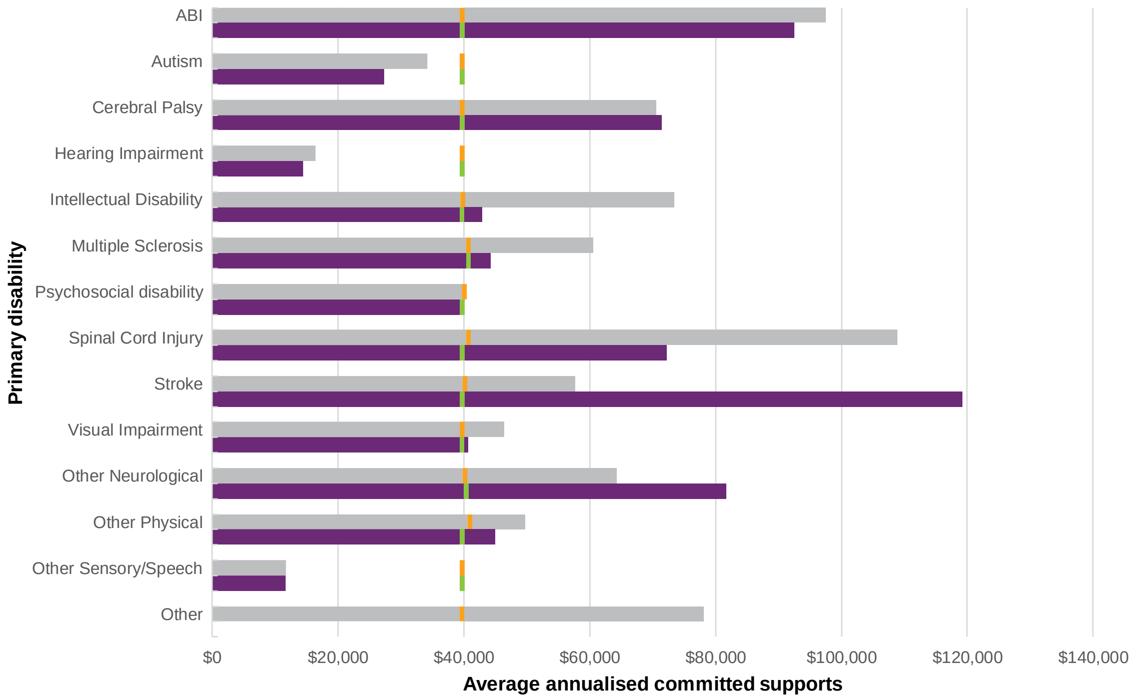
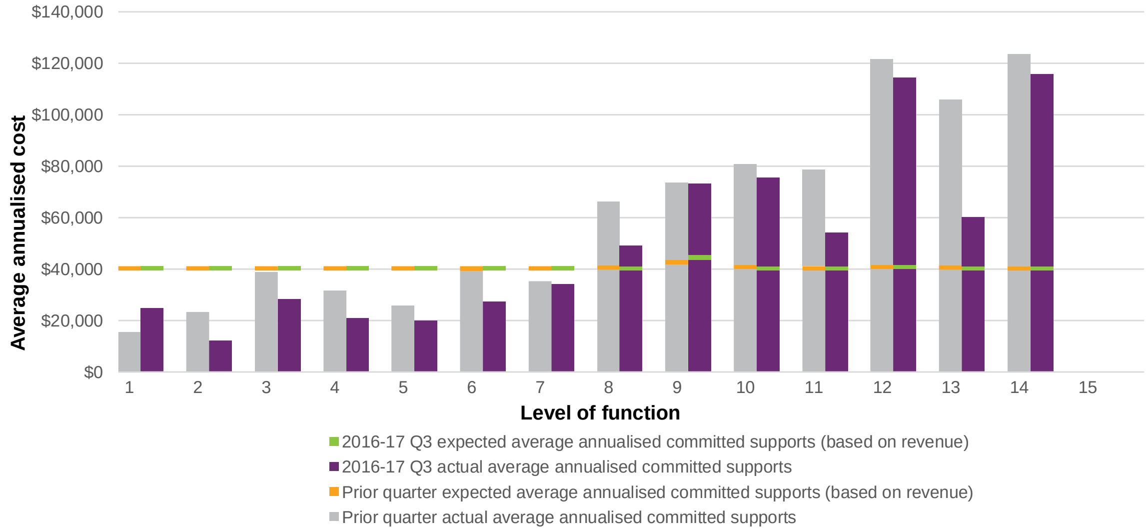


Figure F.5 Average committed support by level of function (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters[41] (WA)

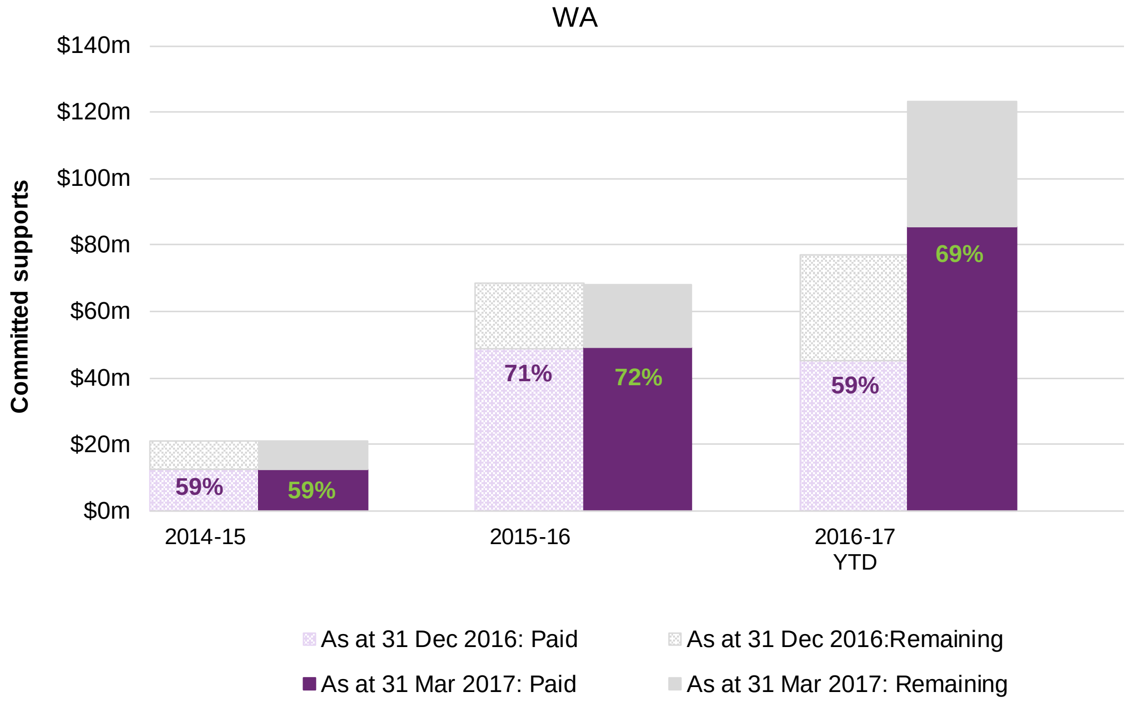


41 Level of function 15 does not have sufficient data to show an average cost.

Table F.24 Payments by financial year, compared to committed supports ($m) (WA)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*n/a*	*21.0*	*67.9*	*161.8*	*49.9*	*300.6*
Total paid	*n/a*	*12.5*	*49.2*	*85.7*		*147.4*
% utilised to date	*n/a*	*59%*	*72%*			

Figure F.6 Utilisation of committed supports as at 31 December 2016 and 31 March 2017 (WA)



Providers and markets

Table F.25 Key provider indicators by quarter

	Prior	2016-17 Q3	Total
Provider indicators			
a) Registrations by profile			
Individual/ sole trader	*107*	*29*	*136*
Company/ organisation	*402*	*97*	*496*
Total	*509*	*126*	*632*
b) Registration revoked		*3*	

Table F.26 Number of approved providers by registration group- WA [42,43]

Registration Group	Prior	2016-17 Q3	Total	% change
Therapeutic Supports	154	20	174	13%
Household Tasks	80	13	93	16%
Assist-Travel/Transport	92	12	104	13%
Early Childhood Supports	63	10	73	16%
Participate Community	85	1	86	1%
Development-Life Skills	75	3	78	4%
Assist Prod-Pers Care/Safety	115	39	154	34%
Assist Personal Activities	71	1	72	1%
Assist-Life Stage, Transition	58	1	59	2%
Behaviour Support	63	8	71	13%
Personal Activities High	62	1	63	2%
Personal Mobility Equipment	103	36	139	35%
Group/Centre Activities	49	3	52	6%
Home Modification	71	15	86	21%
Accommodation/Tenancy	54	9	63	17%
Support Coordination	42	2	44	5%
Ex Phys Pers Training	47	10	57	21%
Daily Tasks/Shared Living	53	3	56	6%
Innov Community Participation	34	17	51	50%
Plan Management	34	0	34	0%
Assistive Prod-Household Task	63	19	82	30%
Assistive Equip-Recreation	76	24	100	32%
Comms & Info Equipment	37	30	67	81%
Custom Prosthetics	57	8	65	14%
Community Nursing Care	37	7	44	19%
Assist Access/Maintain Employ	43	3	46	7%
Specialised Driver Training	42	9	51	21%
Hearing Equipment	20	12	32	60%
Spec Support Employ	22	0	22	0%
Vision Equipment	30	7	37	23%
Interpret/Translate	17	8	25	47%
Specialised Hearing Services	14	3	17	21%
Vehicle modifications	18	9	27	50%
Hearing Services	6	2	8	33%
Specialised Disability Accommodation	0	0	0	
Assistance Animals	0	0	0	
Total approved providers	**506**	**126**	**632**	**25%**

42 Table order based on national % change (highest to lowest)
43 The 3 providers whose registration ended during the third quarter of 2016-17 are not included in the 2016-17 Q2 and prior numbers in this table.

Table F.27 Key markets indicators by quarter- WA

	2016-17 Q2	2016-17 Q3
Market indicators		
a) Average number of providers per participant	*1.43*	*1.40*
b) Average new providers per participant	*0.47*	*0.32*
c) Number of providers delivering new supports	*113*	*135*
d) Change in the number of active/ inactive providers:		
Active (%)	*39%*	*43%*
Not yet active (%)	*55%*	*51%*
Inactive (%)	*6%*	*6%*
e) Share of payments – top 25%[44]		
Daily Tasks/ Shared Living (%)	*86%*	*93%*
Therapeutic Supports (%)	*88%*	*88%*
Participate Community (%)	*75%*	*79%*
Early Childhood Supports (%)	*91%*	*89%*
Assist Personal Activities (%)	*73%*	*74%*

[44] Note: Share of payment going to the top 25 % of providers – for the top five registration groups by payment amount.

Table F.28 Proportion of active participants with approved plans accessing mainstream supports – WA

	Prior Quarters	2016-17 Q3	Total
Participant profile	**Proportion of approved plans %**	**Proportion of approved plans %**	**Proportion of approved plans %**
Assistive technology	0%	0%	0%
Choice & Control	5%	3%	4%
Consumables	0%	0%	0%
Daily Activities	7%	6%	7%
Daily Equipment	0%	0%	0%
Employment	5%	3%	4%
Health & Wellbeing	34%	31%	32%
Home Living	1%	2%	1%
Housing & Home modifications	0%	0%	0%
Independence	4%	3%	4%
Lifelong Learning	18%	14%	16%
Relationships	4%	1%	2%
Social & Civic	5%	2%	3%
Transport	0%	0%	0%
Non-categorised	31%	48%	40%
Any main stream service	90%	92%	91%

Appendix G

South Australia

The tables below replicate the tables in the main parts of this report for SA only where the data is available.

Participant Demographics

Table G.1 Plan approvals compared to estimates - SA

	Prior Quarters	2016-17 Q3	Total excluding ECEI	Total including ECEI	Bilateral estimates
Plan Approvals	10,198	854	11,052	11,081	11,467

Table G.2 Quarterly intake split by plan and entry type - SA

	Prior Quarters	2016-17 Q3	Total
Access decisions	**11,936**	**1,832**	**13,768**
Access Met	**11,383**	**1,567**	**12,950**
State	*4,635*	713	5,348
Commonwealth	*397*	8	405
New	*6,351*	846	7,197
Total Participant Plans	**10,309**	**883**	**11,081**
Early Intervention plans	*6,909*	*365*	*7,274*
Permanent disability plans	*3,289*	*489*	*3,778*
ECEI	*111*	*29*	*29*

Table G.3 Plan reviews conducted per quarter - SA

	2016-17 Q1	2016-17 Q2	2016-17 Q3	Transition Total
Total plan reviews	**542**	**1,366**	**2,175**	**4,083**
Early Intervention plans	*378*	*954*	*1,442*	*2,774*
Permanent disability plans	*164*	*412*	*733*	*1,309*

Table G.4 Exits from the scheme as at 31 March 2017 - SA

Exits	
Total Plan Exits	**40**
Early Intervention plans	*27*
Permanent disability plans	*13*

Table G.5 Cumulative position by entry point - SA

	Participant cohort					Bilateral estimate	% of estimate	Awaiting a plan
	State	Commonwealth	New	ECEI	Total			
End of 2015-16	3,231	371	3,516	-	7,118	8,500	84%	-
End of 2016-17 Q1	3,594	374	3,912	-	7,880	9,272	85%	-
End of 2016-17 Q2	4,105	389	5,704	111	10,309	10,048	103%	-
End of 2016-17 Q3	4,500	396	6,156	29	11,081	11,467	97%	1,866

Table G.6 Cumulative position by plan type - SA

	Participant cohort				Bilateral estimate	% of estimate	Awaiting a plan
	EI plan	PD plan	ECEI	Total			
End of 2015-16	5,115	2,003	-	7,118	8,500	84%	-
End of 2016-17 Q1	5,471	2,409	-	7,880	9,272	85%	-
End of 2016-17 Q2	6,909	3,289	111	10,309	10,048	103%	-
End of 2016-17 Q3	7,274	3,778	29	11,081	11,467	97%	1,866

Table G.7 Active participants with approved plans per quarter by disability group - SA[45]

	Prior Quarters		2016-17 Q3		Total	
Disability group	**N**	**%**	**N**	**%**	**N**	**%**
Intellectual Disability	3,293	32%	333	39%	3,626	33%
Autism	4,795	47%	333	39%	5,128	47%
Other Physical	159	2%	22	3%	181	2%
Psychosocial disability	25	0%	5	1%	30	0%
Cerebral Palsy	339	3%	41	5%	380	3%
Other Neurological	192	2%	18	2%	210	2%
ABI	49	0%	10	1%	59	1%
Other Sensory/Speech	880	9%	63	7%	943	9%
Hearing Impairment	274	3%	22	3%	296	3%
Visual Impairment	105	1%	5	1%	110	1%
Multiple Sclerosis	0	0%	0	0%	0	0%
Spinal Cord Injury	10	0%	1	0%	11	0%
Stroke	7	0%	0	0%	7	0%
Other	31	0%	0	0%	31	0%
Overall	**10,159**	**100%**	**853**	**100%**	**11,012**	**100%**

45 Table order based on national proportions (highest to lowest)

Table G.8 Active participants with approved plan per quarter by level of function - SA

	Prior Quarters		2016-17 Q3		Total	
Level of function	**N**	**%**	**N**	**%**	**N**	**%**
1 (High Function)	42	0.4%	10	1.2%	52	0.5%
2 (High Function)	0	0.0%	0	0.0%	0	0.0%
3 (High Function)	146	1%	29	3%	175	2%
4 (High Function)	1,018	10%	40	5%	1,058	10%
5 (High Function)	3,481	34%	271	32%	3,752	34%
6 (Moderate Function)	1,142	11%	150	18%	1,292	12%
7 (Moderate Function)	826	8%	101	12%	927	8%
8 (Moderate Function)	41	0%	32	4%	73	1%
9 (Moderate Function)	2	0%	1	0%	3	0%
10 (Moderate Function)	5	0%	35	4%	40	0%
11 (Low Function)	468	5%	60	7%	528	5%
12 (Low Function)	98	1%	44	5%	142	1%
13 (Low Function)	376	4%	65	8%	441	4%
14 (Low Function)	62	1%	15	2%	77	1%
15 (Low Function)	0	0.00%	0	0.00%	0	0.00%
Missing	2,452	24.14%	0	0.00%	2,452	22.27%
Overall	**10,159**	**100%**	**853**	**100%**	**11,012**	**100%**

Table G.9 Participant profile per quarter by Age group - SA

	Prior Quarters		2016-17 Q3		Total	
Age group	**N**	**%**	**N**	**%**	**N**	**%**
0 to 6	3,217	32%	239	28%	3,456	31%
7 to 14	6,340	62%	225	26%	6,565	60%
15 to 18	599	6%	387	45%	986	9%
19 to 24	0	0%	0	0%	0	0%
25 to 34	1	0%	0	0%	1	0%
35 to 44	1	0%	1	0%	2	0%
45 to 54	0	0%	1	0%	1	0%
55 to 64	1	0%	0	0%	1	0%
65+	0	0%	0	0%	0	0%
Overall	**10,159**	**100%**	**853**	**100%**	**11,012**	**100%**

Table G.10 Participant profile per quarter by Gender - SA

	Prior Quarters		2016-17 Q3		Total	
Gender	**N**	**%**	**N**	**%**	**N**	**%**
Male	7235	71%	581	68%	7816	71.0%
Female	2,889	28%	264	31%	3153	28.6%
Indeterminate	35	0%	8	1%	43	0.4%
Overall	**10,159**	**100%**	**853**	**100%**	**11,012**	**100%**

Table G.11 Participant profile per quarter by Aboriginal and Torres Strait islander status - SA

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
Aboriginal and Torres Strait Islander	502	4.9%	34	4.0%	536	4.9%
Not Aboriginal and Torres Strait Islander	8,927	87.9%	777	91.1%	9,704	88.1%
Not Stated	730	7.2%	42	4.9%	772	7.0%
Total	**10,159**	**100%**	**853**	**100%**	**11,012**	**100%**

Table G.12 Participant profile per quarter by Young people in Residential aged care (YPIRAC) status - SA

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
YPIRAC	0	0.0%	0	0.0%	0	0.0%
Not YPIRAC	10,159	100.0%	853	100.0%	11,012	100.0%
Overall	**10,159**	**100%**	**853**	**100%**	**11,012**	**100%**

Table G.13 Distribution of active participants by method of Financial Plan Management and quarter of plan approval - SA

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Self-Managed Fully	13.6%	10.5%	13%
Self-Managed Partly	13.3%	16.1%	14%
Plan Managed	6.6%	13.1%	8%
Agency Managed	66.5%	60.4%	65%
Total	**100%**	**100%**	**100%**

Table G.14 Distribution of active participants by support coordination and quarter of plan approval - SA

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Support coordination	61.7%	70.4%	64.2%

Table G.15 Duration to plan activation by quarter of first plan approval for active participants - SA

	2016-17 Q1		2016-17 Q2	
Plan activation	N	%	N	%
Less than 30 days	299	39%	732	32%
30 to 59 days	105	14%	309	13%
60 to 89 days	44	6%	230	10%
Activated within 90 days	448	59%	1,271	55%
90 to 119 days	22	3%	114	5%
120 days and over	60	8%	29	1%
Activated within 180 days	82	11%		
No payments	232	30%	901	39%
Total plans approved	**762**	**100%**	**2,315**	**100%**

Table G.16 Number of questionnaires completed by SFOF version - SA

Version	Number of questionnaires collected Q1	Number of questionnaires collected Q2	Number of questionnaires collected Q3	Number of questionnaires
Participant 0 to school	184	932	238	1,354
Participant school to 14	504	1,255	261	2,020
Participant 15 to 24	46	40	338	424
Participant 25 and over	1	1	2	4
Total Participant	**735**	**2,228**	**839**	**3,802**
Family 0 to 14	683	2,114	454	3,251
Family 15 to 24	44	101	236	381
Family 25 and over	0	0	0	0
Total Family	**727**	**2,215**	**690**	**3,632**
Total	**1,462**	**4,443**	**1,529**	**7,434**

Table G.17 Selected key indicators for participants – Daily Living (DL) and Choice and Control (CC) - SA

	Indicator	0 to before school	School to 14	15 to 24	25 and over
DL	% with concerns in 6 or more of the areas: gross motor skills, fine motor skills, self-care, eating, social interaction, communication, cognitive development, sensory processing	51%			
CC	% who say their child is able to tell them what he/she wants	84%			
DL	% developing functional, learning and coping skills appropriate to their ability and circumstances		36%		
DL	% who say their child is becoming more independent		56%		
CC	% of children who have a genuine say in decisions about themselves		79%		
CC	% who are happy with the level of independence/control they have now			45%	
CC	% who choose what they do each day			36%	Numbers are too small
CC	% who had been given the opportunity to participate in a self-advocacy group meeting			17%	Numbers are too small
CC	% who want more choice and control in their life			65%	Numbers are too small

Table G.18 Selected key indicators for participants – Relationships (REL) and Social/ Community Participation (S/CP) - SA

	Indicator	0 to before school	School to 14	15 to 24	25 and over
REL	% of children who can make friends with people outside the family	66%	69%		
S/CP	% of children who participate in age appropriate community, cultural or religious activities	63%			
REL	% of children who spend time after school and on weekends with friends and/or in mainstream programs		47%		
REL	Of these, % who are welcomed or actively included	65%	78%		
REL	% of children who spend time with friends without an adult present		20%		
REL	% with no friends other than family or paid staff			30%	Numbers are too small
S/CP	% who have been actively involved in a community, cultural or religious group in the last 12 months			35%	Numbers are too small

Table G.19 Selected key indicators for participants – Lifelong Learning (LL), Work (WK), Home (HM) and Health and Wellbeing (HW) - SA

	Indicator	0 to before school	School to 14	15 to 24	25 and over
LL	% of children attending school in a mainstream class		71%		
HM	% who are happy with their home			90%	Numbers are too small
HM	% who feel safe or very safe in their home			90%	Numbers are too small
HW	% who rate their health as good, very good or excellent			70%	Numbers are too small
HW	% who did not have any difficulties accessing health services			76%	Numbers are too small
LL	% who currently attend or previously attended school in a mainstream class			38%	
LL	% who participate in education, training or skill development				Numbers are too small
LL	Of those who participate, % who do so in mainstream settings				Numbers are too small
LL	% unable to do a course or training they wanted to do in the last 12 months				Numbers are too small
WK	% who have a paid job			6%	Numbers are too small
WK	% who volunteer			11%	Numbers are too small

Table G.20 Selected key indicators for families/ carers of participants - SA

Indicator	0 to 14	15 to 24	25 and over
% receiving Carer Payment	19%	31%	Numbers are too small
% receiving Carer Allowance	49%	58%	Numbers are too small
% working in a paid job	48%	48%	Numbers are too small
Of those in a paid job, % in permanent employment	73%	74%	Numbers are too small
Of those in a paid job, % working 15 hours or more	78%	83%	Numbers are too small
% who say they (and their partner) are able to work as much as they want	49%	48%	Numbers are too small
Of those unable to work as much as they want, % who say the situation of their child/family member with disability is a barrier to working more	80%	84%	Numbers are too small
Of those unable to work as much as they want, % who say insufficient flexibility of jobs is a barrier to working more	37%	29%	Numbers are too small
% able to advocate for their child/family member	78%	79%	Numbers are too small
% who have friends and family they see as often as they like	55%	49%	Numbers are too small
% who feel very confident or somewhat confident in supporting their child's development	88%		
% who know what their family can do to enable their family member with disability to become as independent as possible		43%	
% who feel in control selecting services		47%	Numbers are too small
% who have made plans (or begun to make plans) for when they are no longer able to care for their family member with disability			Numbers are too small
% who rate their health as good, very good or excellent	73%	62%	Numbers are too small

Figure G.1 Proportion of participants describing satisfaction with the agency as good or very good – by quarter (SA)

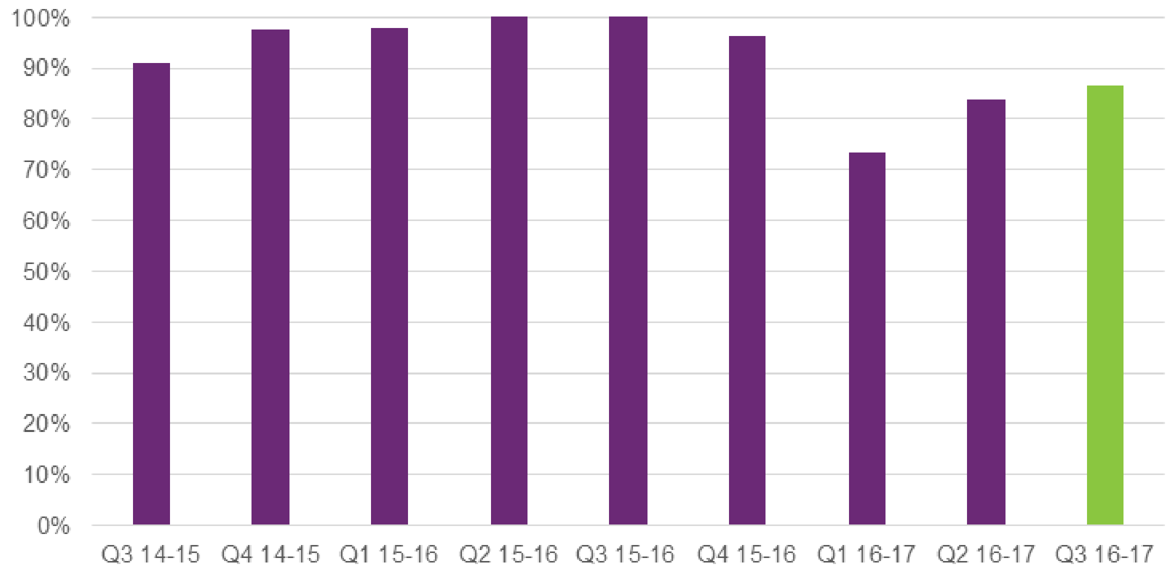


Table G.21 AAT cases by category - SA

AAT cases			
Access	Plan	Plan review	Total
5	14	0	19

Table G.22 Proportion of Participants rating their level of agreement as strongly agree, agree, neutral, disagree or strongly disagree in response to statements about the planning process - SA

	Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree
The planner listened to me	57%	37%	3%	3%	0%
I had enough time to tell my story and say what support I need	60%	33%	2%	3%	2%
The planner knows what I can do well	32%	48%	13%	3%	3%
The planner had some good ideas for my plan	45%	45%	5%	3%	2%
I know what is in my plan	32%	49%	8%	7%	3%
The planner helped me think about my future	32%	52%	7%	8%	2%
I think my plan will make my life better	37%	45%	13%	3%	2%
The planning meeting went well	50%	43%	2%	5%	0%

Committed supports and payments

Table G.23 Committed supports by financial year ($m) (SA)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*12.8*	*52.0*	*103.3*	*178.6*	*62.3*	*409.0*

Figure G.2 Average committed support by annualised committed support band (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (SA)

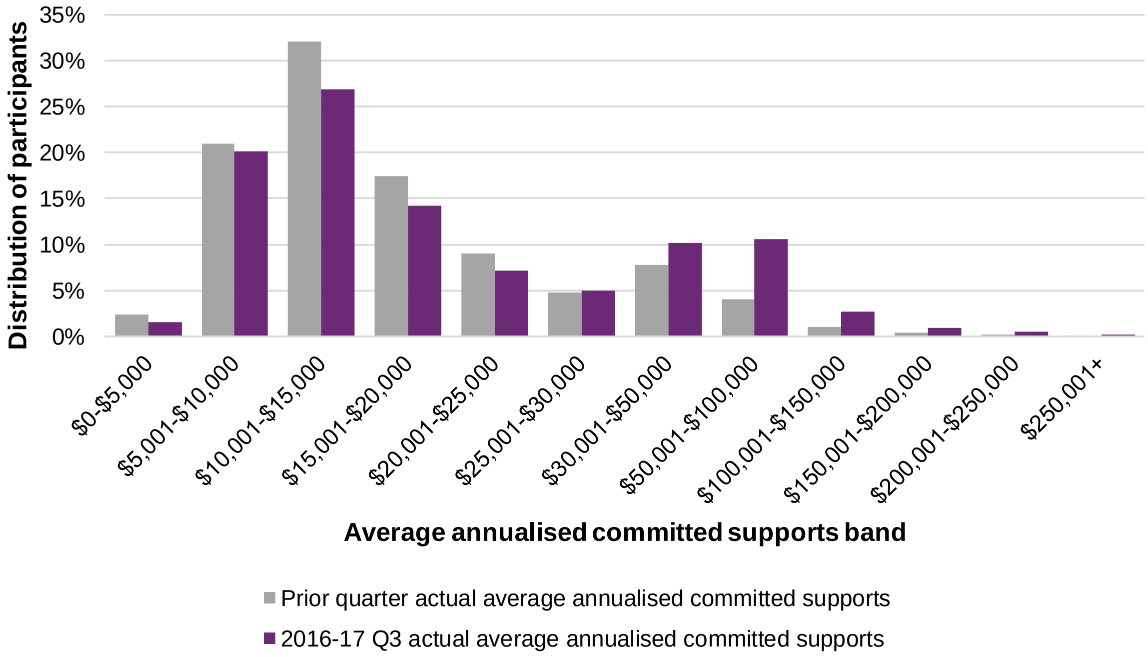


Figure G.3 Average committed support by age group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (SA)

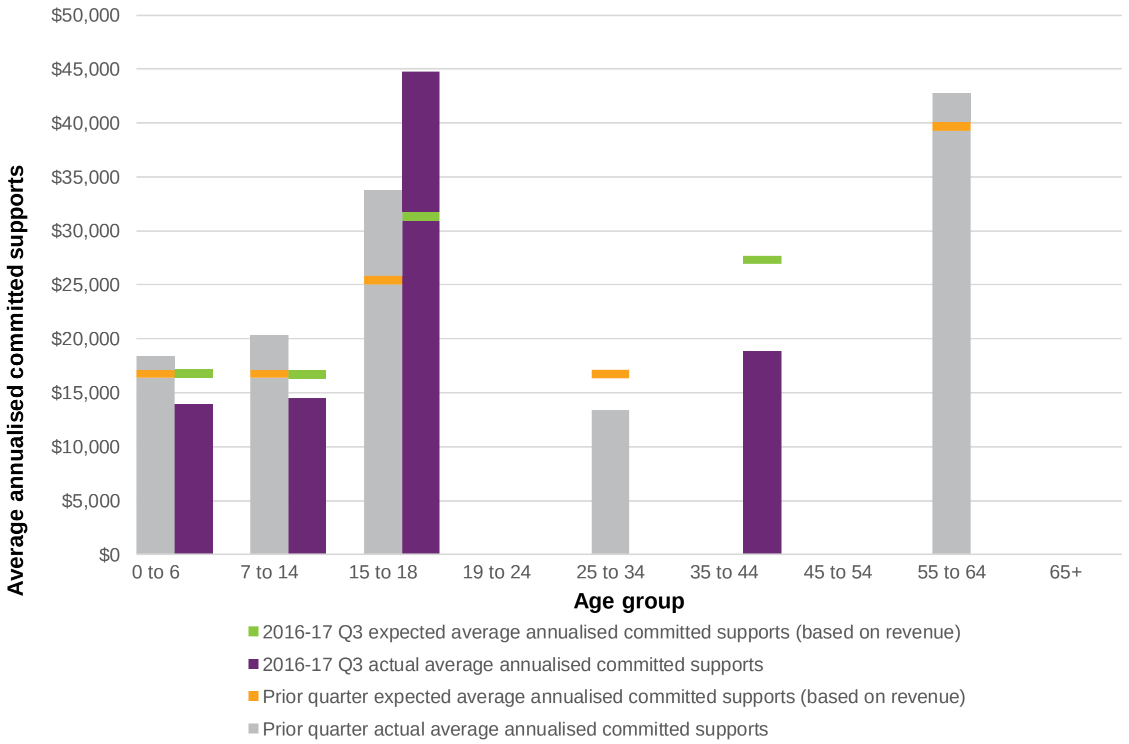


Figure G.4 Average committed support by primary disability group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (SA)

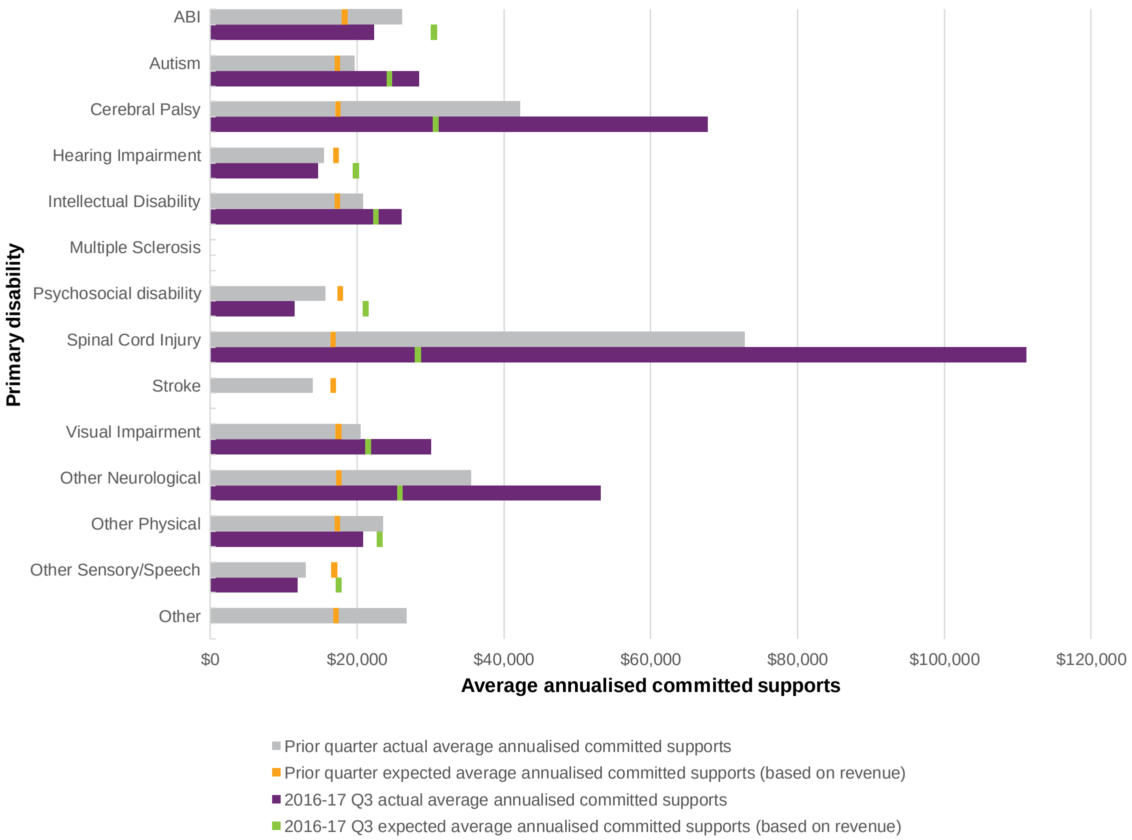
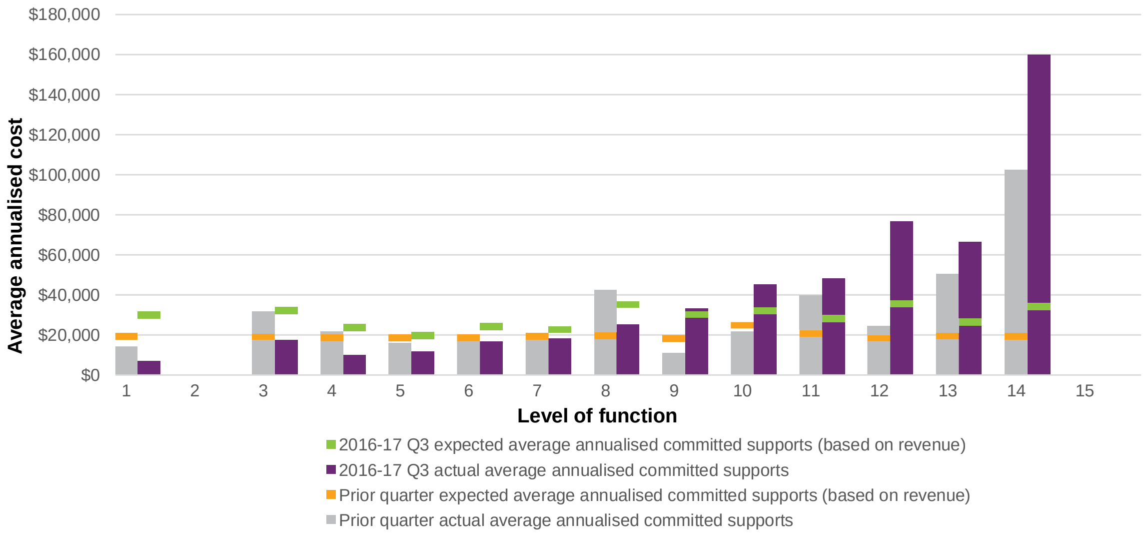


Figure G.5 Average committed support by level of function (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters[46] (SA)

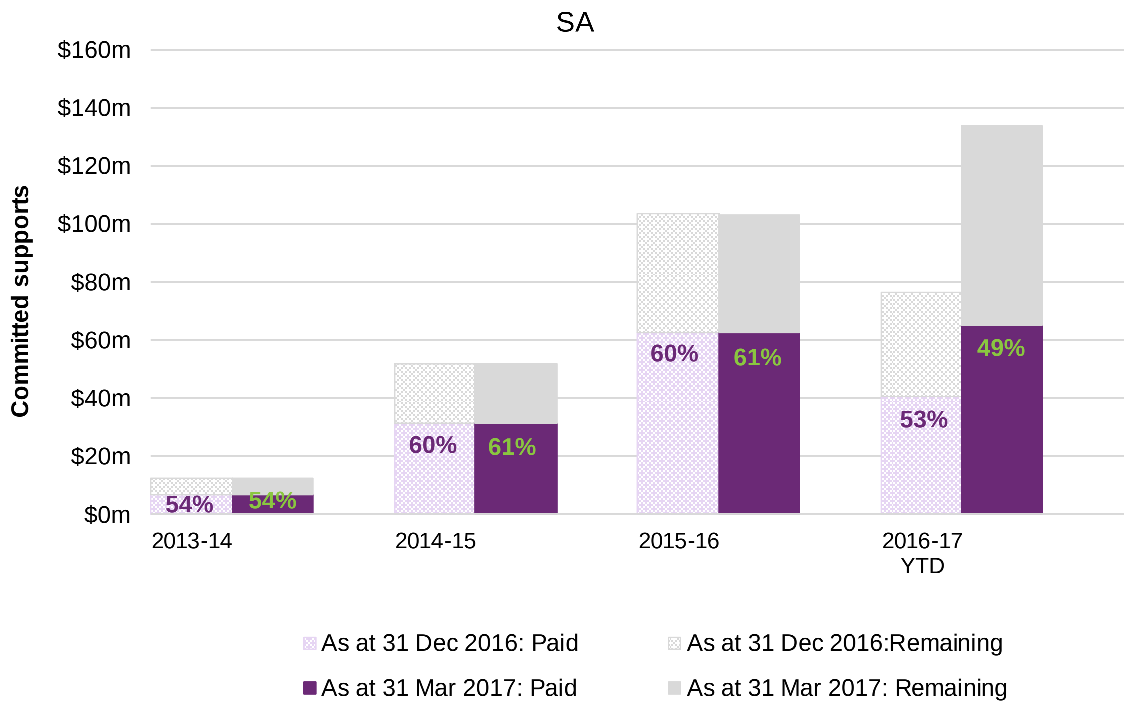


[46] Level of function 15 does not have sufficient data to show an average cost.

Table G.24 Payments by financial year, compared to committed supports ($m) (SA)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*12.8*	*52.0*	*103.3*	*178.6*	*62.3*	*409.0*
Total paid	*6.9*	*31.5*	*62.8*	*65.5*		*166.8*
% utilised to date	*54%*	*61%*	*61%*			

Figure G.6 Utilisation of committed supports as at 31 December 2016 and 31 March 2017 (SA)



Providers and markets

Table G.25 Key provider indicators by quarter - SA

	Prior	2016-17 Q3	Total
Provider indicators			
a) Registrations by profile			
Individual/ sole trader	*283*	*52*	*335*
Company/ organisation	*493*	*120*	*612*
Total	*776*	*172*	*947*
b) Registration revoked		*1*	

Table G.26 Number of approved providers by registration group - SA [47][48]

Registration Group	Prior	2016-17 Q3	Total	% change
Therapeutic Supports	342	52	394	15%
Household Tasks	96	17	113	18%
Assist-Travel/Transport	131	19	150	15%
Early Childhood Supports	295	32	327	11%
Participate Community	92	15	107	16%
Development-Life Skills	90	13	103	14%
Assist Prod-Pers Care/Safety	144	37	181	26%
Assist Personal Activities	75	3	78	4%
Assist-Life Stage, Transition	74	9	83	12%
Behaviour Support	116	23	139	20%
Personal Activities High	81	7	88	9%
Personal Mobility Equipment	134	35	169	26%
Group/Centre Activities	64	16	80	25%
Home Modification	74	17	91	23%
Accommodation/Tenancy	61	12	73	20%
Support Coordination	43	20	63	47%
Ex Phys Pers Training	61	14	75	23%
Daily Tasks/Shared Living	61	6	67	10%
Innov Community Participation	54	29	83	54%
Plan Management	28	6	34	21%
Assistive Prod-Household Task	68	20	88	29%
Assistive Equip-Recreation	85	25	110	29%
Comms & Info Equipment	61	25	86	41%
Custom Prosthetics	78	11	89	14%
Community Nursing Care	41	10	51	24%
Assist Access/Maintain Employ	49	9	58	18%
Specialised Driver Training	38	7	45	18%
Hearing Equipment	51	6	57	12%
Spec Support Employ	6	6	12	100%
Vision Equipment	31	5	36	16%
Interpret/Translate	23	12	35	52%
Specialised Hearing Services	21	2	23	10%
Vehicle modifications	21	7	28	33%
Hearing Services	6	3	9	50%
Specialised Disability Accommodation	0	0	0	
Assistance Animals	6	0	6	0%
Total approved providers	**775**	**172**	**947**	**22%**

[47] Table order based on national % change (highest to lowest)
[48] The 1 provider whose registration ended during the third quarter of 2016-17 is not included in the 2016-17 Q2 and prior numbers in this table.

Table G.27 Key markets indicators by quarter - SA

	2016-17 Q2	2016-17 Q3
Market indicators		
a) Average number of providers per participant	*1.39*	*1.20*
b) Average new providers per participant	*0.35*	*0.30*
c) Number of providers delivering new supports	*290*	*321*
d) Change in the number of active/ inactive providers:		
Active (%)	*49%*	*50%*
Not yet active (%)	*45%*	*44%*
Inactive (%)	*7%*	*7%*
e) Share of payments – top 25%[49]		
Daily Tasks/ Shared Living (%)	*69%*	*75%*
Therapeutic Supports (%)	*89%*	*90%*
Participate Community (%)	*67%*	*70%*
Early Childhood Supports (%)	*87%*	*87%*
Assist Personal Activities (%)	*85%*	*79%*

[49] Note: Share of payment going to the top 25 % of providers – for the top five registration groups by payment amount.

Table G.28 Proportion of active participants with approved plans accessing mainstream supports – SA

	Prior Quarters	2016-17 Q3	Total
Participant profile	**Proportion of approved plans %**	**Proportion of approved plans %**	**Proportion of approved plans %**
Assistive technology	0%	0%	0%
Choice & Control	0%	0%	0%
Consumables	0%	0%	0%
Daily Activities	10%	7%	9%
Daily Equipment	0%	0%	0%
Employment	0%	1%	0%
Health & Wellbeing	16%	19%	17%
Home Living	0%	0%	0%
Housing & Home modifications	0%	0%	0%
Independence	2%	2%	2%
Lifelong Learning	48%	41%	46%
Relationships	4%	3%	4%
Social & Civic	3%	2%	3%
Transport	0%	0%	0%
Non-categorised	30%	38%	32%
Any main stream service	89%	88%	89%

Appendix H

Tasmania

The tables below replicate the tables in the main parts of this report for TAS only where the data is available.

Participant Demographics

Table H.1 Plan approvals compared to estimates – TAS

	Prior Quarters	2016-17 Q3	Total excluding ECEI	Total including ECEI	Bilateral estimates
Plan Approvals	1,645	232	1,877	1,877	1,938

Table H.2 Quarterly intake split by plan and entry type - TAS

	Prior Quarters	2016-17 Q3	Total
Access decisions	**1,907**	**355**	**2,262**
Access Met	**1,832**	**318**	**2,150**
State	*846*	169	1,015
Commonwealth	*43*	15	58
New	*943*	134	1,077
Total Participant Plans	**1,645**	**232**	**1,877**
Early Intervention plans	*107*	*32*	*139*
Permanent disability plans	*1,538*	*200*	*1,738*
ECEI	*0*	*0*	*0*

Table H.3 Plan reviews conducted per quarter - TAS

	2016-17 Q1	2016-17 Q2	2016-17 Q3	Transition Total
Total plan reviews	**227**	**369**	**436**	**1,032**
Early Intervention plans	*1*	*10*	*20*	*31*
Permanent disability plans	*226*	*359*	*416*	*1,001*

Table H.4 Exits from the scheme as at 31 March 2017 - TAS

Exits	
Total Plan Exits	**23**
Early Intervention plans	*1*
Permanent disability plans	*22*

Table H.5 Cumulative position by entry point - TAS

	Participant cohort					Bilateral estimate	% of estimate	Awaiting a plan
	State	Commonwealth	New	ECEI	Total			
End of 2015-16	560	38	564	0	1,162	1,125	103%	-
End of 2016-17 Q1	596	39	675	0	1,310	1,380	95%	-
End of 2016-17 Q2	746	39	860	0	1,645	1,635	101%	-
End of 2016-17 Q3	871	45	961	0	1,877	1,938	97%	260

Table H.6 Cumulative position by plan type - TAS

	Participant cohort				Bilateral estimate	% of estimate	Awaiting a plan
	EI plan	PD plan	ECEI	Total			
End of 2015-16	25	1,137	0	1,162	1,125	103%	-
End of 2016-17 Q1	59	1,251	0	1,310	1,380	95%	-
End of 2016-17 Q2	107	1,538	0	1,645	1,635	101%	-
End of 2016-17 Q3	139	1,738	0	1,877	1,938	97%	260

Table H.7 Active participants with approved plans per quarter by disability group - TAS[50]

	Prior Quarters		2016-17 Q3		Total	
Disability group	**N**	**%**	**N**	**%**	**N**	**%**
Intellectual Disability	802	49%	111	48%	913	49%
Autism	550	34%	65	28%	615	33%
Other Physical	30	2%	5	2%	35	2%
Psychosocial disability	44	3%	5	2%	49	3%
Cerebral Palsy	85	5%	23	10%	108	6%
Other Neurological	48	3%	7	3%	55	3%
ABI	12	1%	6	3%	18	1%
Other Sensory/Speech	5	0%	0	0%	5	0%
Hearing Impairment	15	1%	4	2%	19	1%
Visual Impairment	20	1%	2	1%	22	1%
Multiple Sclerosis	1	0%	1	0%	2	0%
Spinal Cord Injury	5	0%	1	0%	6	0%
Stroke	0	0%	0	0%	0	0%
Other	5	0%	2	1%	7	0%
Overall	**1,622**	**100%**	**232**	**100%**	**1,854**	**100%**

[50] Table order based on national proportions (highest to lowest)

Table H.8 Active participants with approved plan per quarter by level of function - TAS

	Prior Quarters		2016-17 Q3		Total	
Level of function	**N**	**%**	**N**	**%**	**N**	**%**
1 (High Function)	6	0.4%	1	0.4%	7	0.4%
2 (High Function)	3	0.2%	0	0.0%	3	0.2%
3 (High Function)	199	12%	12	5%	211	11%
4 (High Function)	113	7%	6	3%	119	6%
5 (High Function)	200	12%	38	16%	238	13%
6 (Moderate Function)	279	17%	38	16%	317	17%
7 (Moderate Function)	116	7%	35	15%	151	8%
8 (Moderate Function)	107	7%	20	9%	127	7%
9 (Moderate Function)	0	0%	0	0%	0	0%
10 (Moderate Function)	97	6%	13	6%	110	6%
11 (Low Function)	79	5%	24	10%	103	6%
12 (Low Function)	119	7%	23	10%	142	8%
13 (Low Function)	50	3%	14	6%	64	3%
14 (Low Function)	24	1%	8	3%	32	2%
15 (Low Function)	1	0.06%	0	0.00%	1	0.05%
Missing	229	14.12%	0	0.00%	229	12.35%
Overall	**1,622**	**100%**	**232**	**100%**	**1,854**	**100%**

Table H.9 Participant profile per quarter by Age group - TAS

	Prior Quarters		2016-17 Q3		Total	
Age group	**N**	**%**	**N**	**%**	**N**	**%**
0 to 6	0	0%	0	0%	0	0%
7 to 14	175	11%	60	26%	235	13%
15 to 18	443	27%	57	25%	500	27%
19 to 24	744	46%	23	10%	767	41%
25 to 34	251	15%	41	18%	292	16%
35 to 44	5	0%	21	9%	26	1%
45 to 54	1	0%	18	8%	19	1%
55 to 64	3	0%	11	5%	14	1%
65+	0	0%	1	0%	1	0%
Overall	**1,622**	**100%**	**232**	**100%**	**1,854**	**100%**

Table H.10 Participant profile per quarter by Gender - TAS

	Prior Quarters		2016-17 Q3		Total	
Gender	**N**	**%**	**N**	**%**	**N**	**%**
Male	1050	65%	144	62%	1194	64.4%
Female	570	35%	87	38%	657	35.4%
Indeterminate	2	0%	1	0%	3	0.2%
Overall	**1,622**	**100%**	**232**	**100%**	**1,854**	**100%**

Table H.11 Participant profile per quarter by Aboriginal and Torres Strait islander status - TAS

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
Aboriginal and Torres Strait Islander	146	9.0%	13	5.6%	159	8.6%
Not Aboriginal and Torres Strait Islander	1,435	88.5%	215	92.7%	1,650	89.0%
Not Stated	41	2.5%	4	1.7%	45	2.4%
Total	**1,622**	**100%**	**232**	**100%**	**1,854**	**100%**

Table H.12 Participant profile per quarter by Young people in Residential aged care (YPIRAC) status - TAS

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
YPIRAC	0	0.0%	0	0.0%	0	0.0%
Not YPIRAC	1,622	100.0%	232	100.0%	1,854	100.0%
Overall	**1,622**	**100%**	**232**	**100%**	**1,854**	**100%**

Table H.13 Distribution of active participants by method of Financial Plan Management and quarter of plan approval - TAS

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Self-Managed Fully	2.0%	5.0%	3%
Self-Managed Partly	3.2%	7.6%	5%
Plan Managed	1.5%	2.7%	2%
Agency Managed	93.4%	84.7%	90%
Total	**100%**	**100%**	**100%**

Table H.14 Distribution of active participants by support coordination and quarter of plan approval - TAS

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Support coordination	51.7%	52.5%	52.0%

Table H.15 Duration to plan activation by quarter of first plan approval for active participants - TAS

	2016-17 Q1		2016-17 Q2	
Plan activation	**N**	**%**	**N**	**%**
Less than 30 days	38	26%	76	23%
30 to 59 days	20	14%	42	13%
60 to 89 days	18	12%	23	7%
Activated within 90 days	76	51%	141	42%
90 to 119 days	7	5%	17	5%
120 days and over	10	7%	5	1%
Activated within 180 days	17	11%		
No payments	55	37%	172	51%
Total plans approved	**148**	**100%**	**335**	**100%**

Table H.16 Number of questionnaires completed by SFOF version- TAS

Version	Number of questionnaires collected Q1	Number of questionnaires collected Q2	Number of questionnaires collected Q3	Number of questionnaires
Participant 0 to school	0	0	0	0
Participant school to 14	21	206	86	313
Participant 15 to 24	110	111	52	273
Participant 25 and over	16	15	90	121
Total Participant	**147**	**332**	**228**	**707**
Family 0 to 14	20	191	75	286
Family 15 to 24	61	63	11	135
Family 25 and over	0	0	5	5
Total Family	**81**	**254**	**91**	**426**
Total	**228**	**586**	**319**	**1,133**

Table H.17 Selected key indicators for participants – Daily Living (DL) and Choice and Control (CC) - TAS

	Indicator	0 to before school	School to 14	15 to 24	25 and over
DL	% with concerns in 6 or more of the areas: gross motor skills, fine motor skills, self-care, eating, social interaction, communication, cognitive development, sensory processing	Numbers are too small			
CC	% who say their child is able to tell them what he/she wants	Numbers are too small			
DL	% developing functional, learning and coping skills appropriate to their ability and circumstances		38%		
DL	% who say their child is becoming more independent		52%		
CC	% of children who have a genuine say in decisions about themselves		79%		
CC	% who are happy with the level of independence/control they have now			47%	
CC	% who choose what they do each day			52%	48%
CC	% who had been given the opportunity to participate in a self-advocacy group meeting			18%	48%
CC	% who want more choice and control in their life			75%	72%

Table H.18 Selected key indicators for participants – Relationships (REL) and Social/ Community Participation (S/CP) - TAS

	Indicator	0 to before school	School to 14	15 to 24	25 and over
REL	% of children who can make friends with people outside the family	Numbers are too small	69%		
S/CP	% of children who participate in age appropriate community, cultural or religious activities	Numbers are too small			
REL	% of children who spend time after school and on weekends with friends and/or in mainstream programs		26%		
REL	Of these, % who are welcomed or actively included	Numbers are too small	84%		
REL	% of children who spend time with friends without an adult present		22%		
REL	% with no friends other than family or paid staff			30%	24%
S/CP	% who have been actively involved in a community, cultural or religious group in the last 12 months			21%	30%

Table H.19 Selected key indicators for participants – Lifelong Learning (LL), Work (WK), Home (HM) and Health and Wellbeing (HW) - TAS

	Indicator	0 to before school	School to 14	15 to 24	25 and over
LL	% of children attending school in a mainstream class		64%		
HM	% who are happy with their home			81%	73%
HM	% who feel safe or very safe in their home			87%	78%
HW	% who rate their health as good, very good or excellent			76%	53%
HW	% who did not have any difficulties accessing health services			77%	78%
LL	% who currently attend or previously attended school in a mainstream class			62%	
LL	% who participate in education, training or skill development				11%
LL	Of those who participate, % who do so in mainstream settings				Numbers are too small
LL	% unable to do a course or training they wanted to do in the last 12 months				23%
WK	% who have a paid job			9%	25%
WK	% who volunteer			12%	10%

Table H.20 Selected key indicators for families/ carers of participants- TAS

Indicator	0 to 14	15 to 24	25 and over
% receiving Carer Payment	40%	27%	Numbers are too small
% receiving Carer Allowance	66%	39%	Numbers are too small
% working in a paid job	44%	41%	Numbers are too small
Of those in a paid job, % in permanent employment	75%	70%	Numbers are too small
Of those in a paid job, % working 15 hours or more	70%	78%	Numbers are too small
% who say they (and their partner) are able to work as much as they want	48%	49%	Numbers are too small
Of those unable to work as much as they want, % who say the situation of their child/family member with disability is a barrier to working more	88%	81%	Numbers are too small
Of those unable to work as much as they want, % who say insufficient flexibility of jobs is a barrier to working more	27%	17%	Numbers are too small
% able to advocate for their child/family member	80%	76%	Numbers are too small
% who have friends and family they see as often as they like	43%	53%	Numbers are too small
% who feel very confident or somewhat confident in supporting their child's development	88%		
% who know what their family can do to enable their family member with disability to become as independent as possible		52%	
% who feel in control selecting services		43%	Numbers are too small
% who have made plans (or begun to make plans) for when they are no longer able to care for their family member with disability			Numbers are too small
% who rate their health as good, very good or excellent	68%	66%	Numbers are too small

Figure H.1 Proportion of participants describing satisfaction with the agency as good or very good – by quarter (TAS)

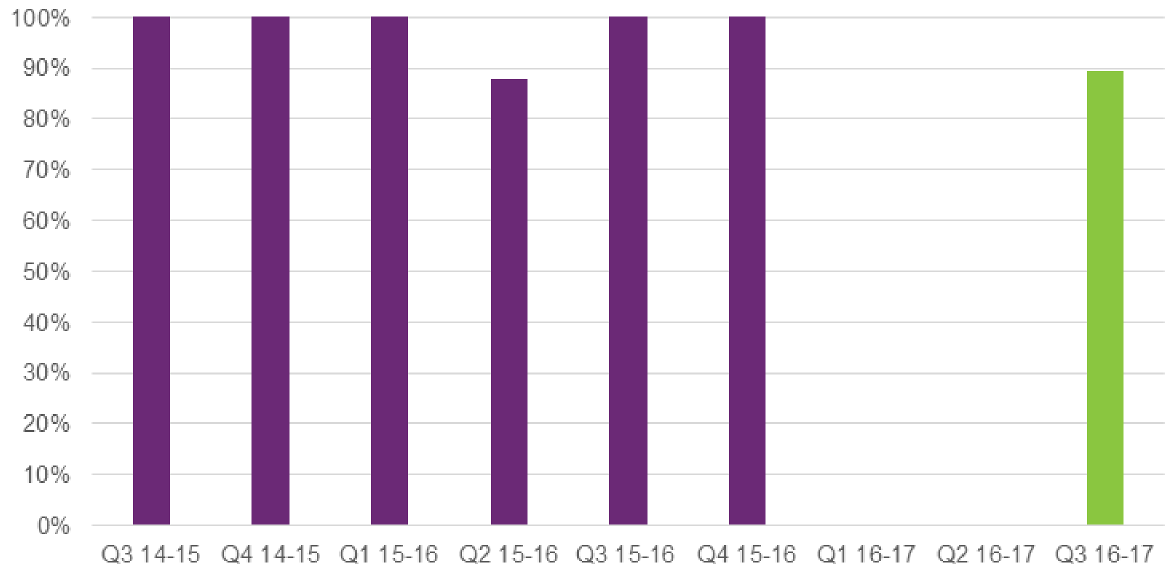


Table H.21 AAT cases by category - TAS

AAT cases			
Access	**Plan**	**Plan review**	**Total**
1	**2**	**0**	**3**

Table H.22 Proportion of Participants rating their level of agreement as strongly agree, agree, neutral, disagree or strongly disagree in response to statements about the planning process - TAS

	Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree
The planner listened to me	44%	52%	4%	0%	0%
I had enough time to tell my story and say what support I need	52%	48%	0%	0%	0%
The planner knows what I can do well	19%	59%	22%	0%	0%
The planner had some good ideas for my plan	33%	56%	11%	0%	0%
I know what is in my plan	26%	63%	11%	0%	0%
The planner helped me think about my future	19%	59%	19%	4%	0%
I think my plan will make my life better	37%	48%	7%	4%	4%
The planning meeting went well	30%	63%	7%	0%	0%

Committed supports and payments

Table H.23 Committed supports by financial year ($m) (TAS)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*18.2*	*51.9*	*65.6*	*94.6*	*49.3*	*279.7*

Figure H.2 Average committed support by annualised committed support band (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (TAS)

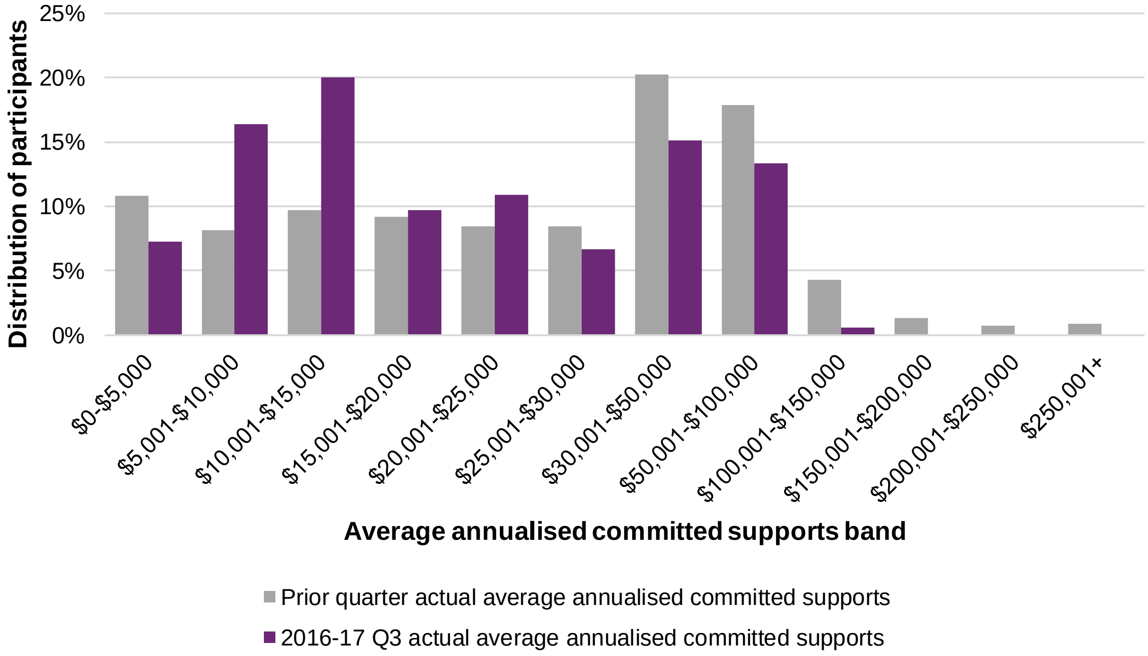


Figure H.3 Average committed support by age group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (TAS)

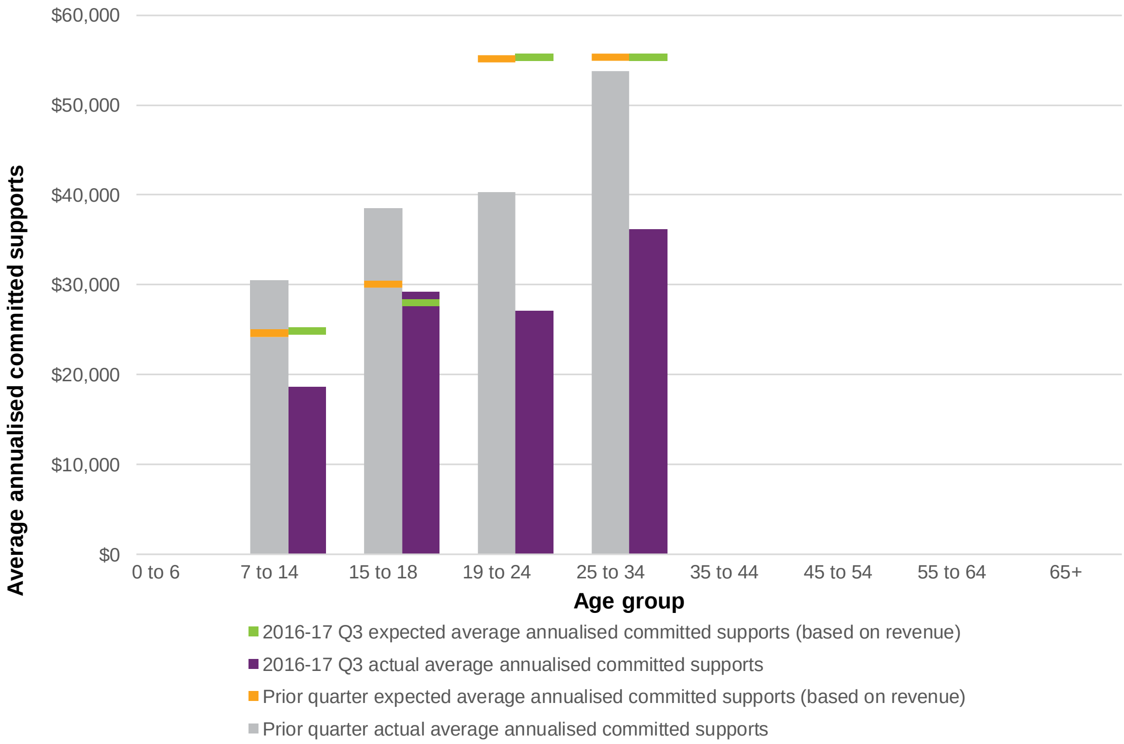


Figure H.4 Average committed support by primary disability group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (TAS)

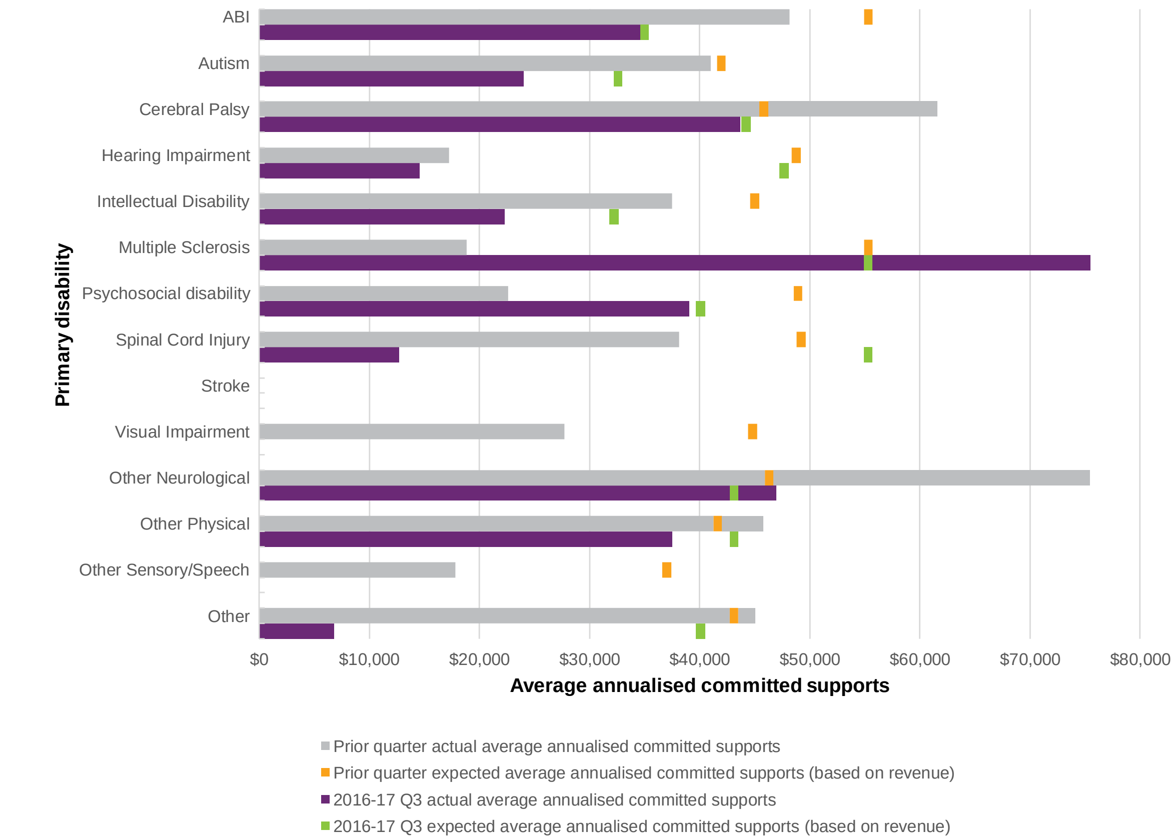
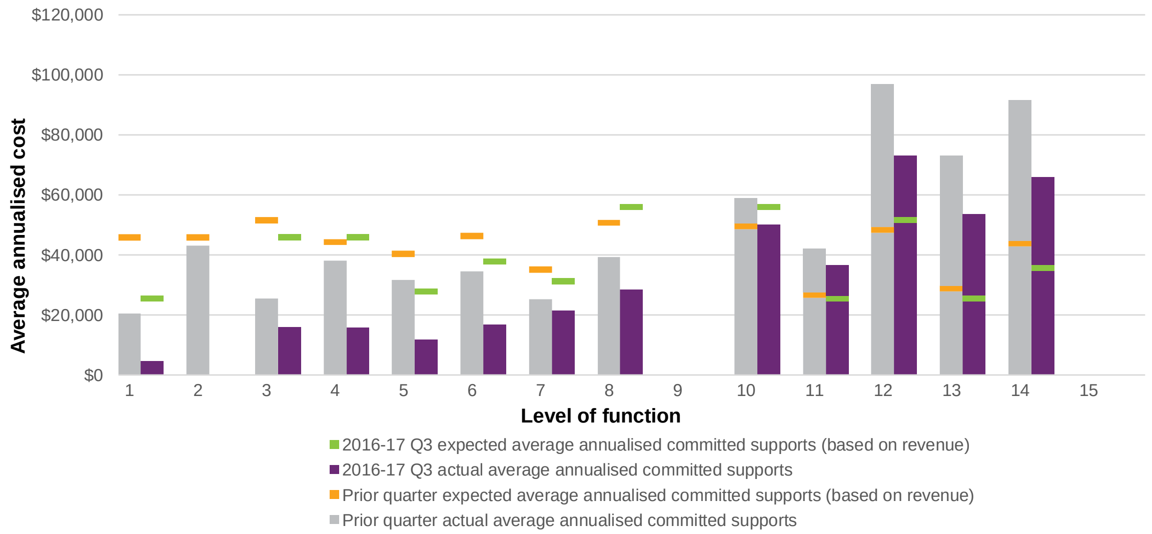


Figure H.5 Average committed support by level of function (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters[51] (TAS)

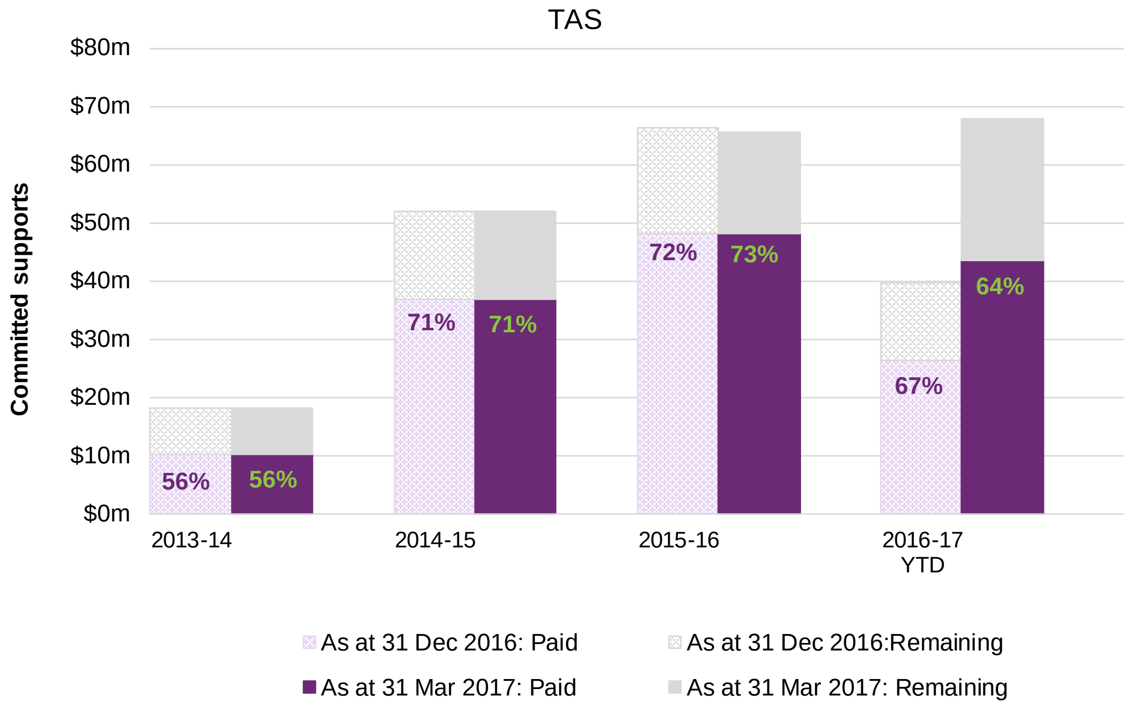


[51] Level of function 15 does not have sufficient data to show an average cost.

Table H.24 Payments by financial year, compared to committed supports ($m) (TAS)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*18.2*	*51.9*	*65.6*	*94.6*	*49.3*	*279.7*
Total paid	*10.1*	*36.7*	*48.1*	*43.6*		*138.6*
% utilised to date	*56%*	*71%*	*73%*			

Figure H.6 Utilisation of committed supports as at 31 December 2016 and 31 March 2017 (TAS)



Providers and markets

Table H.25 Key provider indicators by quarter- TAS

	Prior	2016-17 Q3	Total
Provider indicators			
a) Registrations by profile			
Individual/ sole trader	*131*	*23*	*153*
Company/ organisation	*380*	*87*	*463*
Total	*511*	*110*	*616*
b) Registration revoked		*5*	

Table H.26 Number of approved providers by registration group- TAS [52][53]

Registration Group	Prior	2016-17 Q3	Total	% change
Therapeutic Supports	169	11	180	7%
Household Tasks	67	15	82	22%
Assist-Travel/Transport	100	10	110	10%
Early Childhood Supports	47	4	51	9%
Participate Community	90	8	98	9%
Development-Life Skills	78	6	84	8%
Assist Prod-Pers Care/Safety	98	30	128	31%
Assist Personal Activities	80	7	87	9%
Assist-Life Stage, Transition	71	4	75	6%
Behaviour Support	52	4	56	8%
Personal Activities High	69	5	74	7%
Personal Mobility Equipment	97	31	128	32%
Group/Centre Activities	62	5	67	8%
Home Modification	60	8	68	13%
Accommodation/Tenancy	44	9	53	20%
Support Coordination	32	6	38	19%
Ex Phys Pers Training	46	6	52	13%
Daily Tasks/Shared Living	49	5	54	10%
Innov Community Participation	34	16	50	47%
Plan Management	22	4	26	18%
Assistive Prod-Household Task	50	18	68	36%
Assistive Equip-Recreation	65	21	86	32%
Comms & Info Equipment	36	25	61	69%
Custom Prosthetics	46	6	52	13%
Community Nursing Care	23	4	27	17%
Assist Access/Maintain Employ	44	1	45	2%
Specialised Driver Training	33	6	39	18%
Hearing Equipment	38	8	46	21%
Spec Support Employ	25	0	25	0%
Vision Equipment	26	6	32	23%
Interpret/Translate	18	4	22	22%
Specialised Hearing Services	10	2	12	20%
Vehicle modifications	15	10	25	67%
Hearing Services	1	3	4	300%
Specialised Disability Accommodation	4	0	4	0%
Assistance Animals	1	0	1	0%
Total approved providers	**506**	**110**	**616**	**22%**

[52] Table order based on national % change (highest to lowest)
[53] The 5 providers whose registration ended during the third quarter of 2016-17 are not included in the 2016-17 Q2 and prior numbers in this table.

Table H.27 Key markets indicators by quarter

	2016-17 Q2	2016-17 Q3
Market indicators		
a) Average number of providers per participant	*1.42*	*1.35*
b) Average new providers per participant	*0.32*	*0.36*
c) Number of providers delivering new supports	*88*	*108*
d) Change in the number of active/ inactive providers:		
Active (%)	*35%*	*42%*
Not yet active (%)	*54%*	*50%*
Inactive (%)	*10%*	*8%*
e) Share of payments – top 25%[54]		
Daily Tasks/ Shared Living (%)	*62%*	*65%*
Therapeutic Supports (%)	*68%*	*76%*
Participate Community (%)	*61%*	*70%*
Early Childhood Supports (%)	*68%*	*93%*
Assist Personal Activities (%)	*68%*	*71%*

[54] Note: Share of payment going to the top 25 % of providers – for the top five registration groups by payment amount.

Table H.28 Proportion of active participants with approved plans accessing mainstream supports - TAS

	Prior Quarters	2016-17 Q3	Total
Participant profile	**Proportion of approved plans %**	**Proportion of approved plans %**	**Proportion of approved plans %**
Assistive technology	2%	1%	1%
Choice & Control	4%	2%	3%
Consumables	0%	1%	0%
Daily Activities	7%	8%	8%
Daily Equipment	0%	1%	1%
Employment	5%	3%	4%
Health & Wellbeing	50%	55%	52%
Home Living	3%	6%	4%
Housing & Home modifications	0%	0%	0%
Independence	4%	2%	3%
Lifelong Learning	47%	36%	42%
Relationships	4%	2%	3%
Social & Civic	6%	3%	5%
Transport	3%	2%	3%
Non-categorised	17%	14%	16%
Any main stream service	90%	84%	88%

Australian Capital Territory

The tables below replicate the tables in the main parts of this report for ACT only where the data is available.

Participant Demographics

Table I.1 Plan approvals compared to estimates - ACT[55]

	Prior Quarters	2016-17 Q3	Total excluding ECEI	Total including ECEI	Bilateral estimates
Plan Approvals	5,540	448	5,988	5,989	5,075

Table I.2 Quarterly intake split by plan and entry type - ACT

	Prior Quarters	2016-17 Q3	Total
Access decisions	**6,775**	**575**	**7,350**
Access Met	**6,056**	**351**	**6,407**
State	*2,681*	11	2,692
Commonwealth	*30*	0	30
New	*3,345*	340	3,685
Total Participant Plans	**5,541**	**449**	**5,989**
Early Intervention plans	*1,943*	*160*	*2,103*
Permanent disability plans	*3,597*	*288*	*3,885*
ECEI	*1*	*1*	*1*

Table I.3 Plan reviews conducted per quarter - ACT

	2016-17 Q1	2016-17 Q2	2016-17 Q3	Transition Total
Total plan reviews	**721**	**1,141**	**1,044**	**2,906**
Early Intervention plans	*215*	*356*	*311*	*882*
Permanent disability plans	*506*	*785*	*733*	*2,024*

[55] There is one child in the ACT who is supported through the ECEI gateway by a NSW ECEI provider.

Table I.4 Exits from the scheme as at 31 March 2017 - ACT

Exits	
Total Plan Exits	**78**
Early Intervention plans	*4*
Permanent disability plans	*74*

Table I.5 Cumulative position by entry point – ACT

	Participant cohort					Bilateral estimate	% of estimate	Awaiting a plan
	State	Commonwealth	New	ECEI	Total			
End of 2015-16	2,477	21	1,600	0	4,098	4,278	96%	
End of 2016-17 Q1	2,571	25	2,476	0	5,072	5,075	100%	
End of 2016-17 Q2	2,617	29	2,894	1	5,541	5,075	109%	
End of 2016-17 Q3	2,653	30	3,305	1	5,989	5,075	118%	365

Table I.6 Cumulative position by plan type - ACT

	Participant cohort				Bilateral estimate	% of estimate	Awaiting a plan
	EI plan	PD plan	ECEI	Total			
End of 2015-16	1,559	2,539		4,098	4,278	96%	
End of 2016-17 Q1	1,831	3,241		5,072	5,075	100%	
End of 2016-17 Q2	1,943	3,597	1	5,541	5,075	109%	
End of 2016-17 Q3	2,103	3,885	1	5,989	5,075	118%	365

Table I.7 Active participants with approved plans per quarter by disability group - ACT[56]

	Prior Quarters		2016-17 Q3		Total	
Disability group	**N**	**%**	**N**	**%**	**N**	**%**
Intellectual Disability	1,934	35%	97	22%	2,031	34%
Autism	1,099	20%	84	19%	1,183	20%
Other Physical	378	7%	34	8%	412	7%
Psychosocial disability	656	12%	72	16%	728	12%
Cerebral Palsy	235	4%	12	3%	247	4%
Other Neurological	212	4%	16	4%	228	4%
ABI	122	2%	14	3%	136	2%
Other Sensory/Speech	270	5%	34	8%	304	5%
Hearing Impairment	205	4%	33	7%	238	4%
Visual Impairment	98	2%	23	5%	121	2%
Multiple Sclerosis	135	2%	10	2%	145	2%
Spinal Cord Injury	32	1%	6	1%	38	1%
Stroke	64	1%	9	2%	73	1%
Other	22	0%	4	1%	26	0%
Overall	**5,462**	**100%**	**448**	**100%**	**5,910**	**100%**

56 Table order based on national proportions (highest to lowest)

Table I.8 Active participants with approved plan per quarter by level of function - ACT

	Prior Quarters		2016-17 Q3		Total	
Level of function	**N**	**%**	**N**	**%**	**N**	**%**
1 (High Function)	22	0.4%	2	0.4%	24	0.4%
2 (High Function)	17	0.3%	1	0.2%	18	0.3%
3 (High Function)	292	5%	25	6%	317	5%
4 (High Function)	489	9%	64	14%	553	9%
5 (High Function)	1,223	22%	107	24%	1,330	23%
6 (Moderate Function)	479	9%	58	13%	537	9%
7 (Moderate Function)	278	5%	26	6%	304	5%
8 (Moderate Function)	307	6%	37	8%	344	6%
9 (Moderate Function)	31	1%	3	1%	34	1%
10 (Moderate Function)	394	7%	57	13%	451	8%
11 (Low Function)	201	4%	14	3%	215	4%
12 (Low Function)	488	9%	38	8%	526	9%
13 (Low Function)	119	2%	11	2%	130	2%
14 (Low Function)	90	2%	5	1%	95	2%
15 (Low Function)	1	0.02%	0	0.00%	1	0.02%
Missing	1,031	18.88%	0	0.00%	1,031	17.45%
Overall	**5,462**	**100%**	**448**	**100%**	**5,910**	**100%**

Table I.9 Participant profile per quarter by Age group - ACT

	Prior Quarters		2016-17 Q3		Total	
Age group	**N**	**%**	**N**	**%**	**N**	**%**
0 to 6	1,069	20%	96	21%	1,165	20%
7 to 14	1,330	24%	74	17%	1,404	24%
15 to 18	450	8%	23	5%	473	8%
19 to 24	375	7%	23	5%	398	7%
25 to 34	365	7%	71	16%	436	7%
35 to 44	470	9%	58	13%	528	9%
45 to 54	589	11%	63	14%	652	11%
55 to 64	657	12%	38	8%	695	12%
65+	157	3%	2	0%	159	3%
Overall	**5,462**	**100%**	**448**	**100%**	**5,910**	**100%**

Table I.10 Participant profile per quarter by Gender - ACT

	Prior Quarters		2016-17 Q3		Total	
Gender	**N**	**%**	**N**	**%**	**N**	**%**
Male	3272	60%	270	60%	3542	59.9%
Female	2,179	40%	173	39%	2352	39.8%
Indeterminate	11	0%	5	1%	16	0.3%
Overall	**5,462**	**100%**	**448**	**100%**	**5,910**	**100%**

Table I.11 Participant profile per quarter by Aboriginal and Torres Strait islander status - ACT

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
Aboriginal and Torres Strait Islander	239	4.4%	13	2.9%	252	4.3%
Not Aboriginal and Torres Strait Islander	5,016	91.8%	417	93.1%	5,433	91.9%
Not Stated	207	3.8%	18	4.0%	225	3.8%
Total	**5,462**	**100%**	**448**	**100%**	**5,910**	**100%**

Table I.12 Participant profile per quarter by Young people in Residential aged care (YPIRAC) status - ACT

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
YPIRAC	32	0.6%	1	0.2%	33	0.6%
Not YPIRAC	5,430	99.4%	447	99.8%	5,877	99.4%
Overall	**5,462**	**100%**	**448**	**100%**	**5,910**	**100%**

Table I.13 Distribution of active participants by method of Financial Plan Management and quarter of plan approval - ACT

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Self-Managed Fully	16.1%	20.0%	17%
Self-Managed Partly	15.7%	18.1%	16%
Plan Managed	19.7%	27.2%	22%
Agency Managed	48.4%	34.6%	44%
Total	**100%**	**100%**	**100%**

Table I.14 Distribution of active participants by support coordination and quarter of plan approval - ACT

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Support coordination	75.9%	76.3%	76.0%

Table I.15 Duration to plan activation by quarter of first plan approval for active participants - ACT

	2016-17 Q1		2016-17 Q2	
Plan activation	**N**	**%**	**N**	**%**
Less than 30 days	527	54%	219	47%
30 to 59 days	129	13%	63	13%
60 to 89 days	54	6%	35	7%
Activated within 90 days	710	73%	317	68%
90 to 119 days	21	2%	16	3%
120 days and over	53	5%	6	1%
Activated within 180 days	74	8%		
No payments	189	19%	128	27%
Total plans approved	**973**	**100%**	**467**	**100%**

Table I.16 Number of questionnaires completed by SFOF version- ACT

Version	Number of questionnaires collected Q1	Number of questionnaires collected Q2	Number of questionnaires collected Q3	Number of questionnaires
Participant 0 to school	146	60	88	294
Participant school to 14	120	51	66	237
Participant 15 to 24	80	56	35	171
Participant 25 and over	578	259	205	1,042
Total Participant	**924**	**426**	**394**	**1,744**
Family 0 to 14	258	102	141	501
Family 15 to 24	23	14	5	42
Family 25 and over	21	14	10	45
Total Family	**302**	**130**	**156**	**588**
Total	**1,226**	**556**	**550**	**2,332**

Table I.17 Selected key indicators for participants – Daily Living (DL) and Choice and Control (CC) - ACT

	Indicator	0 to before school	School to 14	15 to 24	25 and over
DL	% with concerns in 6 or more of the areas: gross motor skills, fine motor skills, self-care, eating, social interaction, communication, cognitive development, sensory processing	32%			
CC	% who say their child is able to tell them what he/she wants	82%			
DL	% developing functional, learning and coping skills appropriate to their ability and circumstances		38%		
DL	% who say their child is becoming more independent		55%		
CC	% of children who have a genuine say in decisions about themselves		88%		
CC	% who are happy with the level of independence/control they have now			37%	
CC	% who choose what they do each day			63%	78%
CC	% who had been given the opportunity to participate in a self-advocacy group meeting			20%	26%
CC	% who want more choice and control in their life			65%	58%

Table I.18 Selected key indicators for participants – Relationships (REL) and Social/ Community Participation (S/CP) - ACT

	Indicator	0 to before school	School to 14	15 to 24	25 and over
REL	% of children who can make friends with people outside the family	72%	78%		
S/CP	% of children who participate in age appropriate community, cultural or religious activities	64%			
REL	% of children who spend time after school and on weekends with friends and/or in mainstream programs		44%		
REL	Of these, % who are welcomed or actively included	72%	85%		
REL	% of children who spend time with friends without an adult present		26%		
REL	% with no friends other than family or paid staff			21%	21%
S/CP	% who have been actively involved in a community, cultural or religious group in the last 12 months			31%	36%

Table I.19 Selected key indicators for participants – Lifelong Learning (LL), Work (WK), Home (HM) and Health and Wellbeing (HW) - ACT

	Indicator	0 to before school	School to 14	15 to 24	25 and over
LL	% of children attending school in a mainstream class		81%		
HM	% who are happy with their home			80%	72%
HM	% who feel safe or very safe in their home			83%	67%
HW	% who rate their health as good, very good or excellent			62%	42%
HW	% who did not have any difficulties accessing health services			73%	61%
LL	% who currently attend or previously attended school in a mainstream class			61%	
LL	% who participate in education, training or skill development				15%
LL	Of those who participate, % who do so in mainstream settings				80%
LL	% unable to do a course or training they wanted to do in the last 12 months				45%
WK	% who have a paid job			30%	32%
WK	% who volunteer			16%	16%

Table I.20 Selected key indicators for families/ carers of participants- ACT

Indicator	0 to 14	15 to 24	25 and over
% receiving Carer Payment	9%	19%	11%
% receiving Carer Allowance	14%	26%	16%
% working in a paid job	48%	52%	24%
Of those in a paid job, % in permanent employment	87%	71%	Numbers are too small
Of those in a paid job, % working 15 hours or more	85%	76%	Numbers are too small
% who say they (and their partner) are able to work as much as they want	56%	70%	60%
Of those unable to work as much as they want, % who say the situation of their child/family member with disability is a barrier to working more	81%	Numbers are too small	Numbers are too small
Of those unable to work as much as they want, % who say insufficient flexibility of jobs is a barrier to working more	27%	Numbers are too small	Numbers are too small
% able to advocate for their child/family member	90%	85%	83%
% who have friends and family they see as often as they like	49%	50%	38%
% who feel very confident or somewhat confident in supporting their child's development	89%		
% who know what their family can do to enable their family member with disability to become as independent as possible		81%	
% who feel in control selecting services		78%	44%
% who have made plans (or begun to make plans) for when they are no longer able to care for their family member with disability			54%
% who rate their health as good, very good or excellent	80%	66%	68%

Figure I.1 Proportion of participants describing satisfaction with the agency as good or very good – by quarter (ACT)

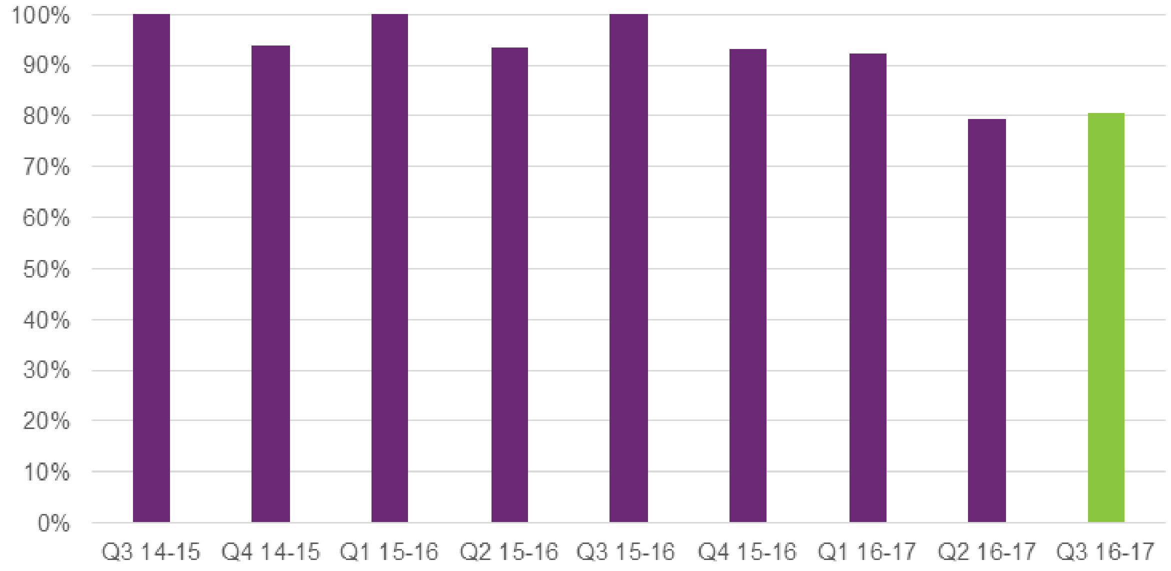


Table I.21 AAT cases by category - ACT

AAT cases			
Access	Plan	Plan review	Total
7	11	0	18

Table I.22 Proportion of Participants rating their level of agreement as strongly agree, agree, neutral, disagree or strongly disagree in response to statements about the planning process - ACT

	Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree
The planner listened to me	67%	22%	11%	0%	0%
I had enough time to tell my story and say what support I need	50%	39%	6%	6%	0%
The planner knows what I can do well	22%	22%	33%	22%	0%
The planner had some good ideas for my plan	11%	56%	17%	17%	0%
I know what is in my plan	11%	33%	28%	28%	0%
The planner helped me think about my future	17%	61%	6%	17%	0%
I think my plan will make my life better	24%	53%	18%	6%	0%
The planning meeting went well	39%	33%	11%	17%	0%

Committed supports and payments

Table I.23 Committed supports by financial year ($m) - ACT

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*n/a*	*28.1*	*136.0*	*270.7*	*94.4*	*529.2*

Figure I.2 Average committed support by annualised committed support band (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters

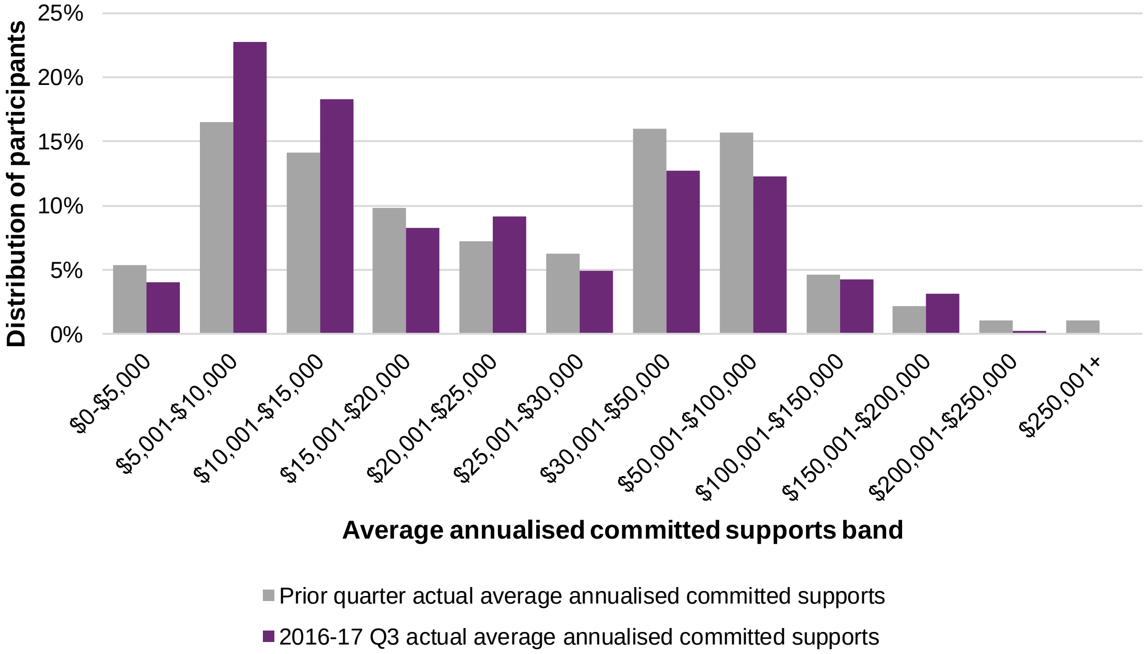


Figure I.3 Average committed support by age group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters- ACT

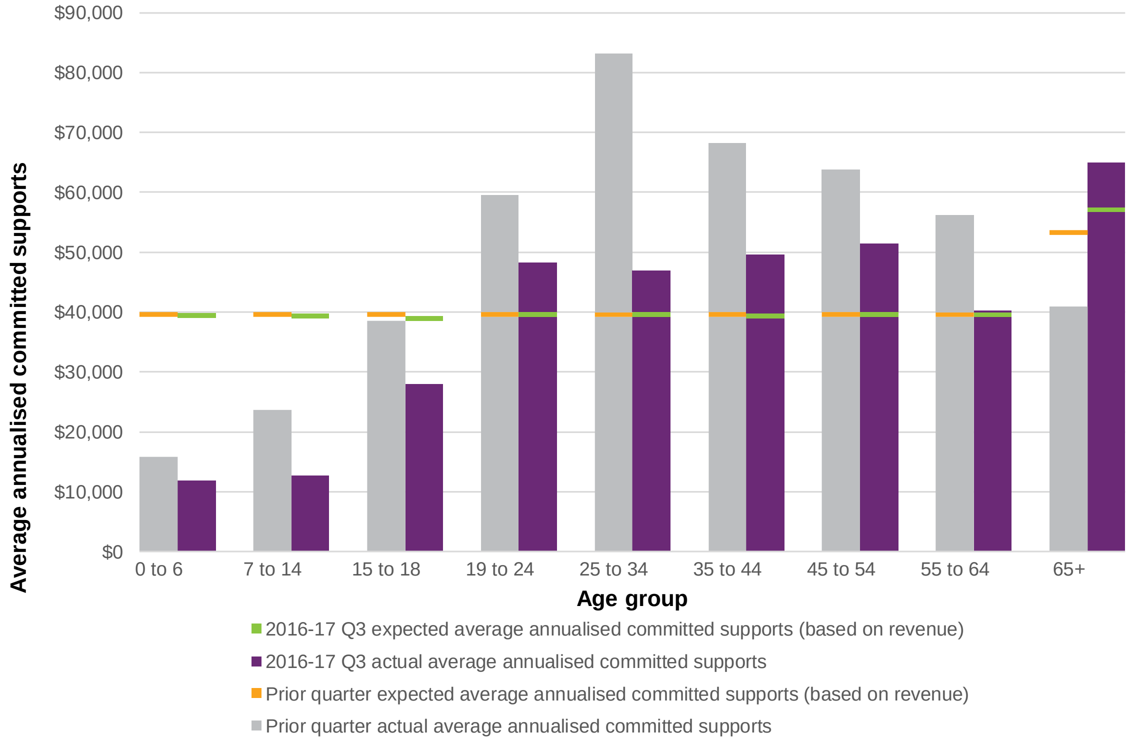


Figure I.4 Average committed support by primary disability group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters- ACT

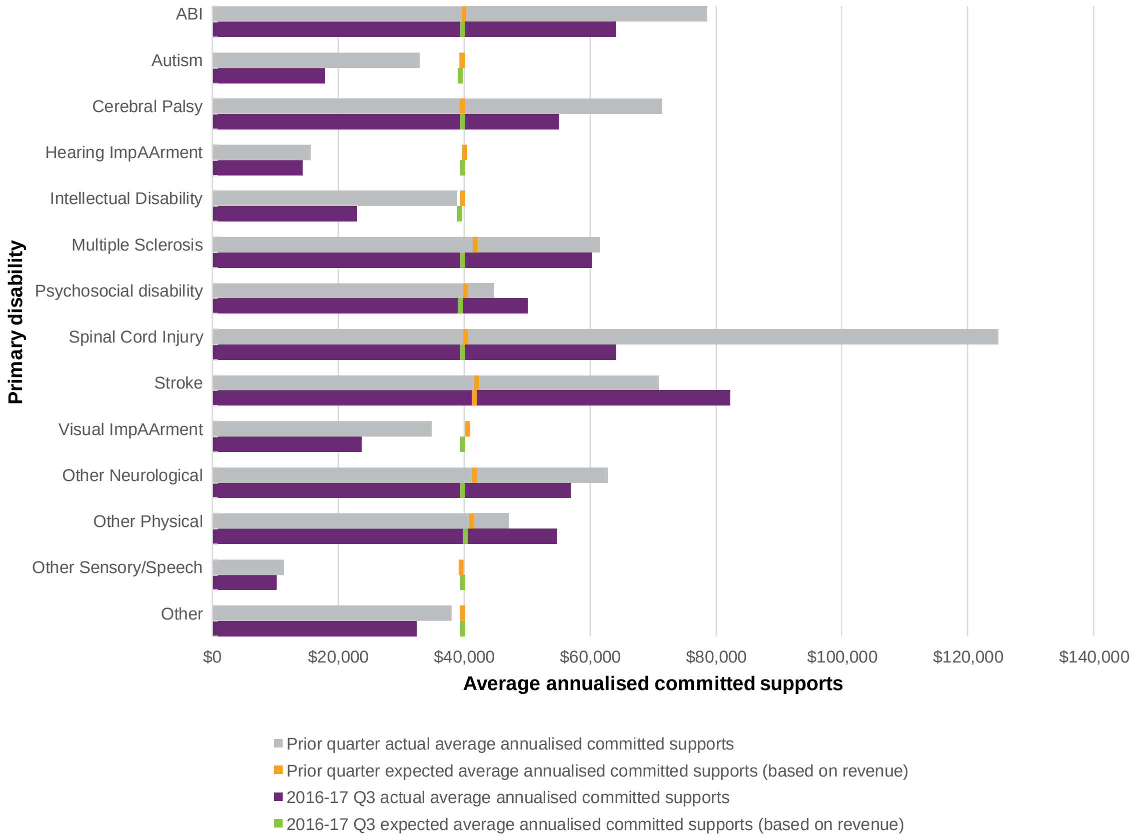
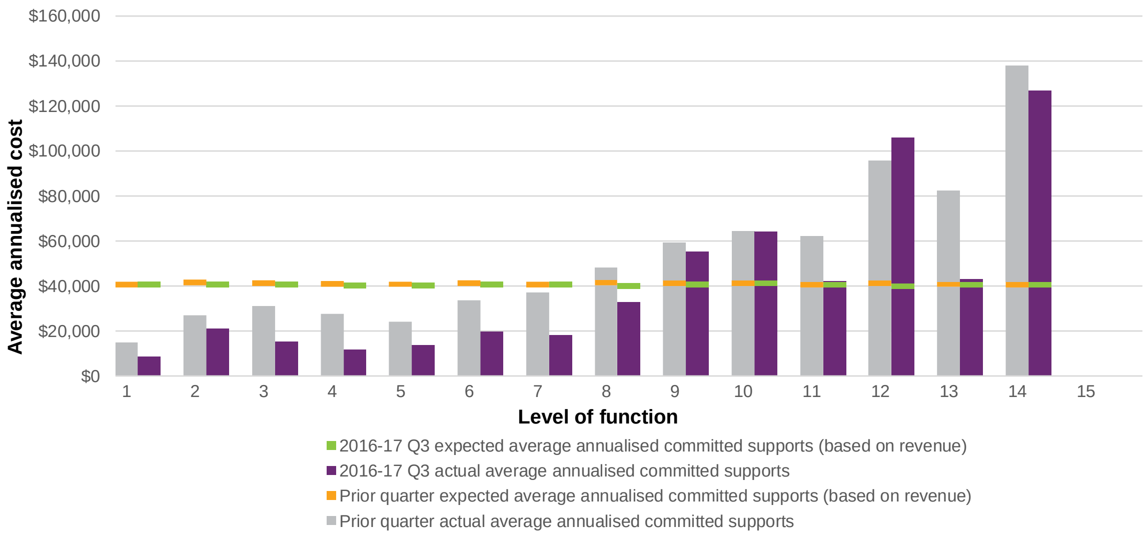


Figure I.5 Average committed support by level of function (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters- ACT [57]

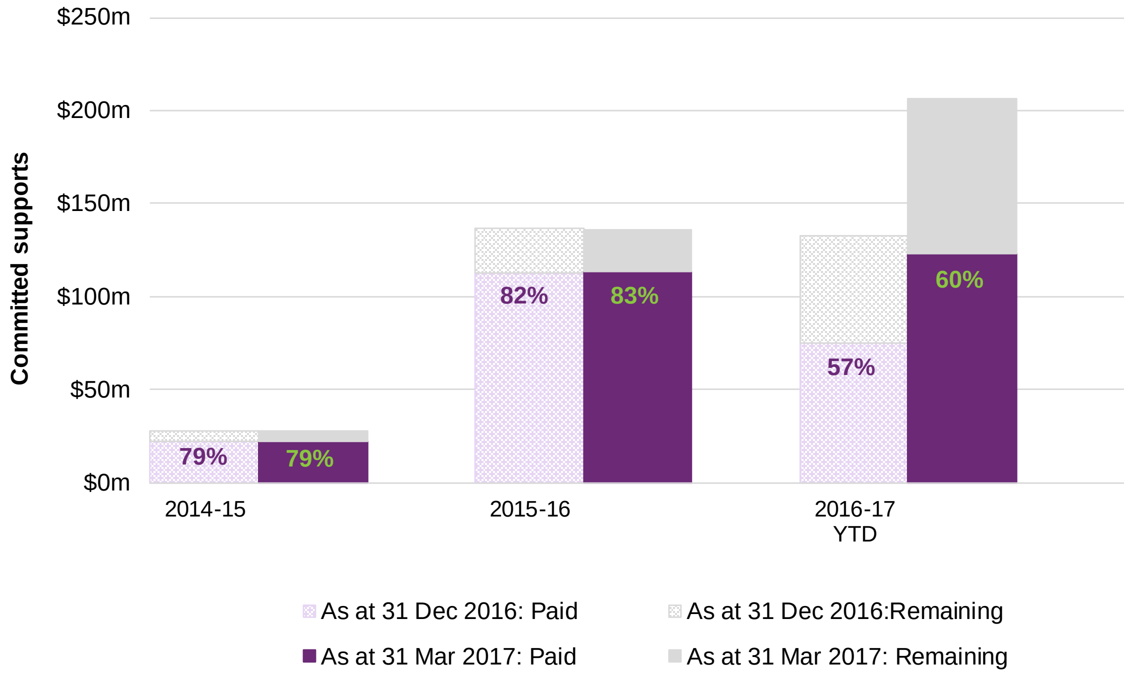


57 Level of function 15 does not have sufficient data to show an average cost.

Table I.24 Payments by financial year, compared to committed supports ($m) - ACT

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*n/a*	*28.1*	*136.0*	*270.7*	*94.4*	*529.2*
Total paid	*n/a*	*22.3*	*113.3*	*123.0*		*258.7*
% utilised to date	*n/a*	*79%*	*83%*			

Figure I.6 Utilisation of committed supports as at 31 December 2016 and 31 March 2017- ACT



Providers and markets

Table I.25 Key provider indicators by quarter- ACT

	Prior	2016-17 Q3	Total
Provider indicators			
a) Registrations by profile			
Individual/ sole trader	*132*	*40*	*169*
Company/ organisation	*403*	*117*	*518*
Total	*535*	*157*	*687*
b) Registration revoked		*5*	

Table I.26 Number of approved providers by registration group- ACT [58][59]

Registration Group	Prior	2016-17 Q3	Total	% change
Therapeutic Supports	171	27	198	16%
Household Tasks	66	44	110	67%
Assist-Travel/Transport	99	19	118	19%
Early Childhood Supports	80	12	92	15%
Participate Community	80	6	86	8%
Development-Life Skills	73	7	80	10%
Assist Prod-Pers Care/Safety	116	38	154	33%
Assist Personal Activities	81	5	86	6%
Assist-Life Stage, Transition	63	4	67	6%
Behaviour Support	57	9	66	16%
Personal Activities High	60	3	63	5%
Personal Mobility Equipment	119	37	156	31%
Group/Centre Activities	50	3	53	6%
Home Modification	73	17	90	23%
Accommodation/Tenancy	34	4	38	12%
Support Coordination	42	7	49	17%
Ex Phys Pers Training	68	17	85	25%
Daily Tasks/Shared Living	52	4	56	8%
Innov Community Participation	39	19	58	49%
Plan Management	32	3	35	9%
Assistive Prod-Household Task	65	22	87	34%
Assistive Equip-Recreation	76	28	104	37%
Comms & Info Equipment	43	24	67	56%
Custom Prosthetics	65	6	71	9%
Community Nursing Care	38	8	46	21%
Assist Access/Maintain Employ	50	5	55	10%
Specialised Driver Training	33	11	44	33%
Hearing Equipment	27	6	33	22%
Spec Support Employ	20	1	21	5%
Vision Equipment	28	7	35	25%
Interpret/Translate	16	9	25	56%
Specialised Hearing Services	14	3	17	21%
Vehicle modifications	15	9	24	60%
Hearing Services	6	3	9	50%
Specialised Disability Accommodation	1	0	1	0%
Assistance Animals	1	0	1	0%
Total approved providers	**530**	**157**	**687**	**30%**

[58] Table order based on national % change (highest to lowest)
[59] The 5 providers whose registration ended during the third quarter of 2016-17 are not included in the 2016-17 Q2 and prior numbers in this table.

Table I.27 Key markets indicators by quarter- ACT

	2016-17 Q2	2016-17 Q3
Market indicators		
a) Average number of providers per participant	*1.12*	*1.12*
b) Average new providers per participant	*0.41*	*0.33*
c) Number of providers delivering new supports	*177*	*227*
d) Change in the number of active/ inactive providers:		
Active (%)	*47%*	*50%*
Not yet active (%)	*50%*	*46%*
Inactive (%)	*4%*	*4%*
e) Share of payments – top 25%[60]		
Daily Tasks/ Shared Living (%)	*72%*	*77%*
Therapeutic Supports (%)	*77%*	*83%*
Participate Community (%)	*78%*	*77%*
Early Childhood Supports (%)	*91%*	*91%*
Assist Personal Activities (%)	*83%*	*88%*

[60] Note: Share of payment going to the top 25 % of providers – for the top five registration groups by payment amount.

Table I.28 Proportion of active participants with approved plans accessing mainstream supports – ACT

	Prior Quarters	2016-17 Q3	Total
Participant profile	**Proportion of approved plans %**	**Proportion of approved plans %**	**Proportion of approved plans %**
Assistive technology	1%	1%	1%
Choice & Control	2%	2%	2%
Consumables	0%	0%	0%
Daily Activities	5%	6%	5%
Daily Equipment	0%	1%	0%
Employment	4%	3%	4%
Health & Wellbeing	40%	40%	40%
Home Living	1%	2%	1%
Housing & Home modifications	2%	1%	1%
Independence	2%	2%	2%
Lifelong Learning	13%	12%	13%
Relationships	2%	2%	2%
Social & Civic	5%	2%	5%
Transport	0%	0%	0%
Non-categorised	22%	25%	23%
Any main stream service	80%	77%	79%

Appendix J

Northern Territory

The tables below replicate the tables in the main parts of this report for NT only where the data is available.

Participant Demographics

Table J.1 Plan approvals compared to estimates – NT

	Prior Quarters	2016-17 Q3	Total excluding ECEI	Total including ECEI	Bilateral estimates
Plan Approvals	161	138	299	299	413

Table J.2 Quarterly intake split by plan and entry type - NT

	Prior Quarters	2016-17 Q3	Total
Access decisions	**182**	**221**	**403**
Access Met	**170**	**199**	**369**
State	*68*	163	231
Commonwealth	*2*	2	4
New	*100*	34	134
Total Participant Plans	**161**	**138**	**299**
Early Intervention plans	*40*	*7*	*47*
Permanent disability plans	*121*	*131*	*252*
ECEI	*0*	*0*	*0*

Table J.3 Plan reviews conducted per quarter - NT

	2016-17 Q1	2016-17 Q2	2016-17 Q3	Transition Total
Total plan reviews	**20**	**44**	**130**	**194**
Early Intervention plans	*4*	*12*	*14*	*30*
Permanent disability plans	*16*	*32*	*116*	*164*

Table J.4 Exits from the scheme as at 31 March 2017 - NT

Exits	
Total Plan Exits	**5**
Early Intervention plans	*1*
Permanent disability plans	*4*

Table J.5 Cumulative position by entry point - NT

Quarter	Participant cohort					Bilateral estimate	% of estimate	Awaiting a plan
	State	Commonwealth	New	ECEI	Total			
End of 2015-16	66	2	87	0	155	154	101%	-
End of 2016-17 Q1	66	2	87	0	155	154	101%	-
End of 2016-17 Q2	67	2	92	0	161	154	105%	-
End of 2016-17 Q3	183	3	113	0	299	413	72%	66

Table J.6 Cumulative position by plan type - NT

Quarter	Participant cohort				Bilateral estimate	% of estimate	Awaiting a plan
	EI plan	PD plan	ECEI	Total			
End of 2015-16	38	117		155	154	101%	-
End of 2016-17 Q1	38	117		155	154	101%	-
End of 2016-17 Q2	40	121	0	161	154	105%	-
End of 2016-17 Q3	47	252	0	299	413	72%	66

Table J.7 Active participants with approved plans per quarter by disability group - NT[61]

	Prior Quarters		2016-17 Q3		Total	
Disability group	**N**	**%**	**N**	**%**	**N**	**%**
Intellectual Disability	49	31%	58	42%	107	36%
Autism	5	3%	18	13%	23	8%
Other Physical	37	24%	3	2%	40	14%
Psychosocial disability	7	4%	3	2%	10	3%
Cerebral Palsy	11	7%	23	17%	34	12%
Other Neurological	9	6%	7	5%	16	5%
ABI	8	5%	18	13%	26	9%
Other Sensory/Speech	2	1%	1	1%	3	1%
Hearing Impairment	7	4%	0	0%	7	2%
Visual Impairment	6	4%	1	1%	7	2%
Multiple Sclerosis	1	1%	0	0%	1	0%
Spinal Cord Injury	4	3%	2	1%	6	2%
Stroke	9	6%	4	3%	13	4%
Other	1	1%	0	0%	1	0%
Overall	**156**	**100%**	**138**	**100%**	**294**	**100%**

61 Table order based on national proportions (highest to lowest)

Table J.8 Active participants with approved plan per quarter by level of function - NT

	Prior Quarters		2016-17 Q3		Total	
Level of function	**N**	**%**	**N**	**%**	**N**	**%**
1 (High Function)	1	0.6%	0	0.0%	1	0.3%
2 (High Function)	0	0.0%	0	0.0%	0	0.0%
3 (High Function)	10	6%	2	1%	12	4%
4 (High Function)	9	6%	3	2%	12	4%
5 (High Function)	23	15%	4	3%	27	9%
6 (Moderate Function)	11	7%	6	4%	17	6%
7 (Moderate Function)	6	4%	10	7%	16	5%
8 (Moderate Function)	11	7%	6	4%	17	6%
9 (Moderate Function)	1	1%	2	1%	3	1%
10 (Moderate Function)	8	5%	16	12%	24	8%
11 (Low Function)	3	2%	24	17%	27	9%
12 (Low Function)	16	10%	18	13%	34	12%
13 (Low Function)	5	3%	21	15%	26	9%
14 (Low Function)	1	1%	26	19%	27	9%
15 (Low Function)	0	0.00%	0	0.00%	0	0.00%
Missing	51	32.69%	0	0.00%	51	17.35%
Overall	**156**	**100%**	**138**	**100%**	**294**	**100%**

Table J.9 Participant profile per quarter by Age group - NT

	Prior Quarters		2016-17 Q3		Total	
Age group	**N**	**%**	**N**	**%**	**N**	**%**
0 to 6	18	12%	5	4%	23	8%
7 to 14	32	21%	8	6%	40	14%
15 to 18	5	3%	7	5%	12	4%
19 to 24	3	2%	9	7%	12	4%
25 to 34	18	12%	29	21%	47	16%
35 to 44	23	15%	33	24%	56	19%
45 to 54	27	17%	33	24%	60	20%
55 to 64	26	17%	14	10%	40	14%
65+	4	3%	0	0%	4	1%
Overall	**156**	**100%**	**138**	**100%**	**294**	**100%**

Table J.10 Participant profile per quarter by Gender - NT

	Prior Quarters		2016-17 Q3		Total	
Gender	**N**	**%**	**N**	**%**	**N**	**%**
Male	95	61%	69	50%	164	55.8%
Female	61	39%	51	37%	112	38.1%
Indeterminate	0	0%	18	13%	18	6.1%
Overall	**156**	**100%**	**138**	**100%**	**294**	**100%**

Table J.11 Participant profile per quarter by Aboriginal and Torres Strait islander status - NT

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
Aboriginal and Torres Strait Islander	147	94.2%	71	51.4%	218	74.1%
Not Aboriginal and Torres Strait Islander	8	5.1%	66	47.8%	74	25.2%
Not Stated	1	0.6%	1	0.7%	2	0.7%
Total	**156**	**100%**	**138**	**100%**	**294**	**100%**

Table J.12 Participant profile per quarter by Young people in Residential aged care (YPIRAC) status - NT

	Prior Quarters		2016-17 Q3		Total	
Participant profile	**N**	**%**	**N**	**%**	**N**	**%**
YPIRAC	0	0.0%	0	0.0%	0	0.0%
Not YPIRAC	156	100.0%	138	100.0%	294	100.0%
Overall	**156**	**100%**	**138**	**100%**	**294**	**100%**

Table J.13 Distribution of active participants by method of Financial Plan Management and quarter of plan approval - NT

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Self-Managed Fully	0.0%	0.0%	0%
Self-Managed Partly	0.0%	1.4%	1%
Plan Managed	0.0%	0.7%	1%
Agency Managed	100.0%	97.8%	98%
Total	**100%**	**100%**	**100%**

Table J.14 Distribution of active participants by support coordination and quarter of plan approval - NT

	Prior Quarters (Transition Only)	2016-17 Q3	Total
	%	%	%
Support coordination	100.0%	95.0%	95.1%

Table J.15 Duration to plan activation by quarter of first plan approval for active participants - NT

	2016-17 Q1		2016-17 Q2	
Plan activation	N	%	N	%
Less than 30 days	0	0	1	17%
30 to 59 days	0	0	1	17%
60 to 89 days	0	0	0	0%
Activated within 90 days	0	0	2	33%
90 to 119 days	0	0	0	0%
120 days and over	0	0	1	17%
Activated within 180 days	0	0		
No payments	0	0	3	50%
Total plans approved	**0**	**0**	**6**	**100%**

Table J.16 Selected key indicators for families/ carers of participants– NT

Indicator	0 to 14	15 to 24	25 and over
% receiving Carer Payment	Numbers are too small	Numbers are too small	Numbers are too small
% receiving Carer Allowance	Numbers are too small	Numbers are too small	Numbers are too small
% working in a paid job	Numbers are too small	Numbers are too small	Numbers are too small
Of those in a paid job, % in permanent employment	Numbers are too small	Numbers are too small	Numbers are too small
Of those in a paid job, % working 15 hours or more	Numbers are too small	Numbers are too small	Numbers are too small
% who say they (and their partner) are able to work as much as they want	Numbers are too small	Numbers are too small	Numbers are too small
Of those unable to work as much as they want, % who say the situation of their child/family member with disability is a barrier to working more	Numbers are too small	Numbers are too small	Numbers are too small
Of those unable to work as much as they want, % who say insufficient flexibility of jobs is a barrier to working more	Numbers are too small	Numbers are too small	Numbers are too small
% able to advocate for their child/family member	Numbers are too small	Numbers are too small	Numbers are too small
% who have friends and family they see as often as they like	Numbers are too small	Numbers are too small	Numbers are too small
% who feel very confident or somewhat confident in supporting their child's development	Numbers are too small		
% who know what their family can do to enable their family member with disability to become as independent as possible		Numbers are too small	
% who feel in control selecting services		Numbers are too small	Numbers are too small
% who have made plans (or begun to make plans) for when they are no longer able to care for their family member with disability			Numbers are too small
% who rate their health as good, very good or excellent	Numbers are too small	Numbers are too small	Numbers are too small

Table J.17 AAT cases by category - NT

AAT cases			
Access	Plan	Plan review	Total
0	0	0	0

Committed supports and payments

Table J.18 Committed supports by financial year ($m) (NT)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*n/a*	*2.1*	*5.6*	*17.4*	*25.7*	*50.7*

Figure J.1 Average committed support by annualised committed support band (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (NT)

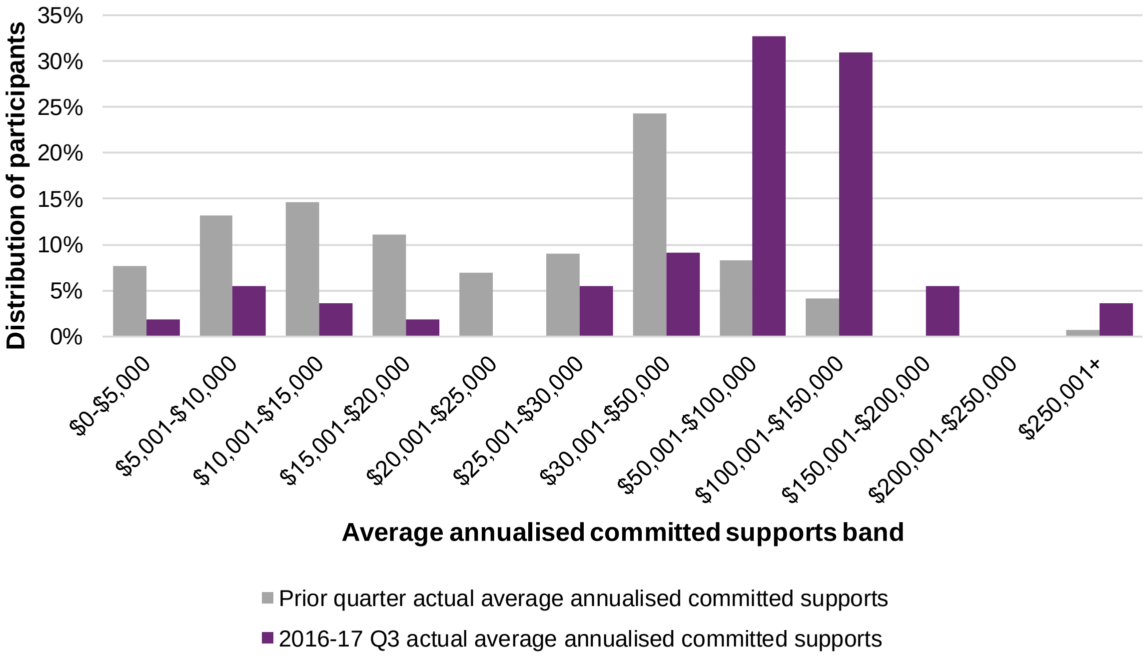


Figure J.2 Average committed support by age group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (NT)

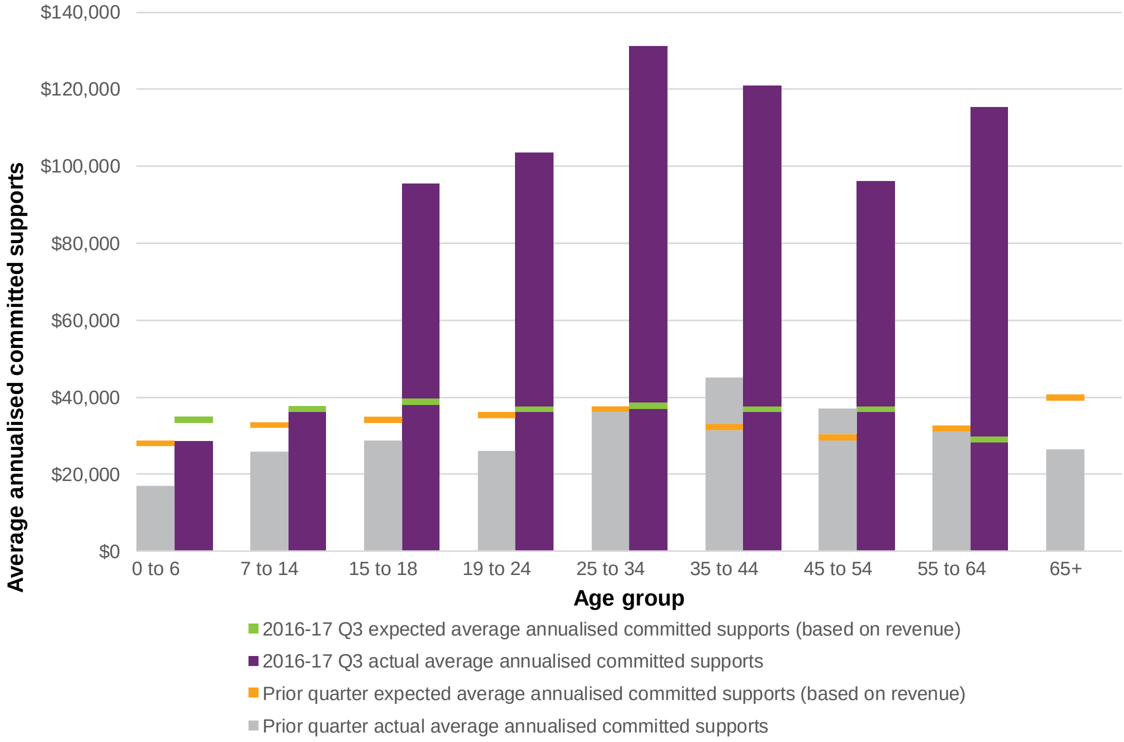


Figure J.3 Average committed support by primary disability group (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters (NT)

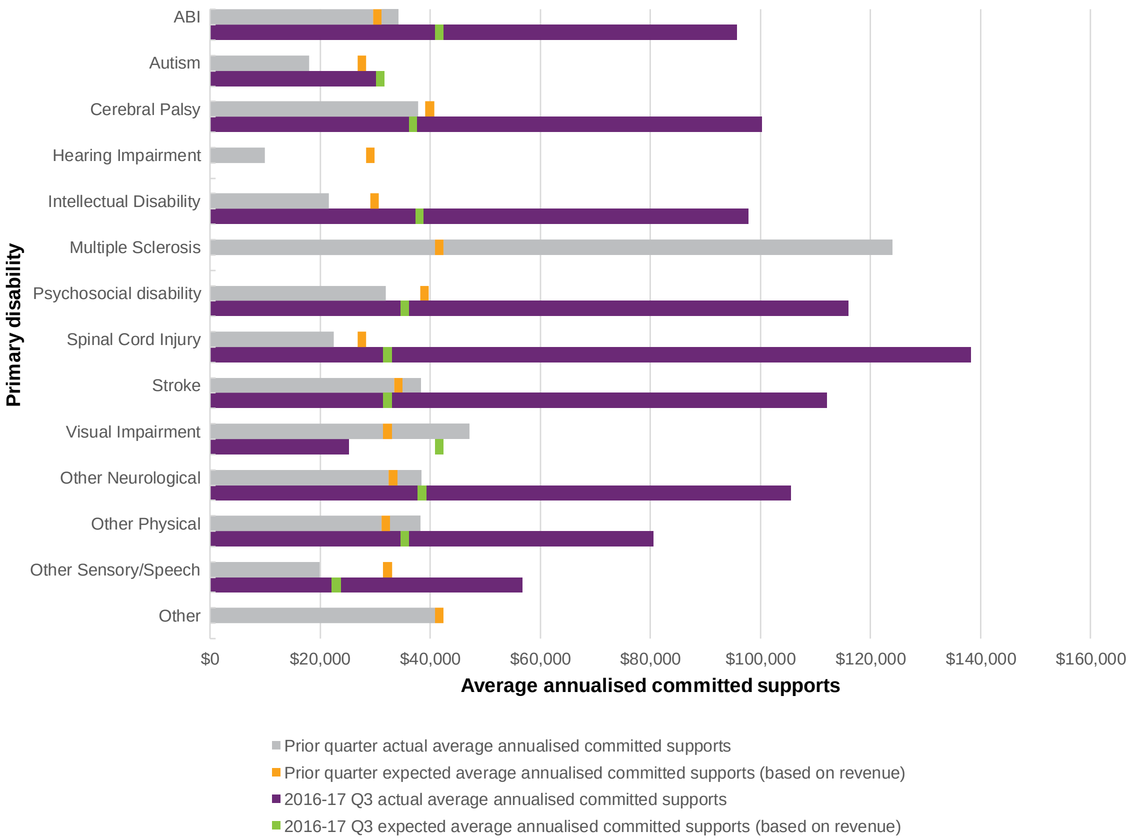


Figure J.4 Average committed support by level of function (excluding participants with shared supported accommodation supports) – active participants with first plan approvals Q3 compared with active participants with first plan approvals in prior quarters[62] (NT)

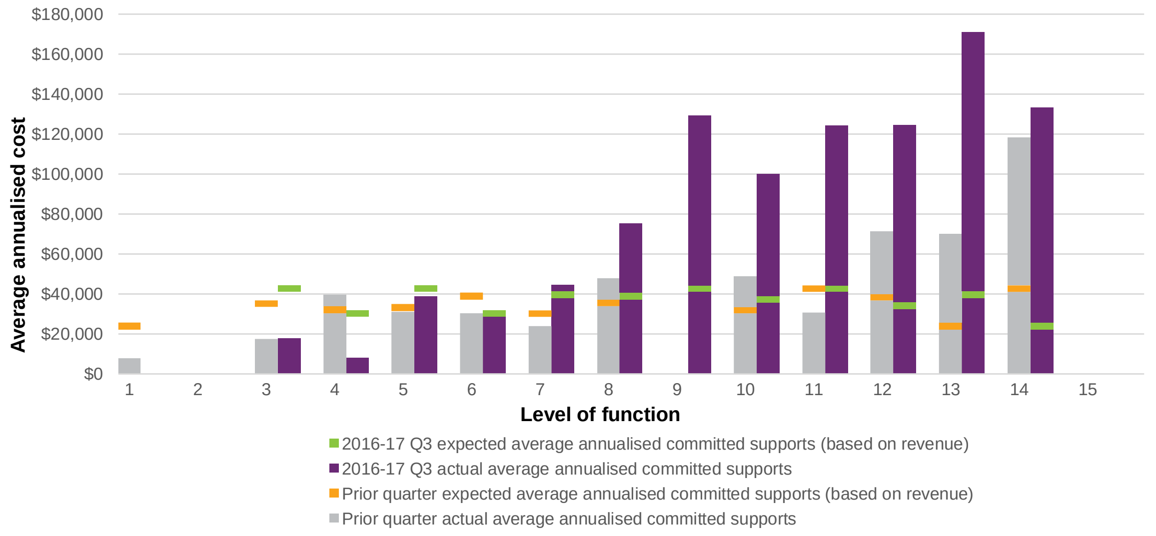
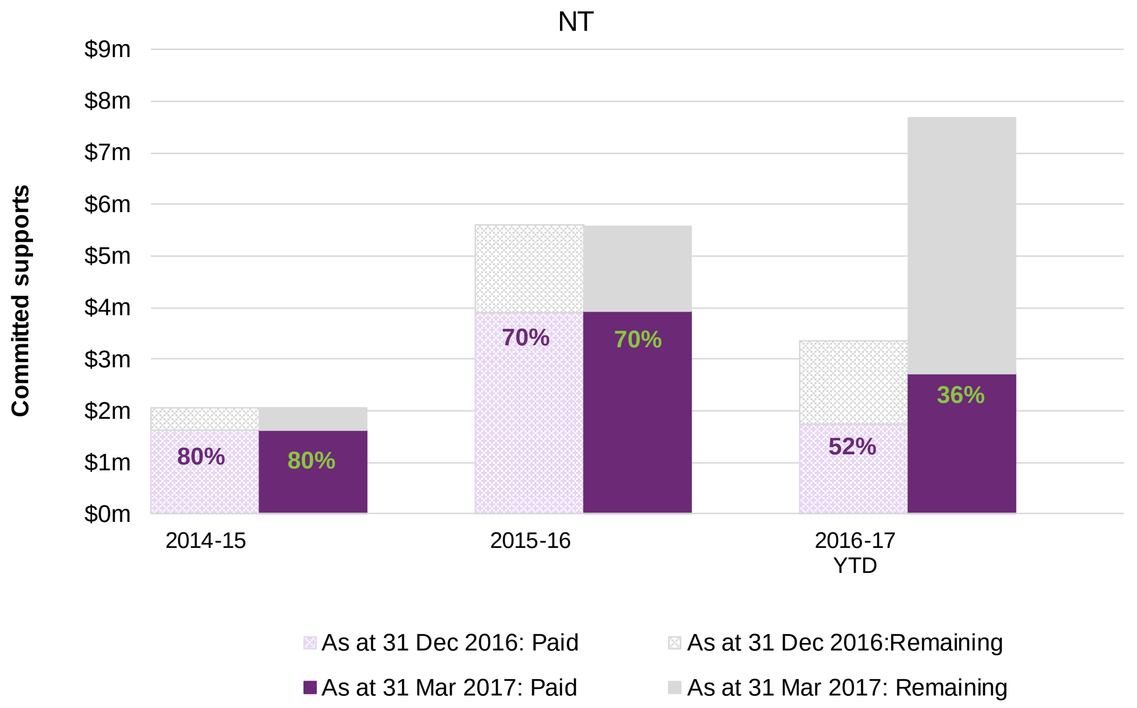


Table J.19 Payments by financial year, compared to committed supports ($m) (NT)

	2013-14	2014-15	2015-16	2016-17	2017-18 and beyond	Total
Total committed	*n/a*	*2.1*	*5.6*	*17.4*	*25.7*	*50.7*
Total paid	*n/a*	*1.6*	*3.9*	*2.7*		*8.3*
% utilised to date	*n/a*	*80%*	*70%*			

Figure J.5 Utilisation of committed supports as at 31 December 2016 and 31 March 2017 (NT)



[62] Level of function 15 does not have sufficient data to show an average cost.

Providers and markets

Table J.20 Key provider indicators by quarter– NT

	Prior	2016-17 Q3	Total
Provider indicators			
a) Registrations by profile			
Individual/ sole trader	*48*	*8*	*56*
Company/ organisation	*225*	*56*	*280*
Total	*273*	*64*	*336*
b) Registration revoked		*1*	

Table J.21 Number of approved providers by registration group– NT [63,64]

Registration Group	Prior	2016-17 Q3	Total	% Change
Therapeutic Supports	32	-1	31	0%
Household Tasks	30	2	32	7%
Assist-Travel/Transport	48	1	49	2%
Early Childhood Supports	11	0	11	0%
Participate Community	18	6	24	33%
Development-Life Skills	12	4	16	33%
Assist Prod-Pers Care/Safety	76	7	83	9%
Assist Personal Activities	15	1	16	7%
Assist-Life Stage, Transition	9	7	16	78%
Behaviour Support	7	1	8	14%
Personal Activities High	11	6	17	55%
Personal Mobility Equipment	73	6	79	8%
Group/Centre Activities	8	6	14	75%
Home Modification	40	3	43	8%
Accommodation/Tenancy	18	9	27	50%
Support Coordination	7	-1	6	0%
Ex Phys Pers Training	29	3	32	10%
Daily Tasks/Shared Living	8	7	15	88%
Innov Community Participation	32	12	44	38%
Plan Management	5	0	5	0%
Assistive Prod-Household Task	49	6	55	12%
Assistive Equip-Recreation	65	5	70	8%
Comms & Info Equipment	28	22	50	79%
Custom Prosthetics	31	0	31	0%
Community Nursing Care	14	0	14	0%
Assist Access/Maintain Employ	12	0	12	0%
Specialised Driver Training	9	4	13	44%
Hearing Equipment	12	7	19	58%
Spec Support Employ	3	0	3	0%
Vision Equipment	17	6	23	35%
Interpret/Translate	11	7	18	64%
Specialised Hearing Services	4	2	6	50%
Vehicle modifications	18	7	25	39%
Hearing Services	2	2	4	100%
Specialised Disability Accommodation	0	0	0	
Assistance Animals	0	0	0	
Total approved providers	**272**	**64**	**336**	**24%**

[63] Table order based on national % change (highest to lowest)
[64] The 1 provider whose registration ended during the third quarter of 2016-17 is not included in the 2016-17 Q2 and prior numbers in this table.

Table J.22 Key markets indicators by quarter– NT

	2016-17 Q2	2016-17 Q3
Market indicators		
a) Average number of providers per participant	*0.52*	*0.35*
b) Average new providers per participant	*0.14*	*0.19*
c) Number of providers delivering new supports	*10*	*10*
d) Change in the number of active/ inactive providers:		
Active (%)	*32%*	*40%*
Not yet active (%)	*63%*	*53%*
Inactive (%)	*5%*	*7%*

Table J.23 Proportion of active participants with approved plans accessing mainstream supports – NT

	Prior Quarters	2016-17 Q3	Total
Participant profile	**Proportion of approved plans %**	**Proportion of approved plans %**	**Proportion of approved plans %**
Assistive technology	0%	1%	1%
Choice & Control	0%	1%	1%
Consumables	0%	0%	0%
Daily Activities	0%	4%	3%
Daily Equipment	0%	0%	0%
Employment	0%	1%	1%
Health & Wellbeing	50%	57%	57%
Home Living	0%	1%	1%
Housing & Home modifications	0%	0%	0%
Independence	0%	2%	2%
Lifelong Learning	25%	1%	2%
Relationships	0%	1%	1%
Social & Civic	25%	1%	1%
Transport	0%	0%	0%
Non-categorised	0%	31%	30%
Any main stream service	50%	90%	89%